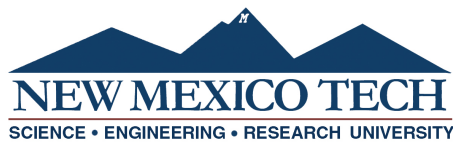


# **THREE-DIMENSIONAL FRAGMENT TRACKING AND SIZE ESTIMATION USING STEREO FOCUSED SHADOWGRAPHY**

by

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of the Requirements for the Degree of  
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## ABSTRACT

High-speed stereo focused shadowgraphy visualizes fragment projections resulting from reactive material specimens undergoing high-velocity impacts on a steel anvil. The diverging light in the conical sections of the stereo shadowgraph systems intersect at a test section at the impact point and allows for the depth information of the incident projectile and the resulting fragments to be extracted. Image segmentation techniques allow for centroids and pixel areas to be extracted for fragments in each camera view. Two-dimensional Kalman filtering and assignment algorithms were applied for simultaneous tracking in each camera view for a series of high-speed images. The identification of the same fragments in each camera view was determined via the exploitation of the epipolar geometry defined from the orientation of the shadowgraph systems. The triangulation of the fragment trajectories from each camera view is used to reconstruct the three-dimensional trajectory for each fragment. Fragment sizes are estimated via equivalent spherical diameter assumptions and to each tumbling fragment. Bivariate histograms describing the result of the fragmentation behavior of the impact-fragmented RM projectiles are constructed from the simultaneously measured fragment sizes and three-dimensional velocities.

**Keywords:** Stereo Shadowgraphy, Kalman Filter, Impact-Fragmentation, 3D reconstruction, Image segmentation

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August 15, 2022

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## NOTATION, NOMENCLATURE, AND ABBREVIATIONS

### Chemistry

Al	Aluminum
Bi <sub>2</sub> O <sub>3</sub>	Bismuth(III) oxide
RM	Reactive Material
W	Tungsten

### Other Abbreviations

1D	One-Dimensional
2D	Two-Dimensional
3D	Three-Dimensional
BOS	Background Oriented Schlieren
CMOS	Complementary Metal Oxide Semiconductor
DIA	Dynamic Image Analysis
DIC	Digital Image Correlation
PIV	Particle Image Velocimetry
PTV	Particle Tracking Velocimetry
TMD	theoretical maximum density

### Variables

<b>A</b>	transition matrix
<b>B</b>	control matrix
<b>H</b>	measurement or observation matrix
<b>I</b>	Identity matrix
<b>K</b>	Kalman Gain factor
<b>P'</b>	measured image position coordinate in camera 2

$\mathbf{P}_{k-1}$	a priori state error covariance at time step k-1
$\mathbf{P}_k$	a posteriori state error covariance at time step k-1
$\mathbf{P}$	measured image position coordinate in camera 1
$\mathbf{Q}$	estimate or process noise covariance matrix
$\mathbf{R}_k$	measurement noise covariance matrix
$\mathbf{u}$	control input
$\mathbf{x}_k$	a posteriori state estimate at time k
$\mathbf{x}_{k-1}$	a priori state estimate at time step k-1
$\mathbf{x}_{\text{meas}}$	measurement vector
$\mathbf{z}_{\text{res}}$	measurement residual
$\delta A_{px}$	uncertainty with respect to pixel area
$\delta C_s$	uncertainty with respect to spatial calibration scale
$\delta d_e$	uncertainty with respect to equivalent spherical diameter
$\delta d_{px}$	uncertainty with respect to equivalent diameter in mm
$\delta p$	uncertainty with respect to distance in pixels
$\delta r_{px}$	reprojection error
$\Delta t$	time interval between measurements
$\delta t$	uncertainty with respect to time
$\delta v_{3D}$	uncertainty with respect to 3D velocity
$\delta x_s$	uncertainty with respect to scale distance
$\delta x_{3D}$	uncertainty with respect to the x 3D distance
$\delta y_{3D}$	uncertainty with respect to the y 3D distance
$\delta z_{3D}$	uncertainty with respect to the z 3D distance
$\dot{x}$	x velocity in state estimate
$\dot{y}$	y velocity in state estimate
$\epsilon_y$	refractive angle
$\partial n$	change in refractive index

$\partial x$	change in the horizontal spatial component
$\partial y$	change in the vertical spatial component
$\partial z$	change in the depth of the refraction object
$\sigma_x$	x measurement error standard deviation
$\sigma_y$	y measurement error standard deviation
$\hat{\mathbf{P}}'$	reprojected image position coordinate in camera 2
$\hat{\mathbf{P}}$	reprojected image position coordinate in camera 1
$A_{px}$	pixel area
$C_c$	Camera Coordinate System
$C_s$	spatial calibration scale
$d(*,*)$	Euclidean distance between two points
$D'$	diameter of field of view at position of refractive object
$d_e$	equivalent spherical diameter in mm
$d_{px}$	equivalent spherical diameter in mm
$e'$	epipole in opposite camera
$h_i$	image height
$h_o$	object height
$l'$	epipolar line in opposite camera
$L'$	length from refractive object to camera
$L_i$	object image
$L_o$	object distance
$L_{total}$	length from lens to camera
$O_A$	Optical center of the camera A
$O_B$	Optical center of the camera B
$O_c$	Optical center of a camera
$O_w$	Optical center for world coordinate system

$P_c$	Coordinate of a 3D point in the camera coordinate system
$P_w$	Coordinate of a 3D point in the world coordinate system
$v_{3D}$	3D velocity
$W_c$	World Coordinate System
$x_1$	An x coordinate for the Camera 1 image
$x_2$	An x coordinate for the Camera 1 image
$x_A$	2D vector position point in the camera A
$x_k$	x position in state estimate
$x_s$	scale distance in mm
$y_1$	An x coordinate for the Camera 1 image
$y_2$	An x coordinate for the Camera 1 image
$y_k$	y position in state estimate
C	reprojection error cost function
D	diameter of lens
e	epipole
F	Fundamental Matrix
f	focal length
k	time step
l	epipolar line
L	length from lens to refractive object
m	magnification
n	refractive index
p	distance in pixels
R	rotation matrix
S	refractive object
T	translation matrix
x	x direction in schlieren diagram
y	y direction in schlieren diagram

# CHAPTER 1

## INTRODUCTION

### 1.1 Reactive Materials and Fragmentation Behavior

Traditional munition cases are made of steel which is fragmented and accelerated outward during detonation. Although these steel fragments can deliver kinetic energy and impulse to a target, there is a desire to increase the energy delivered to the target. One method to enhance the energy on target is to replace the steel case with a material that will impact a target and then combust. Reactive materials (RMs) is a modern term for these sort of combustible materials that can enhance energy delivery in munition systems.

Reactive materials are consolidated powder specimens that are pressed into spheres, cubes, or cylinders to be used as projectiles. The projectiles may be comprised of a single metal such as aluminum [1, 2] or be a composite consisting in multiple metals such as bi-metallic composites of aluminum and tungsten[3] or other composites such as Al:PTFE[4, 5] for example. Most published studies have focused on single component consolidated powder RM specimens, including aluminum spheres [1, 2, 6] and cylinders [7] and zinc cylinders [8].

Fragmentation behavior of reactive materials is an active area of research to improve combustion and kinetic energy of small fragments [9, 10, 11]. Most studies explore fragmentation from high velocity impact tests [1, 2, 6, 8]. Many of these studies are performed via high velocity impacts of RM projectiles on thin metallic plates [1, 2, 6, 7, 8] or an anvil [7]. RM specimens are frequently accelerated to high velocities using via gun-launch using either gas guns [6, 7, 8] or powder guns [1, 2, 4]. During high-velocity impact, strain rates often induce brittle material behavior in the reactive materials due to dynamic loading [1, 7, 8, 12].

### 1.2 Schlieren and shadowgraph imaging

Refractive imaging techniques are utilized for visualization of the refraction of light rays through a medium. Schlieren imaging is a refractive imaging technique used to visualize the refractive index gradient of a medium [13]. Figure 1.1 is a diagram of a typical focused-schlieren setup in which a collimated light beam



Light ray curvatures are defined by

$$\frac{\partial^2 x}{\partial z^2} = \frac{1}{n} \frac{\partial n}{\partial z} \quad (1.1)$$

in which  $n$  is the refractive index,  $x$  is a horizontal spatial component,  $y$  is a vertical spatial component, and  $z$  is the depth of the refraction object in the optical test section. The integrated form represents the schlieren visualization of the first derivative of the refractive index

$$\epsilon_x = \frac{1}{n} \int \frac{\partial n}{\partial x} \partial z \quad (1.2)$$

where  $\epsilon_x$  is the refractive angle in  $x$  direction, pictured in Figure 1.1. Traditional focused shadowgraph and schlieren imaging utilizes a parabolic lens to collimate light from a point source which is then refocused with a second parabolic lens to a focal point. The collimated light beam constitutes the optical test section in which a schlieren object causes changes in a refractive index field. Schlieren images visualize refractive index gradients using a knife edge placed at the focal point such that the light is bent toward the high refractive index or higher density in the test section. Schlieren images visualize variations in refractive index as variations of grayscale intensity in the final image.

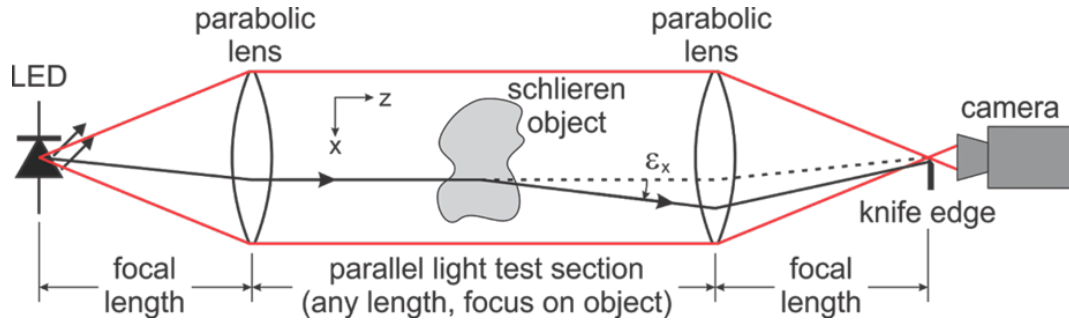


Figure 1.1: A diagram of the traditional focused-schlieren setup using two lenses to achieve a collimated light beam.

Shadowgraph imaging is a refractive imaging technique used to visualize the Laplacian, or the second derivative, of the refractive index field in a medium. This form of refractive imaging is useful for visualizing sharp disturbances and changes in the refractive index, such as shockwaves and turbulent structures, and gas discontinuities in the test section. A typical focused-shadowgraph system is the same as a schlieren imaging system setup but without the knife-edge. The parallel light in the test section of a traditional focused-shadowgraph system allows for true projections of for size analysis of objects via spatial calibration of the optical diagnostics section. The parallel light, however, does preclude three-dimensional (3D) positional measurement of the the same objects.

Non-parallel light refractive imaging techniques are desirable for obtaining 3D positions of the objects in the test section. Existing projective refractive imaging techniques include retroreflective shadowgraphy and background oriented schlieren [14], which are generally better suited to larger scale, far field fluid flow visualization than focused-shadowgraph and focused-schlieren techniques. Background oriented-schlieren (BOS) is a form of schlieren that is ideal for refractive imaging at significantly larger scales compared to the previously mentioned shadowgraph and schlieren techniques. BOS utilizes image processing, including background subtraction and image correlation techniques, to visualize refractive disturbances via their distortion to a background pattern. Three-dimensional reconstruction of shockwaves has been successfully performed via background-oriented schlieren imaging [15]. Although BOS allows the reconstruction of three-dimensional position, the limited pixel resolution of high-speed cameras and image processing needs of BOS makes it insufficient for the fragment measurements desired here.

Retro-reflective shadowgraphy differs from focused-shadowgraphy in that it does not utilize a collimated light beam. Instead it has a light source aligned with the optical axis of camera placed a distance from a retroreflective screen, taking advantage of the diverging light rays of the light source to project shadows of the refractive objects between the light source and the screen. The same setup can be achieved with a single parabolic lens, where the light source and camera are each positioned at twice the lens focal length. This single lens setup is shown in Figure 1.2 and allows better illumination efficiency that typical retroreflective shadowgraphy. The reconstruction of turbulent gases using stereo focused-schlieren (dual-lens) and retro-reflective shadowgraph imaging has been successfully implemented [16], which motivates the work of applying a stereo-shadowgraph (single-lens) imaging technique for determining the 3D positions of fragments with a narrower field of view.

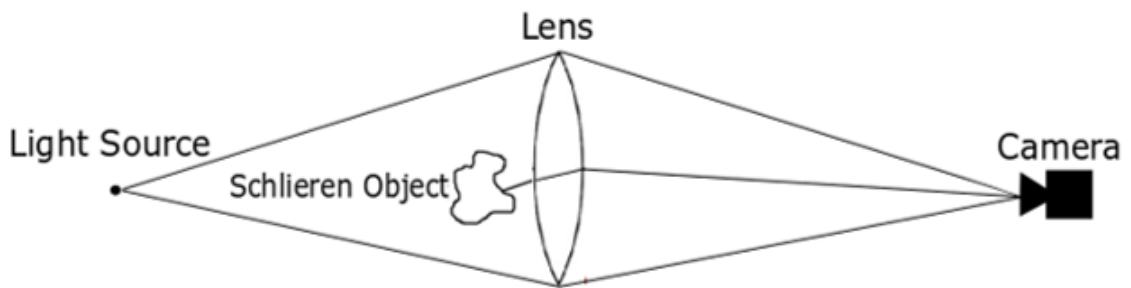


Figure 1.2: A diagram of the single-lens shadowgraph setup with diverging and converging light sections.

### 1.3 Digital Image Processing

Images are captured on the high-speed CMOS (Complementary Metal Oxide Semiconductor) camera sensor in the shadowgraph imaging system. The number of photons that impact the sensor yields the pixel intensity. Color images are created using a Bayer filter pattern over an image sensor [17]. Images taken by color cameras are constructed from three color planes, or multiple pixel intensity matrices. A Bayer filter is an arrangement of individual pixel color filters over a grid of photosensors that construct the CMOS sensor. Each color filter registers a pixel intensity count that only allows a small range of light bandwidths to pass through, in either red, green, and blue light frequencies. Color images must interpolate each color plane and combine them to construct a desired color image due to the arrangement of color filter mosaic. The exposure of an image is set by the exposure time, or the time that a camera sensor is active. In the case of high speed cameras, this is usually an electronic equivalent to the mechanical shutter of traditional cameras. Proper image exposure is applied in high-speed imaging techniques to mitigate motion blur of objects.

Digital images are matrices filled with intensity values associated with each pixel in the camera sensor. The intensity values are quantized (or digitized) by the camera in the sampling process. Digital image processing techniques are utilized to obtain quantitative and qualitative features within the image and act on matrices of pixel intensities. Threshold-based image segmentation techniques may use global thresholds or varying thresholds based on windows of nearby pixels or statistical methods to apply thresholds when binarizing a grayscale image [18]. Binarized images can label clusters of pixel regions with unique morphological parameters, including pixel area, centroids, bounding boxes, etc [18]. Applying spatial calibration techniques of measuring the pixel width of an object of a known size within the optical diagnostics section allows for size analysis of other objects travelling within the same test section.

### 1.4 Computer Vision and Three-Dimensional Reconstruction

To obtain depth information of objects, an optical system requires diverging light rays and multiple cameras. Reconstruction of shapes requires several cameras, or camera views, to achieve tomographic reconstruction or via structure from motion [19]. Stereo calibration of two cameras would allow for epipolar geometry as well as extrinsic and intrinsic properties that define the camera setup in relation to the objects being imaged. Transformations between the camera coordinate system  $C_c$  and the world coordinate system  $W_c$  are defined via the projective geometry described by the extrinsic parameters of the translation  $T$  of the optical center from the origin of the world coordinates and the rotation  $R$  of the image plane [20]. They define the location and orientation of the camera with respect to the world frame. This gives a position of the focal plane in the world coordinate

system. For a point  $P$  in 3D space, the camera coordinate system is represented by

$$P_c = R(P_w - T), \quad (1.3)$$

where the external world coordinate system defines the point  $P_w$ , and is defined by a rotation matrix

$$R = \begin{bmatrix} R_{11} & R_{12} & R_{13} \\ R_{21} & R_{22} & R_{23} \\ R_{31} & R_{32} & R_{33} \end{bmatrix} \quad (1.4)$$

and the translation matrix

$$T = O_w - O_c = \begin{bmatrix} T_1 \\ T_2 \\ T_3 \end{bmatrix} \quad (1.5)$$

which are determined from the stereo calibration process [20].

The intrinsic parameters are necessary for performing the transformation between the camera coordinate system  $C_c$  and pixel coordinates in the image frame and include the focal length  $f$ , the principle point, pixel sizes [20]. The epipolar geometry defined by the stereo calibrated cameras is described by the epipoles  $e$  and  $e'$  as well as the epipolar lines  $l$  and  $l'$ , which all lie in the same plane, the epipolar plane [19]. These can be visualized in the image planes associated with each camera, as shown in Figure 1.3. The epipoles are points of projection of camera center into the plane of the opposite camera. The epipolar lines are lines in an image plane corresponding to points in the other plane aligned with the optical center [19].

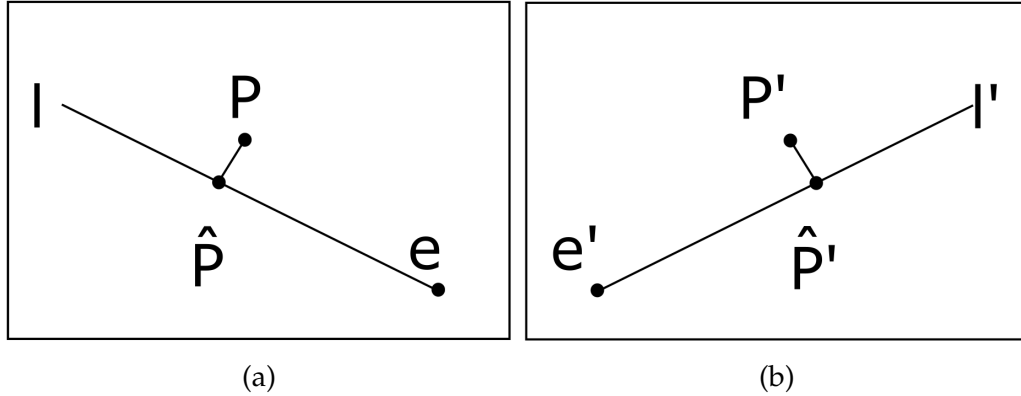


Figure 1.3: Reprojection error minimization is based on the distances of measured and estimated reprojected points in (a) camera view 1 and (b) camera view 2.

The epipolar geometry shows the relationship of points in one image and their respective epipolar lines from the points in the other camera using the equation

$$l' = FP \quad (1.6)$$

where  $F$  is the fundamental matrix determined from the stereo calibration process,  $l'$  is the epipolar line in one camera, and  $P$  is the a point representing an image point in the other camera view [19] with a zero value in the third coordinate place. The fundamental matrix  $F$  is a  $3 \times 3$  matrix which maps corresponding points between stereo images and is determined via the eight-point algorithm. The eight-point algorithm is a computer vision algorithm used to perform stereo calibration based on a set of correspondences, or uniquely identifiable points between two images [21]. The multiplication of the point and the fundamental matrix result in a vector often extrapolated to a line.

The triangulation of an object's three-dimensional position is performed by minimizing the projected error while satisfying

$$\hat{P}' F \hat{P} = 0 \quad (1.7)$$

as described by Hartley and Zisserman [19] by minimizing the respective distances of the measured positions and estimated positions as shown in Figure 1.3. The reprojection error is the calculated via the geometric error error cost function

$$C(X) = d(P, \hat{P})^2 + d(P', \hat{P}')^2 \quad (1.8)$$

in which  $d(*, *)$  is the Euclidean distance operation applied to the measured image position coordinates  $P$  and  $P'$  with the reprojected image position coordinates  $\hat{P}$  and  $\hat{P}'$ , as described by Hartley and Zisserman [19] and shown in 1.3. It is minimized by providing numerous paired images of unique orientations of a calibration target such that a sufficient number of correspondences can be made.

## 1.5 Velocimetry Techniques

Comparisons of the existing velocimetry techniques influenced the approach to tracking fragments in this work. Particle Tracking Velocimetry (PTV) techniques differ from Particle Image Velocimetry (PIV) techniques in that the former is focused on measuring fragment velocities via tracking individual, discrete particles in motion [22]. The latter is focused on estimating the displacement of clusters of particles from correlated displaced windows in pairs of images [22]. A typical methodology for measuring residual velocities of fragments for reactive materials penetrating through thin impact plates is via high-speed video [7, 8]. Efforts to apply in-situ optical diagnostics to perform fragmentation studies for explosive casings [23] and other high velocity impacts in non-RM related studies are active areas of research. Guildenbecher et al. [23] performed 3D optical diagnostics on warhead casings of a known thickness to aid sizing and utilized stereo digital image correlation (DIC) techniques for performing tracking of fragments. Shadowgraph and Kalman-Filter based PTV efforts have been performed [3, 4, 5] for the explosive launch of RMs.

PTV techniques favor relatively larger particle sizes than those observed in PIV; hence the applicability of each favors discrete particles or bulk particle movements of tracer particles, respectively. A typical PIV setup requires a laser sheet and a camera capable of capturing pairs of images with a desired time interval between pulses to perform post-processing techniques and track bulk displacements of particles illuminated by the laser sheet intersecting the flow field. Similar PTV techniques have been performed with a laser sheet as well [24, 25]. Other forms of PIV, including volumetric PIV, requires at least three cameras to measure 3D velocity fields of particles.

## 1.6 Kalman Filtering

Kalman filtering has been previously applied to track individual objects [26] and for multiple object tracking methods [27, 28]. Linear Kalman filters are used in this work for their simplicity in execution but also because the alternative particle filters, or extended Kalman filters are more appropriate for estimating or predicting non-linear behavior [26]. The Kalman filter generates optimal estimates for state variables of a system by iteratively comparing estimates to measurements with the assumption of Gaussian noise for each object state [26, 29]. Trajectories and velocities of individual fragments can be estimated by iteratively comparing estimates of positions of the fragment from an equation of motion to the observed centroids of fragment projections from the digital image process. Multiple object tracking methods and velocimetry methods have applied Kalman Filters to perform the tracking of individual fragments [26, 27, 30, 31]. Assignment algorithms, explored by Kuhn and Munkres[32, 33], have also been applied to the process of multiple object tracking by assigning the each observed object in sequential time increments to their nearest existing trajectories following the object [30].

In the case of tracking in image space, multivariate Gaussian assumptions are used to describe that an object exists at a location represented as a mean and an associated variance around its 2D position in the form of a multivariate Gaussian. To estimate the location of fragments in the 2D case (image plane) and 3D case (world coordinate system  $W$ ), the following system of equations describes each object's position, velocity, and acceleration:

$$\begin{aligned} x_k &= x_{k-1} + \dot{x}_{k-1}\Delta t + \frac{1}{2}\ddot{x}_{k-1}\Delta t^2 \\ y_k &= y_{k-1} + \dot{y}_{k-1}\Delta t + \frac{1}{2}\ddot{y}_{k-1}\Delta t^2 \\ z_k &= z_{k-1} + \dot{z}_{k-1}\Delta t + \frac{1}{2}\ddot{z}_{k-1}\Delta t^2 \end{aligned} \tag{1.9}$$

$$\begin{aligned} \dot{x}_k &= \dot{x}_{k-1} + \ddot{x}_{k-1}\Delta t \\ \dot{y}_k &= \dot{y}_{k-1} + \ddot{y}_{k-1}\Delta t \\ \dot{z}_k &= \dot{z}_{k-1} + \ddot{z}_{k-1}\Delta t \end{aligned} \tag{1.10}$$

$$\begin{aligned}\ddot{x}_k &= \ddot{x}_{k-1} \\ \ddot{y}_k &= \ddot{y}_{k-1} \\ \ddot{z}_k &= \ddot{z}_{k-1}\end{aligned}\tag{1.11}$$

The system of equations in the 2D velocity case is then defined in matrix form:

$$\mathbf{x}_k = \begin{bmatrix} x_k \\ y_k \\ \dot{x}_k \\ \dot{y}_k \end{bmatrix}\tag{1.12}$$

The same 2D velocity case can be represented by:

$$\mathbf{x}_k = \begin{bmatrix} 1 & 0 & \Delta t & 0 \\ 0 & 1 & 0 & \Delta t \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_{k-1} \\ y_{k-1} \\ \dot{x}_{k-1} \\ \dot{y}_{k-1} \end{bmatrix} + \begin{bmatrix} \frac{1}{2}(\Delta t)^2 & 0 \\ 0 & \frac{1}{2}(\Delta t)^2 \\ \Delta t & 0 \\ 0 & \Delta t \end{bmatrix} \begin{bmatrix} \ddot{x}_{k-1} \\ \ddot{y}_{k-1} \end{bmatrix}\tag{1.13}$$

in terms of the transition  $\mathbf{A}$  and control  $\mathbf{B}$  matrices:

$$\mathbf{A} = \begin{bmatrix} 1 & 0 & \Delta t & 0 \\ 0 & 1 & 0 & \Delta t \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}\tag{1.14}$$

$$\mathbf{B} = \begin{bmatrix} \frac{1}{2}(\Delta t)^2 & 0 \\ 0 & \frac{1}{2}(\Delta t)^2 \\ \Delta t & 0 \\ 0 & \Delta t \end{bmatrix}\tag{1.15}$$

The control input

$$\mathbf{a}_{k-1} = \begin{bmatrix} \ddot{x}_{k-1} \\ \ddot{y}_{k-1} \end{bmatrix}\tag{1.16}$$

which is used as an acceleration controlling parameter in Kalman filter [26].

The governing multivariate mean state prediction equation

$$\mathbf{x}_k = \begin{bmatrix} 1 & 0 & \Delta t & 0 \\ 0 & 1 & 0 & \Delta t \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \mathbf{x}_{k-1} + \begin{bmatrix} \frac{1}{2}(\Delta t)^2 & 0 \\ 0 & \frac{1}{2}(\Delta t)^2 \\ \Delta t & 0 \\ 0 & \Delta t \end{bmatrix} \mathbf{a}_{k-1}\tag{1.17}$$

can then be simplified to

$$\mathbf{x}_k = \mathbf{A}\mathbf{x}_{k-1} + \mathbf{B}\mathbf{a}_{k-1}\tag{1.18}$$

in terms of the introduced matrices. For the process of predicting the covariance update equation, it follows the form of

$$\mathbf{P}_k = \mathbf{A}\mathbf{P}_{k-1}\mathbf{A}^T + \mathbf{Q} \quad (1.19)$$

which is a function of an assumed value for  $\mathbf{P}_{k-1}$  as well as the process noise matrix  $\mathbf{Q}$  is defined as

$$\mathbf{Q} = \begin{bmatrix} \frac{\Delta t^4}{4} & 0 & \frac{\Delta t^3}{2} & 0 \\ 0 & \frac{\Delta t^4}{4} & 0 & \frac{\Delta t^3}{2} \\ \frac{\Delta t^3}{2} & 0 & \Delta t^2 & 0 \\ 0 & \frac{\Delta t^3}{2} & 0 & \Delta t^2 \end{bmatrix} \sigma_a^2 \quad (1.20)$$

using a discrete noise model and dependent on time intervals between measurements and the random noise variance due to acceleration [26]. It is also assumed that the spatial direction measurements of  $x$  and  $y$  are uncorrelated [26].

The state mean update equation

$$\mathbf{x}_k = \mathbf{x}_{k-1} + \mathbf{K}z_{res} \quad (1.21)$$

corrects the predicted state using the Kalman gain factor  $\mathbf{K}$  and the measurement residual  $\mathbf{z}_{res}$ . The measurement residual

$$\mathbf{z}_{res} = \mathbf{x}_{meas} - \mathbf{H}\mathbf{x}_k \quad (1.22)$$

is used to determine how to calculate the state correction based on the difference of the measured position  $\mathbf{x}_{meas}$  and the predicted state. The observation matrix  $\mathbf{H}$

$$\mathbf{H} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix} \quad (1.23)$$

represents the observed quantities, including the measured coordinates in the image. The Kalman Gain factor

$$\mathbf{K} = \mathbf{P}_{k-1}\mathbf{H}^T(\mathbf{S})^{-1} \quad (1.24)$$

is the correction factor in terms of the covariance, observation matrix, and the innovation covariance matrix

$$\mathbf{S}_k = (\mathbf{H}\mathbf{P}\mathbf{H}^T + \mathbf{R}_k) \quad (1.25)$$

. The measurement noise covariance matrix

$$\mathbf{R}_k = \begin{bmatrix} \sigma_x^2 & 0 \\ 0 & \sigma_y^2 \end{bmatrix} \quad (1.26)$$



is defined such that the assumed constant measurement uncertainty values associated in each of the directions are uncorrelated and independent [26]. The state mean update covariance equation

$$P_k = (I - KH)P_{k-1} \quad (1.27)$$

uses an identity matrix  $I$  with the same dimensions as the previous state mean covariance.

The Kalman gain factor always weighs the correction such that  $0 \leq K \leq 1$  [26]. The Kalman gain serves as a correction factor for estimations, such that, for increasing  $K$ , the correction weighs in favor of the current measurement value as opposed than the predicted value. This process iterates through each time step, updating the predicted trajectory based on the previous position and corrected by measurement of the current measured position of the object. An alternative way to describe the Kalman gain factor is

$$K = \frac{\text{Estimate Uncertainty}}{\text{Estimate Uncertainty} + \text{Measurement Uncertainty}} = \frac{P_{k+1,k}}{P_{k+1,k} + R_k} \quad (1.28)$$

which shows that when the measurement uncertainty is small relative to the estimate uncertainty, the Kalman gain is high, or close to 1, and applies a large correction [26]. The Kalman gain is small when the measurement uncertainty is relatively large compared to the estimate uncertainty which is observed with slow convergence after many time steps [26]. The design of the Kalman filter ensures that with each iteration the estimate uncertainty decreases since  $0 \leq K \leq 1$  when applied to the state covariance matrix.

Uncertainty covariances are defined as the uncertainties in the state variables for a each fragment and initially assumed to be on the order of ones of pixels and pixels per frame for the position and velocity estimate uncertainties, respectively. The general form for a 2D state prediction covariance is a function of an assumed estimate uncertainty values associated in each of the directions for position and velocities are uncorrelated and independent [26]:

$$P_k = \begin{bmatrix} P_x & P_{x\dot{x}} & P_{x\ddot{x}} & P_{xy} & P_{x\dot{y}} & P_{x\ddot{y}} \\ P_{\dot{x}x} & P_{\dot{x}} & P_{\dot{x}\ddot{x}} & P_{\dot{x}y} & P_{\dot{x}\dot{y}} & P_{\dot{x}\ddot{y}} \\ P_{\ddot{x}x} & P_{\ddot{x}\dot{x}} & P_{\ddot{x}} & P_{\ddot{x}y} & P_{\ddot{x}\dot{y}} & P_{\ddot{x}\ddot{y}} \\ P_{yx} & P_{y\dot{x}} & P_{y\ddot{x}} & P_y & P_{y\dot{y}} & P_{y\ddot{y}} \\ P_{\dot{y}x} & P_{\dot{y}\dot{x}} & P_{\dot{y}\ddot{x}} & P_{\dot{y}y} & P_{\dot{y}} & P_{\dot{y}\ddot{y}} \\ P_{\ddot{y}x} & P_{\ddot{y}\dot{x}} & P_{\ddot{y}\ddot{x}} & P_{\ddot{y}y} & P_{\ddot{y}\dot{y}} & P_{\ddot{y}} \end{bmatrix} \quad (1.29)$$

## 1.7 Assignment algorithms

An assignment algorithm is one that attempts to solve the assignment problem or transportation problem, which is traditionally the issue of assigning a

worker to at most one job for the overall minimum cost [32, 33]. Assignment algorithms operate on cost matrices that divide "workers" and "tasks" into rows and columns, and each element of the matrix is a cost associated with assigning a worker to a particular task [33]. Here in the cost matrix for fragment tracking, rows represent existing trajectories and columns are detected fragments, which are analogous to workers and tasks, respectively. Euclidean distances between measurements and predictions serve as the cost associated with each combination of existing trajectories and detected fragment positions.

Assignment algorithms also ensure that each task has a unique worker and no worker performs more than one task. Row reduction is performed by updating a new matrix such that the smallest value in each row is subtracted to ensure that each row has at least one zero [32, 33]. Column reduction follows the same procedure for each column [32, 33]. A process of "covering" zeroes in the matrix with lines (rows or columns) with a form of temporary marking indicated with stars and primes on potential assignments is performed [32, 33]. This ensures that all zeros in the matrix are now covered with a minimal number of rows and columns [32, 33]. Subtraction of the smallest element in the matrix from every unstarred or unprimed matrix cost element is applied followed by the addition of it to every element at the intersection of two covered lines (rows or columns) [33]. The above process is repeated until the minimum number lines covering each zero is equal to the number of workers or tasks assigned, where the position of the assigned zeros correspond to the positions of the original cost matrix to determine the minimum cost assignment matrix [33].

## 1.8 Optical Size Estimation

Size estimation of fragments using an optical diagnostics requires a consideration of geometric optics and image processing applied to fragment projections for successfully tracked fragments. The geometric optics associated with the use of schlieren lenses obeys the thin lens equation

$$\frac{1}{f} = \frac{1}{L_i} + \frac{1}{L_o} \quad (1.30)$$

such that the focal point lies a focal length distance  $f$  from the lens center [34] and  $L_o$  and  $L_i$  are the distances of the objects and the image respectively. The non parallel light in the light cones from the lenses result of magnification

$$M = \frac{h_i}{h_o} = \frac{L_i}{L_o} \quad (1.31)$$

of the objects depending on their distances from the center of the lens [34] where  $h_o$  and  $h_i$  are the heights of the object and image respectively. When considering the size of the objects optically, a consideration of the image plane, focal plane,

and the calibration plane are essential. The image plane is the plane in which the image is formed and is typically the sensor of a camera. The focal plane is the plane where objects would appear in focus since the converging rays from a convex lens lie on the same plane at a distance  $f$ . It is perpendicular to the optical axis passing through the focal point. The calibration plane is the plane in which the calibration target lies in the test section.

Grady and Kipp applied 2D projection methodology for in-situ sizing methodology directly to fragment sizes while using an equivalent spherical diameter assumption [35, 36]

$$d_e = \sqrt{\frac{4A_{px}}{\pi}} \quad (1.32)$$

where  $A_{px}$  is the pixel area of an object in the image.

This assumption is also applied generally in dynamic image analysis (DIA) [37] used to quantitatively estimate the size of sands and small grains in geological studies. DIA studies typically average the observed equivalent diameters, but also employ other sizing and shape factors, including minimum Feret diameter-based sizing [37].

A general size estimation approach that considers the tumbling of fragments is desirable, especially since other works performing in-situ optical sizing[23] rely on determining the normal to the flat face of fragments to estimate sizes or single area projection measurements [35, 36]. The size estimation approach inspired by the DIA in computerized particle size analyzers, which operate on observing numerous projections of fragments and particles via a high-frame rate camera, is taken in this body of work.

## 1.9 Research Objectives

The objective of this thesis is to develop methodologies for performing in-situ optical diagnostics for tracking RM fragments resulting from ballistic impacts. This thesis will explore shadowgraph imaging techniques, digital image processing and dynamic image analysis, stereo camera epipolar geometry, Kalman-Filtering applications, velocimetry techniques, and in-situ fragment size analysis. The goal of this work is to develop, apply, and validate stereo single-lens shadowgraph systems to visualize, track, and determine trajectories, velocities, and sizes of tumbling RM fragments in-situ.

## CHAPTER 2

### EXPERIMENTAL METHODS

#### 2.1 Experimental Setup

A test series of impact experiments was conducted at the Naval Surface Warfare Center Indian Head Division. A two-camera stereo shadowgraph system was implemented and 18 individual tests were performed. The ballistic impact experimental setup consisted of a .50 inch (12.7 mm) caliber gun firing RM samples at a steel plate. The RM projectiles consisted of Cylindrical RM samples of Al, Al/W composites, and Al/Bi<sub>2</sub>O<sub>3</sub> composites. The Al samples analyzed in this work are listed in Table 2.1, which summarises the dimensions and properties of the RM specimens pressed by the Naval Surface Warfare Center Indian Head Division. Eight of the tests were performed for 3D reconstruction of trajectories and 3D velocity measurement.

The cylindrical RM projectiles were held in 3D printed sabots made from ASA filament for gun-launch and were aerodynamically removed via a sabot stripper before the projectile reaches the steel plate. An external TTL signal is sent from a break screen located at the muzzle and via Standford Research Systems DG535 digital delay generator when the projectile exited the barrel. The DG535 then provided TTL signals to trigger the cameras and laser illumination source.

Table 2.1: A comparison of RM specimens used as projectiles for the impact fragmentation tests.

Shot #	Pellet Material	Mass (g)	Height (cm)	Density (g/cc)	% TMD
9	Aluminum	0.488	0.594	2.593	96
10	Aluminum	0.502	0.612	2.590	96
11	Aluminum	0.473	0.587	2.545	94
13	Aluminum	0.485	0.589	2.598	96
14	Aluminum	0.476	0.577	2.607	97

The aluminum (Al) specimens were composed of Valiment H-60 aluminum with a 60 micron particle size and pressed to 206.8 MPa to 275.8 MPa (30,000 to 40,000 psi). The aluminum-tungsten (Al/W) specimens were composed of 25% Al and 75% W by weight, using H-2 aluminum with a 2 micron particle size and tungsten with a 44 micron particle size, pressed between 206.8 MPa to 275.8 MPa

. The Al/Bi<sub>2</sub>O<sub>3</sub> specimens were composed of 25% Al and 75% Bi<sub>2</sub>O<sub>3</sub> by weight, using H-2 aluminum with a 2 micron particle size and also pressed between 206.8 MPa to 275.8 MPa.

The optical diagnostics setup is shown in Figure 2.1. The shadowgraphy technique here is used, though not directly for the purpose of refractive imaging, for the purpose of extracting the desired fragment area projections since the technique is useful for visualizing sharp disturbances in the optical test section. The back-illumination of the fragments and objects that travel through the optical test section will provide projections of the fragment areas which is necessary for determining their sizes. The projected shadows of the fragments can be more easily extracted from the digital image process than that of direct high-speed video of the ballistic impact event. The converging light section is desirable for the extraction of the 3D depth information of the objects as well, which is necessary for reconstructing the 3D trajectories of the fragments and incident projectile.

The setup consists of a two intersecting single lens shadowgraph systems, as shown in Figure 2.1. Each individual shadowgraph system utilizes a point-like light source that is refocused by a large lens to a camera placed at the focal point. Each individual shadowgraph system is angled such that each is observing a different orientation of the test section. The test section is placed in the converging-light side of the lenses. The stereo shadowgraph setup utilizes the angle between the individual shadowgraph systems and the non-parallel light of single-lenses to meet conditions to perform 3D reconstruction of fragment trajectories. For the estimation of object sizes, the plane the objects are in must be considered as discussed in Section 1.2. A dual-lens parallel light stereo setup would not be capable of 3D reconstruction of fragment trajectories because no depth information can be extracted from parallel light. Effectively, only 2D velocity information could be constructed from 2D trajectories in each 2D plane of each camera; however, fragment sizes could be estimated without a need to know what plane the objects are in.

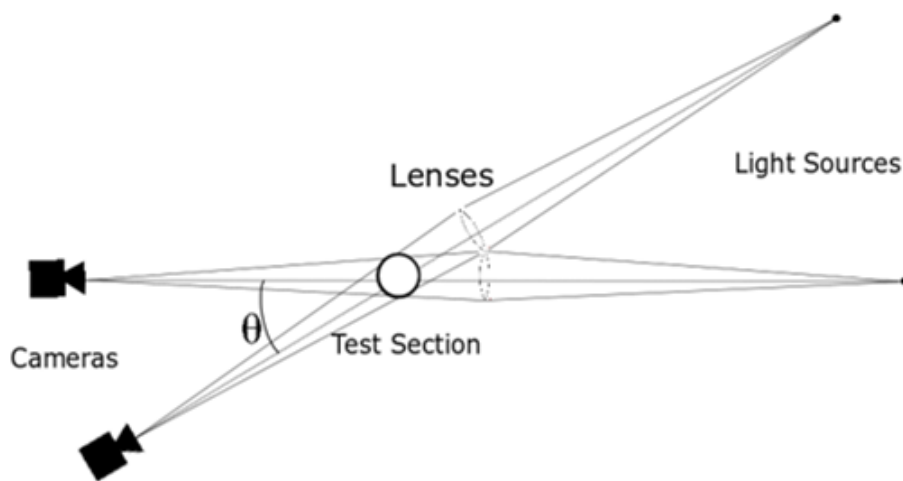


Figure 2.1: A diagram of the stereo single-lens shadowgraph setup.

Two intersecting shadowgraph imaging systems were set up at the impact point of the projectile on the steel plate, as shown Figure 2.2. This camera position allows imaging of the projectile before the impact of the plate and the subsequent fragmentation behavior of the RM samples. Phantom v2012 and v1212 color cameras were used to image the experiments. Each was placed on the optical rail screwed onto the stereo shadowgraph support scaffolding constructed from 80/20 aluminum T-slot structural framing. The cameras are oriented and elevated appropriately on the support scaffolding to image the same test section i.e. the impact point on the steel plate.

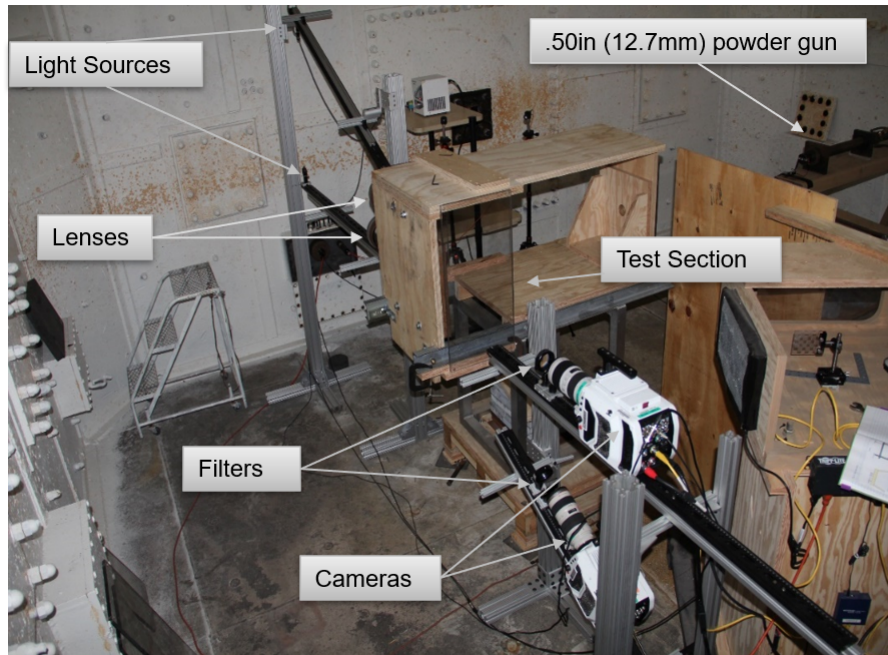


Figure 2.2: An annotated stereo shadowgraph Setup for performing optical diagnostics of ballistic impact plate experiments.

The stereo shadowgraph support scaffolding was constructed from 80/20 Aluminum T-slot structural framing in two separate isolated frames. The first frame used two "poles" of 7.6 cm x 7.6 cm (3 in x 3 in) of hollow Quad rail with lengths of 1.2 m and 1.8 m (4 and 6 feet) whereas the second frame used 1.8 m and 2.4 m (6 and 8 feet) respectively. Supports for the quad rails holding optical rails consist of, on either side of the frame, a single Four Slot Rail 7.6 cm x 7.6 cm (1 in x 1 in) screwed onto an inline/perpendicular pivot connected to a sleeve bearing carriage or mount flange bearing with hand brakes. This support setup allows the optical rails to be elevated and oriented as appropriate for the stereo shadowgraph setup to be centered on the desired test section. Each 1.5 m (5 ft) long 45 mm Square Hollow 4-Slot rail act as a beam to support two 750 mm long THOR LABS dovetail rails for mounting all the cameras and optics.

For the dual lens setup, the length from the first camera to the parabolic lens was approximately 59.1 cm (23.3 in) for both the horizontal and diagonal systems. The distance between the cameras vertically was 57.8 cm (22.8 in), measured from the ends of the center of the camera lenses. The distance from the centers of the schlieren lenses was approximately 1.23 m (4.04 ft) for the horizontal system and 1.38 m (4.54 ft) for the diagonal system. The distance from the second schlieren lens to the light source was 0.67 m (2.19 ft) for both the horizontal and diagonal systems. The angle was approximately 24-25 ° oriented between the shadowgraph systems.

For the single lens setup, the distance between the camera lens to the schlieren lens was 1.41 m (4.63 ft) for the horizontal setup and the 1.49 m (4.89 ft) for the diagonal system. The distance from the light source to the second schlieren lens was 1.28 m (4.2 ft) for the both horizontal system and diagonal system. The distance from the lenses on the light-source structural frames to the impact point of the test section was approximately 0.44 m (1.44 ft) for both systems. The angle was approximately 24-24.5 ° oriented between the shadowgraph systems.

The cameras recorded at 50,000 frames per second (fps), which resulted in two different image sizes because of the cameras used. The v2012 recorded at a frame size of 592x640 and the v1212 recorded at 432x384 pixels. The light source, a SI-LUX 640 nm spoiled coherence laser, provided a 20 ns pulse width. The pulse width is the effective exposure time for each image which mitigated the effects of motion blur of fragments to allow for accurate projected areas of fragments. The use of the shadowgraph technique takes advantage of focusing the laser to maximize the intensity of imaging through the combustion environment, aiding in the ease of back-illumination of the desired fragments and their projected area extraction.

The effective camera resolution is determined by removing the interpolation of the color cameras created in the Bayer filter process. The Phantom camera Bayer filter has a "gbrg" pattern. The Phantom camera CMOS sensor thus only imaged on the red filtered pixels while using a red laser for illumination. The red laser light reduces the effective resolution to a quarter of the original resolution, from 432x384 to 216x192 and 592x640 to 296x320 for each camera view, respectively.

The lenses attached to the cameras were 80-200 mm lenses. The schlieren lenses used for the shadowgraph setups were 127 mm in diameter each, with 700 mm focal lengths. 50mm square absorptive neutral density filters were used to reduce the intensity of the light to aid the visualization of the shadowgraph images during testing. 640 nm bandpass filters (50 mm diameter, OD 4.0) were used to isolate the light reaching the camera to only that in the laser wavelength such that the much of the direct light from the RM combustion is filtered from the imaging process. Lexan was placed around the steel plate to protect the optics from the fragments produced after impact while still being optically clear for the shadowgraph imaging.

When applying the shadowgraph technique to extract the fragment area projections, the refractive properties may not be desirable since the visualization of

the product gas clouds may partially obscure the fragment areas. A minimization of the sensitivity of the shadowgraph technique is therefore desirable to mitigate the occlusion of fragment area projections in the product gas clouds. The sensitivity of the shadowgraph technique employed, i.e. the minimum resolvable refraction angle, is a function of the optical geometry of the system. The shadowgraph sensitivity is influenced by the distance  $L$  from the focusing parabolic lens from the refractive object  $S$  and the distance  $L_{total}$  from the focusing parabolic lens to the camera, as shown in Figure 2.3. The refracting object in non-parallel light forms of shadowgraphy will typically have the highest sensitivity halfway between the camera and the parabolic lens used to focus the light. The sensitivity cannot be minimized by decreasing the length of the system since it is restricted to the focal length by the focusing parabolic lens. Alternatively, decreasing the ratio of the distance from the refractive object  $S$  to the camera  $L'$  and the distance of the parabolic lens from the camera  $L_{total}$  would reduce the shadowgraph sensitivity; however, this would also undesirably reduce the field of view  $D$  to  $D'$  for imaging the ballistic impact event, as shown in Figure 2.3.

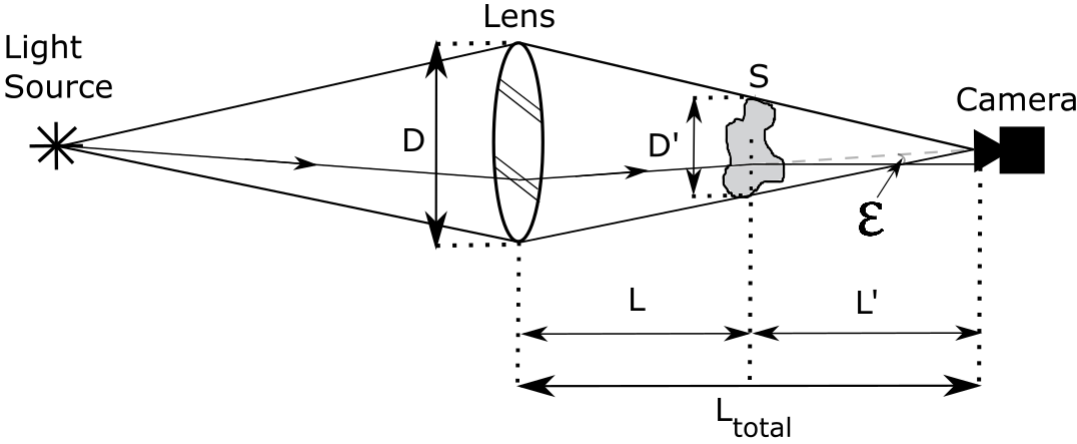
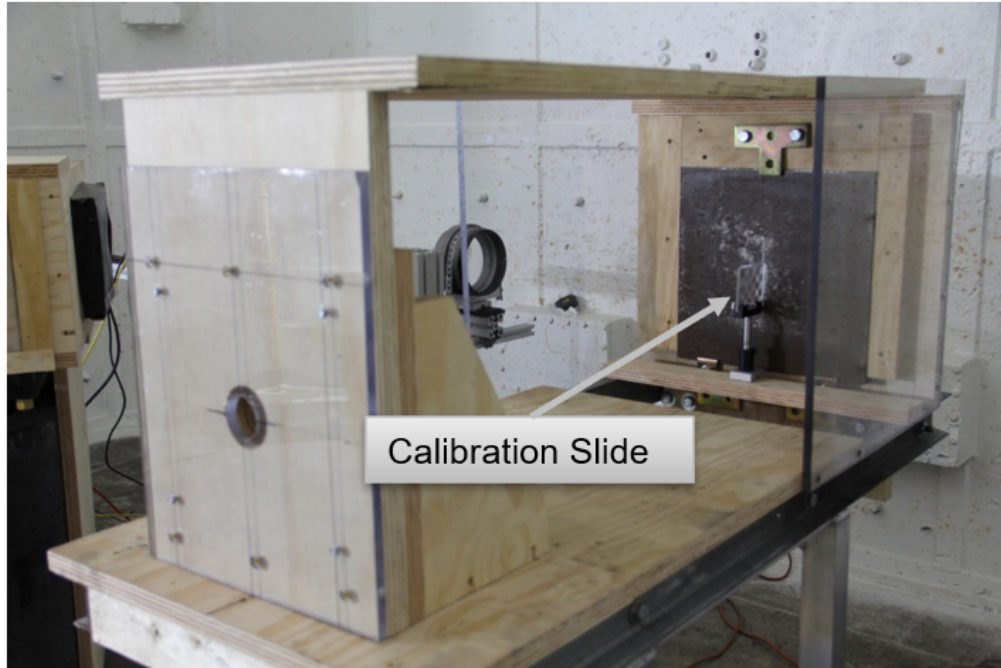


Figure 2.3: An annotated shadowgraph setup with a refractive object in the converging light test section.

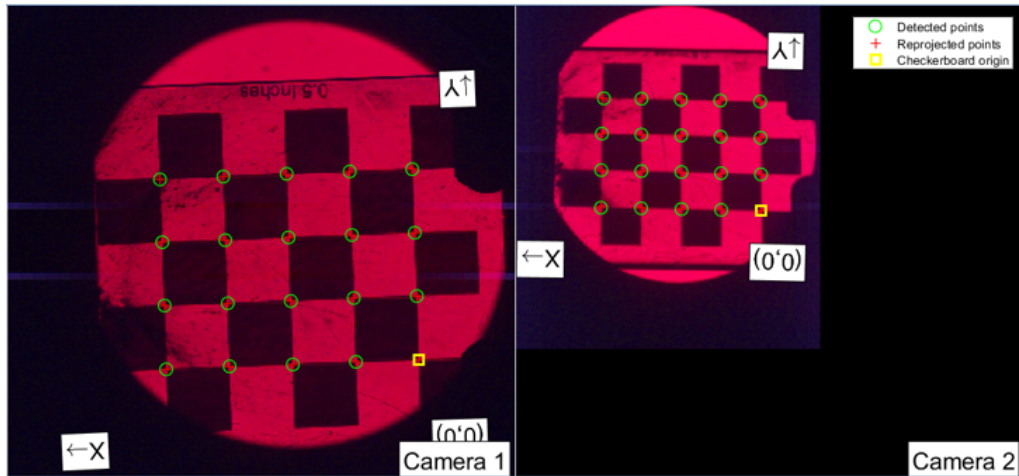
## 2.2 Stereo Camera Calibration

The stereo camera calibration process consists of taking on the order of 20 image pairs of a calibration target simultaneously in each camera field of view, as shown in Figure 2.4. The calibration target used was an asymmetrical checkerboard with a grid resolution of 12.7 mm (0.5 inches).





(a)



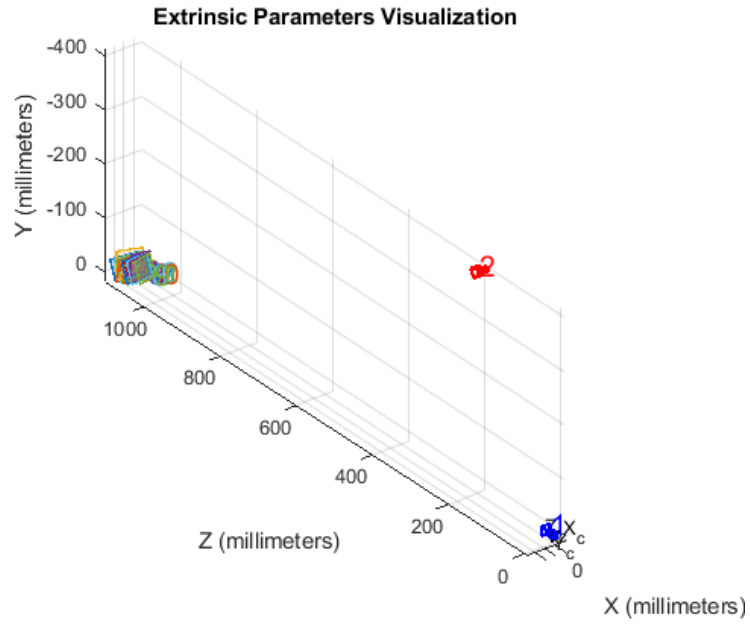
(b)

Figure 2.4: (a) A calibration target placed within the test section of the stereo shadowgraph optical setup and (b) Side-by-side views of same checkerboard in each camera's field of view with right image zero-padded with the detected and reprojected points.

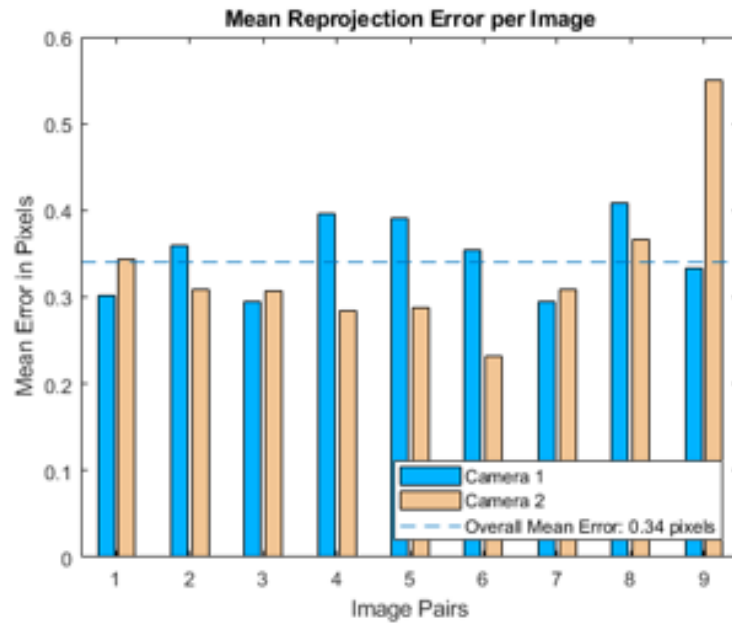
The calibration target is rotated and translated slightly with each image pair to capture a variety of unique orientations of the calibration target. An 8-point algorithm via MATLAB's Stereo Camera Calibrator application is applied to the

pairs of stereo calibration images. The algorithm seeks to relate the vertices of the checkerboard from one image representing the first camera view with another simultaneous image taken from the other camera view for several paired images. Using MATLAB's Stereo Camera Calibrator application, the internal and external parameters are estimated for the camera system, the latter of which is visualized in Figure 2.5.

MATLAB calculates the position of the target from each pair, and the final output is the relative position of the two cameras and their fields of view. Additionally, the application allows for the minimization of the reprojection error for the estimated fundamental matrix via the removal of poor image pairs such that the errors are subpixel for each stereo calibration performed for this test series. The average reprojection error is less than 0.4 pixels, as shown in Figure 2.5b. The two cameras had different resolutions, each image must be zero-padded to the same size for the MATLAB reconstruction algorithms, shown in Figure 2.4. Zero padding was performed such that the black pixels were appended to the right and bottom of the original image. Zero padding symmetrically such that the original image is centered in the padded image and using these images in the stereo calibration process did not change the average reprojection error or the estimated extrinsic stereo camera parameters, shown in Figure 2.5. For the sake of simplicity, zero padding to the right and the bottom of the image was preferable to avoid consideration of position offsets in further analysis of the digital images.



(a)



(b)

Figure 2.5: The mean reprojection error bar chart for a stereo calibrated shadowgraph setup using 9 successful image pairs, generated by MATLAB's Stereo Camera Calibrator application.

## 2.3 Digital Image Processing

The goal of digital image processing is to uniquely identify fragments for the purpose of tracking positions and determining fragment sizes. After acquiring and saving the high-speed video of a ballistic-impact test the images to be used in the digital image processing process are exported from the camera software. The Bayer pattern is disabled by ensuring the "Color Interpolation" option is set to "OFF" in the Phantom Camera Control (PCC) software. The PCC software exports the images as a digital negative file with a .DNG file extension, which are then converted into .tiff images in MATLAB.

One of the challenges of fragment detection is the separation of fragments from within fine particle clouds and product gases as a result of the combustion of the RM specimen upon impact. The use of MATLAB's *adaptthresh* allows for greater local separation of fragments from the backgrounds that otherwise could not be extract by Otsu's method, since Otsu's method assumes relatively invariant background intensity changes and effectively a pure bimodal pixel intensity histogram for a given image [18]. Figure 2.6 shows the modified background image resulting from *adaptthresh* to find appropriate threshold values at different regions in the background of the observed image series .

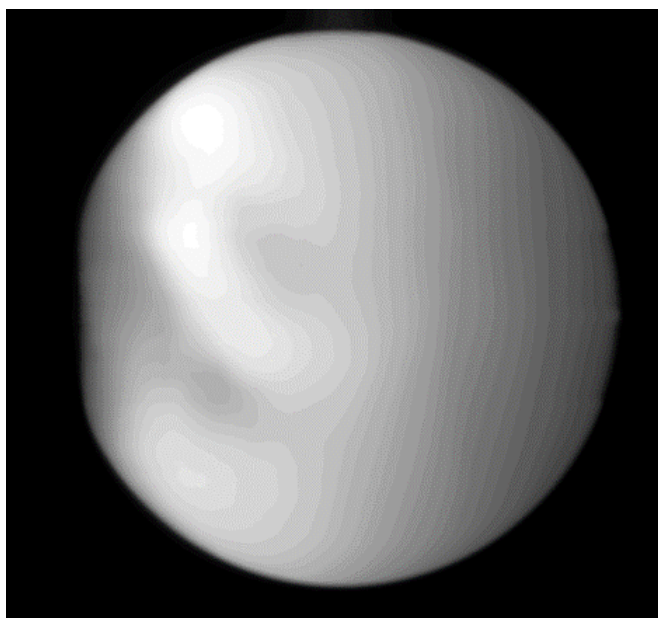


Figure 2.6: An image of the effective background for improved isolation of fragments from a background with significantly varying illumination due to product gas clouds and fine particle clouds.

Using Otsu's method via MATLAB's *graythresh* and *imbinarize* functions to determine a global intensity threshold, the image is binarized to separate fragment regions from the background with noisy light intensities. Otsu's method

determines the threshold to maximize the between-class variance, as shown in Figure 2.7a, to optimally separate the fragments in the foreground from the background of the image [18]. Otsu's method effectively determines the threshold that separates the bimodal pixel intensity histogram for an image to optimally binarize the image. Figure 2.7 describes the use of Otsu's method and observed frequency of pixel intensities for an image of the entire field of view.

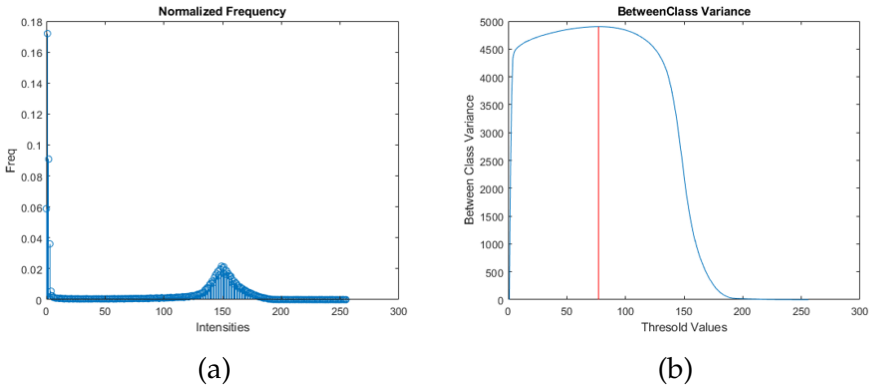


Figure 2.7: (a) Normalized Frequency and (b) Between Class Variance

The image segmentation [18, 38] is performed to identify and extract the pixel area, and centroids via MATLAB's *regionprops* function. The application of a global pixel intensity threshold to binarize the image separates fragment regions from the background. Clusters of connected pixels in the morphological image are automatically labelled to identify unique fragments and obtain a pixel area or pixel count. Centroids of these fragments are extracted for each uniquely identified binarized fragment, as shown in Figure 2.8. Further detail on estimating fragment size in terms of pixel area and the associated pixel area error is described in Section 2.4.

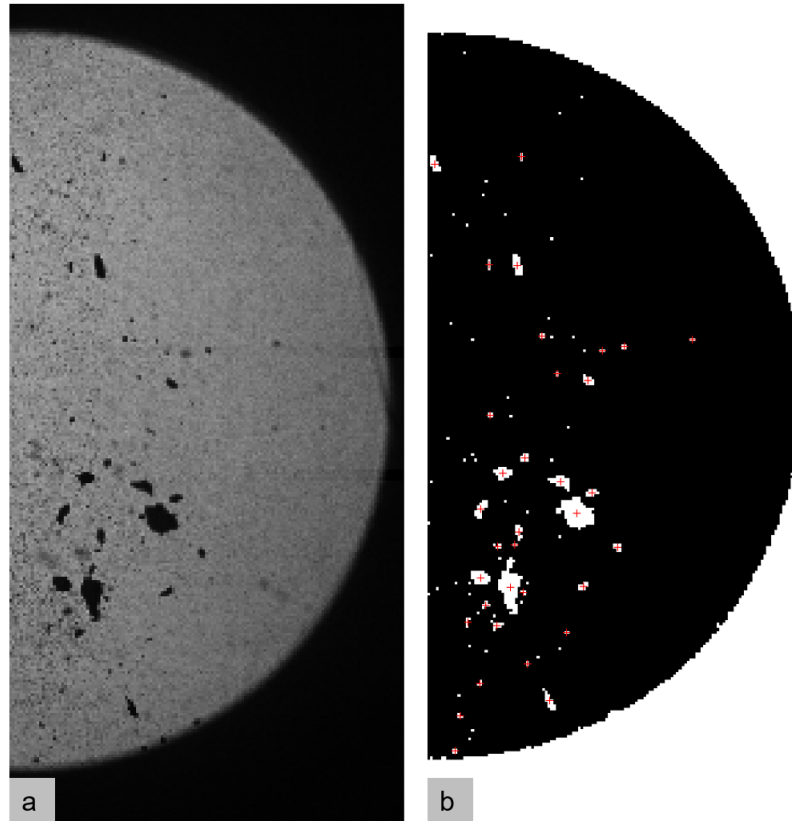


Figure 2.8: Centroids of fragments denoted by small red crosses.

## 2.4 Fragment Size Estimation

From the digital image process described in Section 2.3, MATLAB's *regionprops* function is used to determine centroids of fragments and extract the bounding boxes of the fragments. Bounding boxes, as shown in Figure 2.9, are constituted of the smallest rectangle that encapsulates each fragment area. In order to obtain a more precise fragment area with associated error bounds, additional digital image processing is performed using Otsu's between-class variance thresholding. While *regionprops* could be used to extract areas of fragments in the binarized image directly, bounding boxes are extracted for the fragments instead to more easily determine the uncertainty of area measurements via Otsu's method.

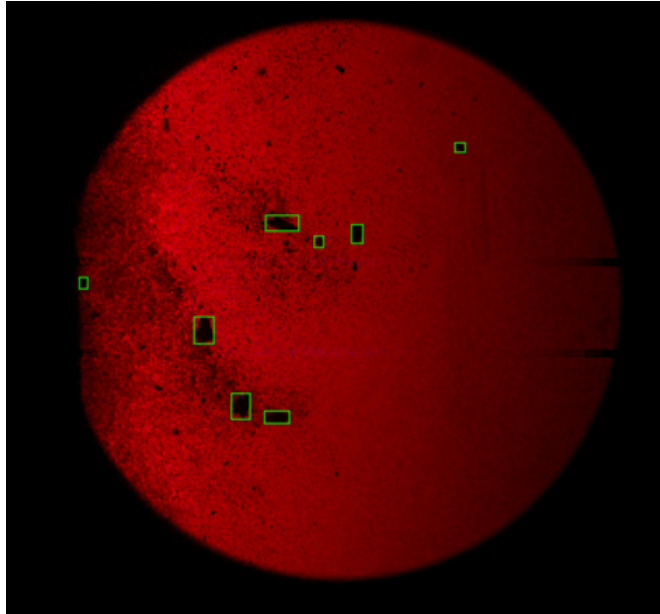


Figure 2.9: Bounding boxes obtained boundaries of fragment areas from the fragment detection process.

The optimal pixel intensity threshold is associated with the peak between-class variance, shown in red in Figure 2.10. However, there is often a short range of values that results in the same between-class variance, shown in red in Figure 2.11, which is the range that correlates the the same pixel intensity threshold associated with the same between-class variance curve shown in 2.10. Similar to the procedure applied by Watson[24], the size of each fragment is found by iteratively thresholding the region of interest, in this case a bounding box, and using the peak in the pixel area gradient to determine the range of possible pixel area values for a single frame. Figure 2.11 shows the area of a fragment for varying pixel intensity threshold values as well as the gradient of the area, demonstrating that the range of optimal threshold values does not necessarily correlate to jumps in pixel area gradient unlike what is observed by Watson to determine optimal fragment contours [24]. The local minimum in gradient observed in Figure 2.11 does not necessarily correlate to consistency in extracting fragment projected areas. The gradient of pixel area for extracted fragment projected areas could vary significantly and local maxima and minima for several fragment area measurements, including for different fragments entirely, did not necessarily lie in the threshold range obtained.

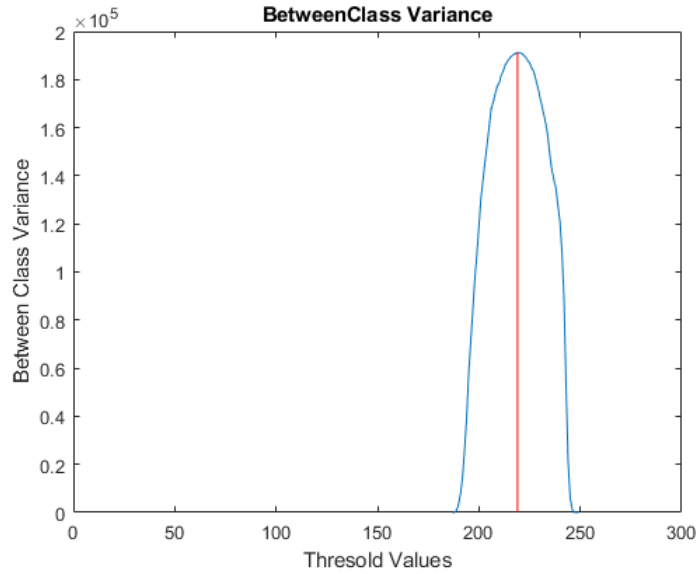


Figure 2.10: The Between-Class Variance of the extracted image associated with the bounding box of a fragment.

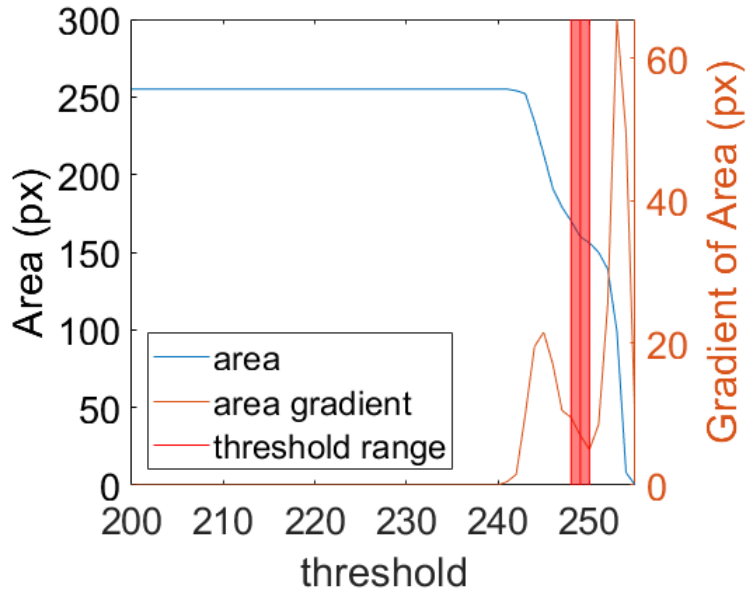


Figure 2.11: The pixel area and gradient of pixel area of the extracted image associated with the bounding box of a fragment.

The result of Otsu's method applied to a grayscale image of the boundary box containing a given fragment, visualized in Figure 2.12a, is the binary image from which MATLAB's *regionprops* isolates the largest fragment and determines



the pixel area in Figure 2.12b. The exact boundary can be extracted via MATLAB's *bwboundaries* as shown in Figure 2.13. The threshold range of maximum values of between-class variance for the bounding box image corresponds to the threshold range of pixel areas to determine the pixel area error bounds surrounding the pixel area determined via Otsu's method directly using Matlab's *graythresh*, *imbinarize*, and *regionprops*.

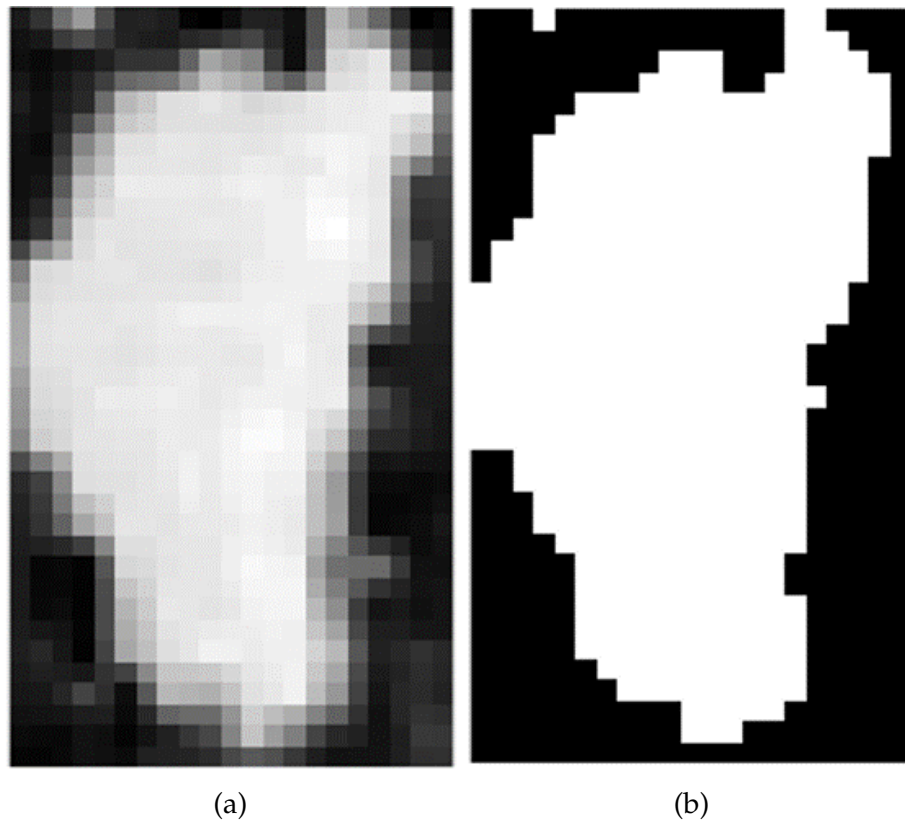


Figure 2.12: (a) Grayscale image of extracted boundary box of fragment and the (b) resulting binary image of the extracted boundary box of the same fragment.

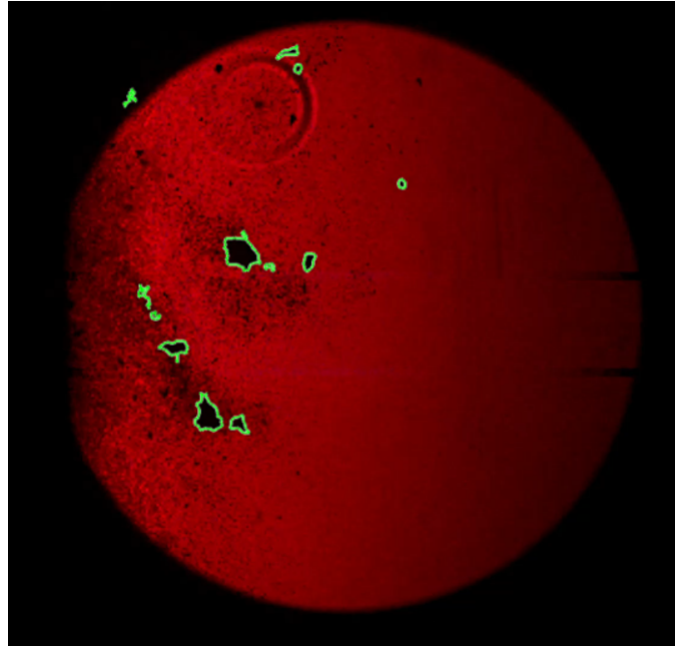


Figure 2.13: Obtained boundaries of fragment areas from fragment detection process.

The 2D projection methodology described by Grady and Kipp [35, 36] in their studies of high-velocity impact-fragmentation of bulk metals using high-speed x-ray imaging can be applied generally to in-situ optical diagnostics for obtaining fragment sizes. An equivalent spherical particle assumption is used here for each fragment tracked. Size estimates are performed by taking the existing tracked fragments and measuring the pixel area, or counting of the number of pixels, of a fragment region and converting to an equivalent diameter in pixel space, then applying a pixel-to-width conversion using a spatial calibration measured in the region image before tests. The equivalent spherical particle assumptions has been applied in high-rate dynamic loading seen in hypervelocity impacts [24] to obtain estimates of the particle sizes.

Consideration of the magnification of objects due to the lens in the shadowgraph system is accounted for as a scaling that considers the distance of the object from the camera and the distance of the calibration plane from the camera. This is determined by using the triangulation process described in Section 2.6 and applying Matlab's *norm* function to calculate the normalized distance of the fragment triangulated in 3D space to Camera 1, defined as the camera from which the camera-to-world coordinate transformation is performed via the stereo calibration process.

## 2.5 2D Kalman Filtering

The goal of the application of Kalman filtering over a sequence of images is to automate the process of tracking multiple fragments for a given test. A linear Kalman filter model is selected as an appropriate algorithm for tracking fragments and obtaining velocity measurements because the in-flight fragments are assumed to be non-maneuvering objects [26] with a four-dimensional state vector:

$$\mathbf{x}_k = [x_k \ y_k \ \dot{x}_k \ \dot{y}_k]^T \quad (2.1)$$

The state vector for fragments in a 2D image is dependent on the positions ( $x_k$  and  $y_k$ ) and velocities ( $\dot{x}_k$  and  $\dot{y}_k$ ) in each direction of the image space. The following diagram 2.14 is a summary of the Kalman Filtering process described in Section 1.6. This process uses a known prior state to make a prediction of a future state that is then corrected after a comparison of the prediction and a measurement. The corrected prediction is the output and now serves as the "prior" state in a feedback loop iterating on time step  $k$  as shown in 2.14. The initial state is assumed to have the initial measured centroid position for the first occurrence of a detected fragment.

The Kalman filter is implemented following the procedure described by [26, 27, 29], which begins with calculation of the predicted mean  $\mathbf{x}_k$  and covariance matrix  $\mathbf{P}_k$  of the state variables. The transition matrix  $\mathbf{A}$  represents the system dynamics for the  $x$  and  $y$  directions in the 2D image plane with respect to time interval  $\Delta t$ . The Kalman filter then calculates the innovation covariance matrix  $\mathbf{S}_k$  and the Kalman gain factor  $K$  where the observation matrix  $\mathbf{H}$  represents the observed quantities, the  $x_k$  and  $y_k$  positions in the image space at time step  $k$ . The Kalman filter will then calculate the a posteriori mean:  $\mathbf{x}_k$  and covariance matrix  $\mathbf{P}_k$  by taking account the Kalman gain as a correction factor. The initialized a priori covariance matrix  $\mathbf{P}_k$  which is initially assumed to have a value of 1 pixel. The process noise matrix  $\mathbf{Q}$  has an assumed initial random acceleration uncertainty that is assumed to be on the order of 1 pixel per unit time (frame) squared in terms of the image space.

For automating the process of tracking multiple fragments in each frame, an assignment algorithm [32, 33] is utilized to assign fragment detections from the digital image process to existing fragment trajectories generated by the Kalman filter, or create new ones. An assignment algorithm operates on cost matrices generated for each frame. The cost matrix for a given frame represents the difference or Euclidean distance between the estimated position and the measured positions of newly detected fragments. A cost matrix is generated by first defining a matrix of the estimated position coordinates for each fragment and the measured position coordinates of fragments in the image at the a priori time step. The Euclidean distance between all possible pairwise coordinates is placed into a pairwise distance matrix and made into a square matrix using MATLAB's *squareform* function.

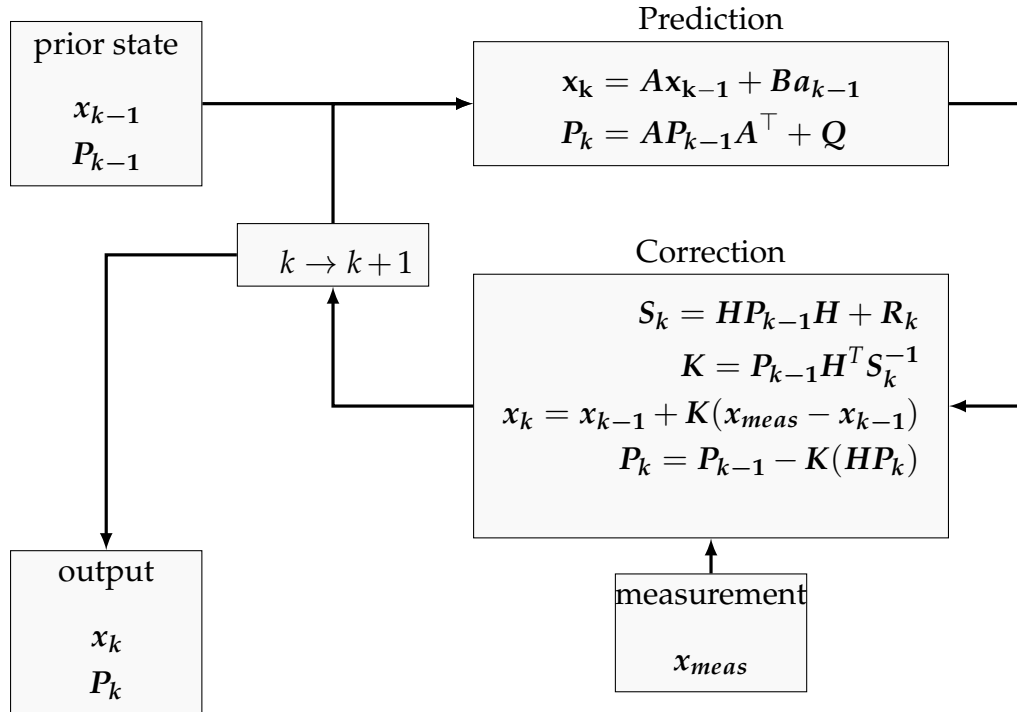
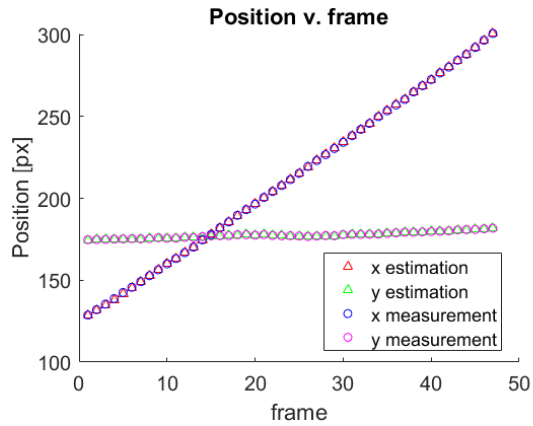
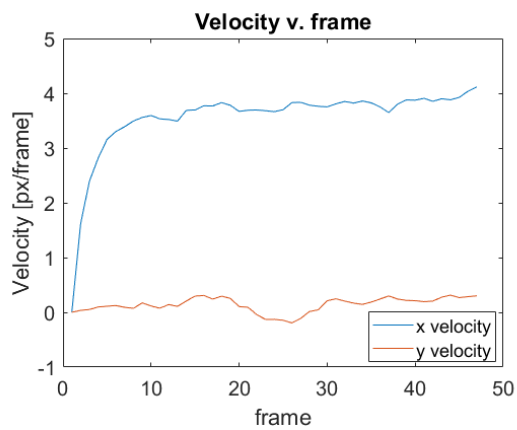


Figure 2.14: A diagram summarizing the Kalman Filter feedback loop that takes a prior state, makes a prediction for a future state, uses a measured state to compare with the prediction to apply a correction, outputs the corrected state which is used in future predictions.

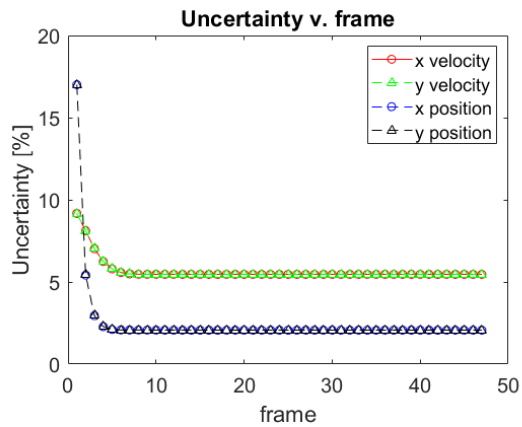
An existing Matlab implementation of the generalized Munkres-based optimal assignment algorithm [39] is applied before applying the Kalman gain correction step in the Kalman filtering process. An individual fragment is tracked manually to obtain order of magnitude velocity information in the horizontal direction in the 2D image plane to inform the general initial conditions for automatically tracking multiple fragments. Position estimates for new fragments are initialized to be the unassigned fragment centroids. An assignment algorithm [32, 33] is also utilized to allow for the assignment of fragment detections from the digital image process to existing fragment trajectories generated by the Kalman filter, or create new ones.



(a)



(b)



(c)

Figure 2.15: An example of position and velocities with respect to time (frames) for a single representative fragment.

An example of a tracked fragment with an estimated position from the Kalman

filtering process that matches well with the measured centroid positions is shown in Figure 2.15a. Without assuming a non-zero velocity to initialize Kalman filters for newly detected fragments in the field of view, velocity corrections are large and occur in the early time of tracking an individual fragment, as shown in Figure 2.15b. Figure 2.15c demonstrates that the uncertainty associated with the positions are large in the early time of the tracking process but converge to a small uncertainty rapidly. For the case of the fragment described in Figure 2.15a, there is a final position uncertainty of approximately 2.2%.

## 2.6 Fragment matching and 3D reconstruction via triangulation

Identifying the same fragment in both cameras is needed to reconstruct the 3D trajectory from the individual trajectories of the fragment in each camera. The process utilizes the epipolar geometry defined from the stereo calibration process. As described in Section 1.4, an epipole is a point of projection from the center of a camera into the epipolar plane connecting the epipole of the other camera in the stereo calibrated camera pair [19]. Epipolar lines are lines in an image plane in one camera view that corresponds to a point in the plane in-line with the optical center of the other camera.

According to epipolar geometry, a point  $x$  has a corresponding epipolar line  $l'$  that can be constructed via

$$l' = FP \tag{2.2}$$

in which the fundamental matrix  $F$  is the mapping between the two cameras. This is because in one camera, an object in 3D space may appear to be only a point, reflected in the singular point  $x$  in Figure 2.16 for the on Camera A's image when aligned with the optical center of the camera. However, along the ray between the optical center of Camera A and the point  $x$  and its projection into 3 space, the object represented as an epipole in Camera may correspond to many depths from Camera A but when observed by Camera B may correspond to many points on the image, represented by the green line in Figure 2.16. This is because the optical centers of each camera, epipoles, and epipolar lines all lie in the same plane, denoted in blue as the epipolar plane in Figure 2.16 .

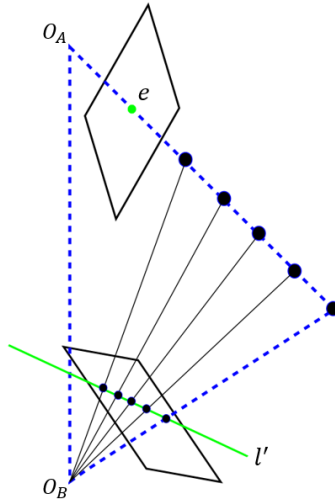


Figure 2.16: A diagram of the epipolar geometry defined by the stereo shadow-graph setup.

As seen in Figure 2.17, fragments are labelled in order to identify the same fragment with the track that describes its 2D trajectory in each image plane. The centroids, denoted with colored crosses that highlight tracked fragments in one camera view correspond to the same colored epipolar lines drawn in the other which overlap with the centroids of tracked fragments denoted with green circles in the other camera. If a line intersects multiple possible tracked fragments, each case is examined at different frames i.e. 20 frames apart and the line should intersect with a unique track in either camera due to differences in velocities of the fragments. Additionally, size can be used as another form of uniqueness when fragment matching.

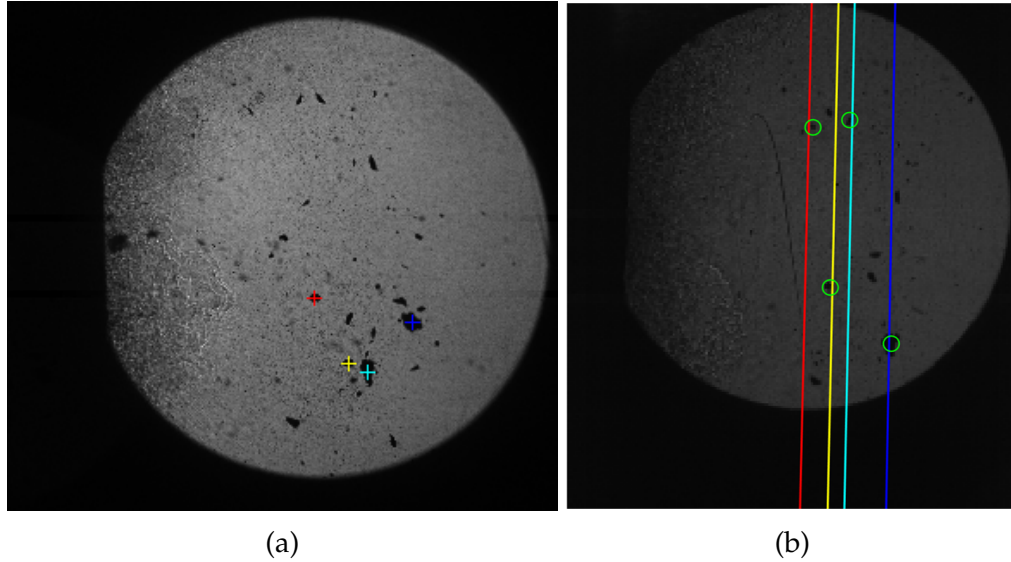


Figure 2.17: (a) Color coded centroids of fragments and epipolar lines associated with the corresponding centroids in (b) with fragments observed in the camera with reduced resolution

As described in Section 1.4, the triangulation of a point in 3D space is determined after finding two corresponding points in each stereo calibrated camera view. The triangulation process works by attempting to minimize the projected error as discussed in Section 1.4. This was performed by the MATLAB Stereo Calibration application and after determining the fundamental matrix  $F$  from the process, as described in Section 2.2, then using MATLAB's *triangulate* function.

## 2.7 3D Velocity Estimation

3D velocity estimation is performed by implementing a 3D Kalman filtering technique in 3D space using the reconstructed trajectory of each fragment. The choice to implement a simple 3D Kalman filter is made to aid in the process of determining the velocity associated with the reconstructed 3D velocities, without simply fitting a line in 3D space. The 3D Kalman filter is useful for smoothing out the noise associated with the reconstruction but also quantifying the uncertainty of the 3D trajectory and associated velocity estimated using the feedback loop of system state predictions and corrections. This Kalman filter uncertainty will be compared with the velocity uncertainty estimated via the propagated uncertainty of the 3D distances and time measurements in Section 2.8.

3D Kalman filtering utilizes the same governing equations for state prediction and state correction discussed in section 1.6 but uses matrices extended to account for the third spatial dimension. The 3D Kalman filter utilizes a transition matrix:



$$A = \begin{bmatrix} 1 & 0 & 0 & \Delta t & 0 & 0 \\ 0 & 1 & 0 & 0 & \Delta t & 0 \\ 0 & 0 & 1 & 0 & 0 & \Delta t \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix} \quad (2.3)$$

which describes a constant velocity projectile motion assumption for the tracked object for the duration observed. An acceleration model is not applied in this Kalman Filter model of fragment motion since the fragment is only observed for a short distance while in frame and significant velocity changes are not observed.

The observation matrix:

$$H = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \end{bmatrix} \quad (2.4)$$

describes that three spatial positions are measured when applying the Kalman Filter process to the 3D trajectory. This matrix reflects that only position measurements are made directly and does not include direct velocity measurements at each discrete step in the Kalman filter for each fragment.

The 3D noise measurement matrix:

$$R = \begin{bmatrix} \sigma_x^2 & 0 & 0 \\ 0 & \sigma_y^2 & 0 \\ 0 & 0 & \sigma_z^2 \end{bmatrix} \quad (2.5)$$

assumes uncorrelated and independent uncertainties associated with each spatial direction. The process noise matrix:

$$Q = \begin{bmatrix} \frac{\Delta t^4}{4} & 0 & 0 & \frac{\Delta t^3}{2} & 0 & 0 \\ 0 & \frac{\Delta t^4}{4} & 0 & 0 & \frac{\Delta t^3}{2} & 0 \\ 0 & 0 & \frac{\Delta t^4}{4} & 0 & 0 & \frac{\Delta t^3}{2} \\ \frac{\Delta t^3}{2} & 0 & 0 & \Delta t^2 & 0 & 0 \\ 0 & \frac{\Delta t^3}{2} & 0 & 0 & \Delta t^2 & 0 \\ 0 & 0 & \frac{\Delta t^3}{2} & 0 & 0 & \Delta t^2 \end{bmatrix} \quad (2.6)$$

also assumes uncorrelated and independent uncertainties with dependencies on time duration between discrete time steps.

A unique 3D Kalman filter is applied to each reconstructed trajectory using initial conditions of assuming the first predicted position is the first measured position. The assumed initial velocity that describes the motion of the fragment in

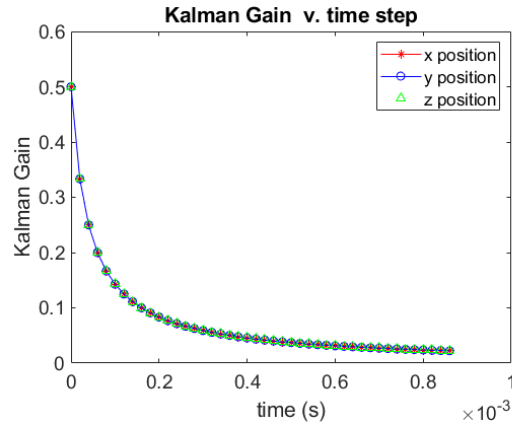
3D space is the mean of velocities estimated from the spatial gradient of the measured reconstructed points divided by the temporal difference of 20 microseconds between measurements in 2D space. Similar to the Kalman filter process observed in the two dimensional case, the uncertainty of the spatial positions in the 3D space converges to 2.2 % as seen in Figure 2.18b. The uncertainty for each triangulated point is determined by comparing the range of 3D positions in each direction obtained by calculating the triangulated point using each coordinate pair combination of image positions in the first stereo camera

$$\begin{aligned} & (x_1 \pm \delta r_{px}, y_1) \\ & (x_1, y_1 \pm \delta r_{px}) \\ & (x_1 \pm \delta r_{px}, y_1 \pm \delta r_{px}) \end{aligned} \tag{2.7}$$

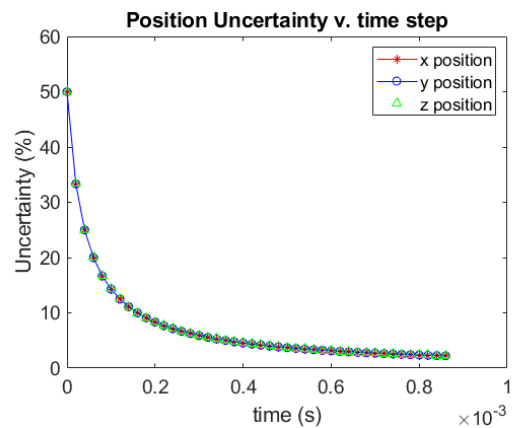
and positions in the second stereo camera

$$\begin{aligned} & (x_2 \pm \delta r_{px}, y_2) \\ & (x_2, y_2 \pm \delta r_{px}) \\ & (x_2 \pm \delta r_{px}, y_2 \pm \delta r_{px}) \end{aligned} \tag{2.8}$$

in terms of the reprojection error  $\delta r_{px}$ .



(a)



(b)

Figure 2.18: (a) The Kalman gain correction factor and (b) the uncertainty associated with each spatial direction demonstrating a convergence to a minimum value for a particular fragment.

The 3D Kalman filter applied to the triangulated trajectories starts with a balanced Kalman gain factor of 0.5 that quickly converges to values approaching zero, demonstrated in Figure 2.18a, for each respective coordinate direction. This convergence is reflected in the uncertainty in Kalman filtered position estimates for each coordinate direction, as shown in Figure 2.18b. The convergence of fragment Kalman filter state equation for the same fragment was observed to be within 10% after 10 sequential frames, displayed in Figure 2.18b. After 44 sequential frames of being tracked, the minimum value was approximately 2%, also displayed in Figure 2.18b. For the duration that a given fragment is tracked, measured trajectory (reconstructed from 2D Kalman filters) and the trajectory estimated via the 3D Kalman filter were within a 1% relative difference as shown in Figure 2.19.

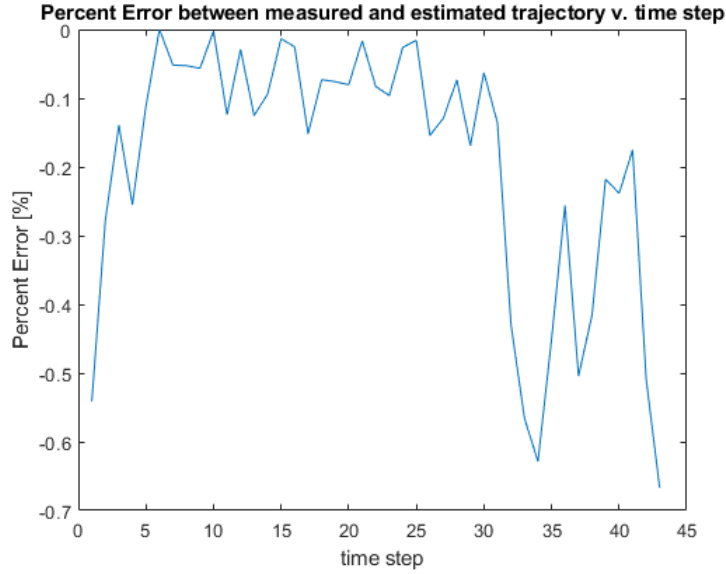


Figure 2.19: The percent difference between the measured trajectory and the trajectory estimated via the 3D Kalman filter process.

## 2.8 Uncertainty propagation

Potential sources of uncertainty in the measurements are associated with the spatial calibration scale, timing jitter in the laser pulse, temporal resolution of the high-speed cameras, the magnification in the non-parallel light section, as well as the pixel area. The uncertainty propagation of measurements are performed under linear error assumptions and independent uncertainties for the measured quantities. The following uncertainties in physical measurements follow the rules for uncertainty propagation described by Taylor [40]. The spatial calibration scale uncertainty is defined by the generalized uncertainty equation

$$\delta C_s = \sqrt{\left(\frac{\partial C_s}{\partial x_s} \delta x_s\right)^2 + \left(\frac{\partial C_s}{\partial p} \delta p\right)^2} \quad (2.9)$$

such that  $C_s$  is the calibration scale in mm/px,  $C_s$ ,  $x_s$  is the scale distance in mm,  $p$  is the distance in pixels, and  $\delta$  is the uncertainty associated with the respective variable. The generalized uncertainty equation simplifies to:

$$\frac{\delta C_s}{C_s} = \sqrt{\left(\frac{\delta x_s}{x_s}\right)^2 + \left(\frac{\delta p}{p}\right)^2} \quad (2.10)$$

where the discretization uncertainty  $\delta p$  is found by assuming the pixels on the imaging sensor represent linear scale graduations, similar to that of a ruler. As

such, the uncertainty is taken as half the graduation spacing, which is half a pixel. The fractional uncertainty of  $\frac{\delta p}{p}$  is the controlling parameter for the spatial calibration uncertainty since it is much larger than the fractional uncertainty with the respect to the measurement uncertainty when measuring the calibration object, either via caliper as shown in the following section 2.9 or via calibration target grid tolerance. After performing spatial calibration to determine the size of objects, the observed calibration scales, or resolutions, for the two cameras with respect to the calibration plane were  $0.20 \pm 0.03$  mm/px and  $0.36 \pm 0.03$  mm/px respectively.

The fractional uncertainty of the equivalent diameter in mm is represented by:

$$\frac{\delta d_e}{d_e} = \sqrt{\left(\frac{1}{2} \frac{\delta A_{px}}{A_{px}}\right)^2 + \left(\frac{\delta C_s}{C_s}\right)^2} \quad (2.11)$$

such that the spatial calibration scale  $C_s$  uncertainty is accounted for. The derivation of this general uncertainty equation is described in Appendix B. When accounting for the size estimation uncertainty of fragments or other objects, the controlling parameter is the fractional uncertainty  $\frac{\delta A_{px}}{A_{px}}$  because the method in which the pixel area is counted is dependent on the between-class variance peak and the varying background intensity in which a fragment may be travelling through including through fine particle clouds, product gas clouds, and other changes to background illumination. Additionally, the uncertainties with respect to the calibration scale are between 1-2%. The fractional uncertainties with respect to equivalent diameter for the smallest fragments was approximately 16% (0.1mm) for smaller fragments and 18% (0.5mm) for larger fragments, as shown in Table 2.2. The controlling parameter for both small and large fragments was the uncertainty due to the calibration scales; however, for the smaller fragments, the uncertainty in pixel area did have a non-negligible contribution to the overall equivalent diameter uncertainty.

The fractional uncertainty of magnification of object sizes in the shadowgraph system is given by:

$$\frac{\delta h_o}{h_o} = \sqrt{\left(\frac{\delta L_i}{L_i}\right)^2 + \left(\frac{\delta L_o}{L_o}\right)^2 + \left(\frac{\delta h_i}{h_i}\right)^2} \quad (2.12)$$

such that  $h_o$  and  $L_o$  are the object size and distances and  $h_i$  and  $L_i$  are the image size and distances respectively. The distance uncertainties are essentially the triangulation uncertainties of the object from Camera 1 as well as the calibration plane to Camera 1. The uncertainty for each triangulated point is determined by comparing the range of 3D positions in each direction obtained by calculating the triangulated point using each coordinate pair combination of image positions in the first stereo camera via equations 2.7 and 2.8, in terms of the reprojection error  $\delta r_{px}$ , as described in Section 2.7.

Table 2.2: Limits for uncertainty of equivalent diameter with respect to pixel area uncertainty for the smallest and largest fragments observed.

Area $A_{px}$ (px)	$\delta A_{px}$ (px)	eq. diam. $d_e$ (mm)	$\delta d_e$ (mm)
5	$\pm 1$	.55	$\pm 0.1$ (18%)
177	$\pm 10$	3.1	$\pm 0.5$ (16 %)

Generally, the uncertainty in the out of plane direction ( $z$  coordinates) was larger than the uncertainties in  $x$  and  $y$  coordinates in 3D space. The largest determined fractional uncertainties for  $\frac{\delta x}{x}$ ,  $\frac{\delta y}{y}$ , and  $\frac{\delta z}{z}$  were 1%, 1%, and 3%, respectively. This would suggest that  $\delta L_i$  and  $\delta L_o$  were approximately 3.5%. The controlling parameter was therefore  $\delta h_i$ , since it is the equivalent diameter uncertainty which ranged between 16% (0.1mm) for smaller fragments and 18% (0.5mm) for larger fragments.

The fractional 3D velocity uncertainty is given by

$$\frac{\delta v_{3D}}{v_{3D}} = \sqrt{\left(\frac{\delta x_{3D}}{x_{3D}}\right)^2 + \left(\frac{\delta y_{3D}}{y_{3D}}\right)^2 + \left(\frac{\delta z_{3D}}{z_{3D}}\right)^2 + \left(\frac{\delta t}{t}\right)^2} \quad (2.13)$$

which is dependent on the uncertainty of each distance component from the 3D reconstruction. The uncertainty of each position component is determined from the 3D Kalman filter process discussed in Section 2.7. This includes the measurement uncertainty from the triangulation process for each triangulated point using each coordinate pair combination between the two cameras in terms of the reprojection error  $\delta r_{px}$ . The jitter time of the SI-LUX 640 nm spoiled coherent laser was reported to be less than 5 ns, which is four orders of magnitude less than the interframe time of the recorded images. The triangulation uncertainties with respect to the 3D spatial coordinates were therefore controlling parameters of the uncertainties of the 3D velocities.

The larger velocities have a larger uncertainty than smaller velocities since the distances  $x_{3D}$ ,  $y_{3D}$ , and  $z_{3D}$  measured are smaller than the trajectories of longer trajectories associated with slower fragments. This is observed despite the distances being significantly larger than their respective uncertainties, which were determined using equations 2.7 and 2.8, in terms of the reprojection error  $\delta r_{px}$ , as described in Section 2.7. Using this method to determine the uncertainty in velocities, the fastest fragments had a fractional uncertainty in velocity of 2% (7 m/s) and the slower fragments had a fractional uncertainty of 1% (0.2 m/s), as shown in Table 2.3. When comparing this uncertainty to the uncertainty obtained from the 3D Kalman filter, for the system converging to position uncertainties of approximately 1-2% for each spatial direction, the 3D Kalman filtered velocity was approximately 2.5%, especially for fragments tracked over many frames such as in the case seen in Figure 2.18b.

Table 2.3: Limits for uncertainty of 3D velocities for the slowest and fastest fragments.

$x_{3D}$ (m)	$\delta x_{3D}$ (m)	$y_{3D}$ (m)	$\delta y_{3D}$ (m)	$z_{3D}$ (m)	$\delta z_{3D}$ (m)
0.019	$\pm 1e-4$	0.023	$\pm 1e-4$	0.011	$\pm 2e-4$
0.012	$\pm 1e-4$	0.01	$\pm 1e-4$	0.006	$\pm 2e-4$
$t$ (s)	$\delta t$ (s)	$v_{3D}$ (m/s)	$\delta v_{3D}$ (m/s)		
1.85e-3	$\pm 5e-9$	17	$\pm 0.2(1\%)$		
5e-5	$\pm 5e-9$	334	$\pm 7(2\%)$		

## 2.9 Experimental Validation

Experimental validation was performed using a stereo-calibrated shadowgraph system to demonstrate that object sizes and dimensions can be determined from their projections in each camera view and are comparable to other measurement methods. The validation setup is shown in Figure 2.20 in which a pair of Phantom V711 cameras in stereo shadowgraph systems are stereo calibrated in the same plane between two optical tables such that each shadowgraph system intersects with an approximate  $30^\circ$  angle between them.

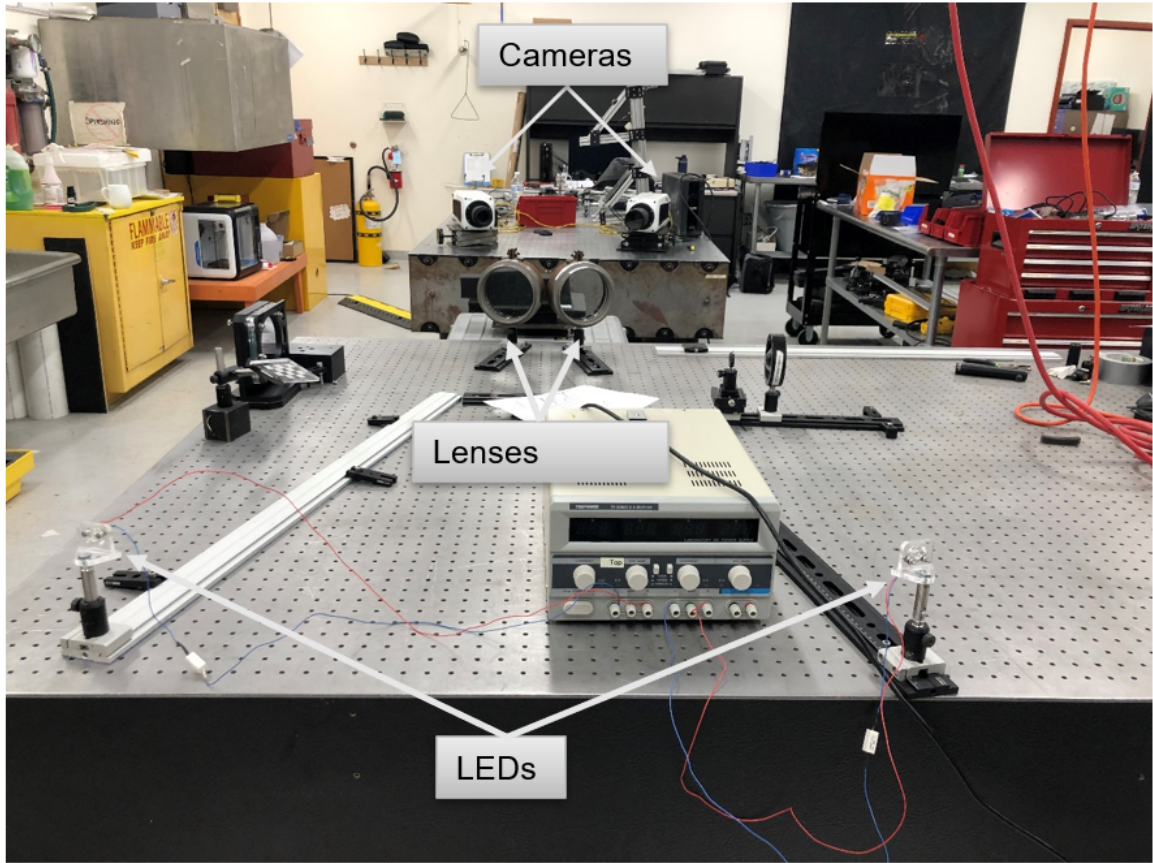


Figure 2.20: An experimental validation setup constituted by LEDs, lenses, and Phantom V711 cameras to implement stereo shadowgraphy.

The test section between the two optical tables is shown in Figure 2.21a in which a series of pinheads stuck into a piece of foam was placed in the test section, visualized in 2.21b. This stereo shadowgraph setup is intended for quantifying the ball end pin diameters optically, via the equivalent spherical assumption and extracted pixel areas, and comparing the resulting diameter with measurements performed via caliper.



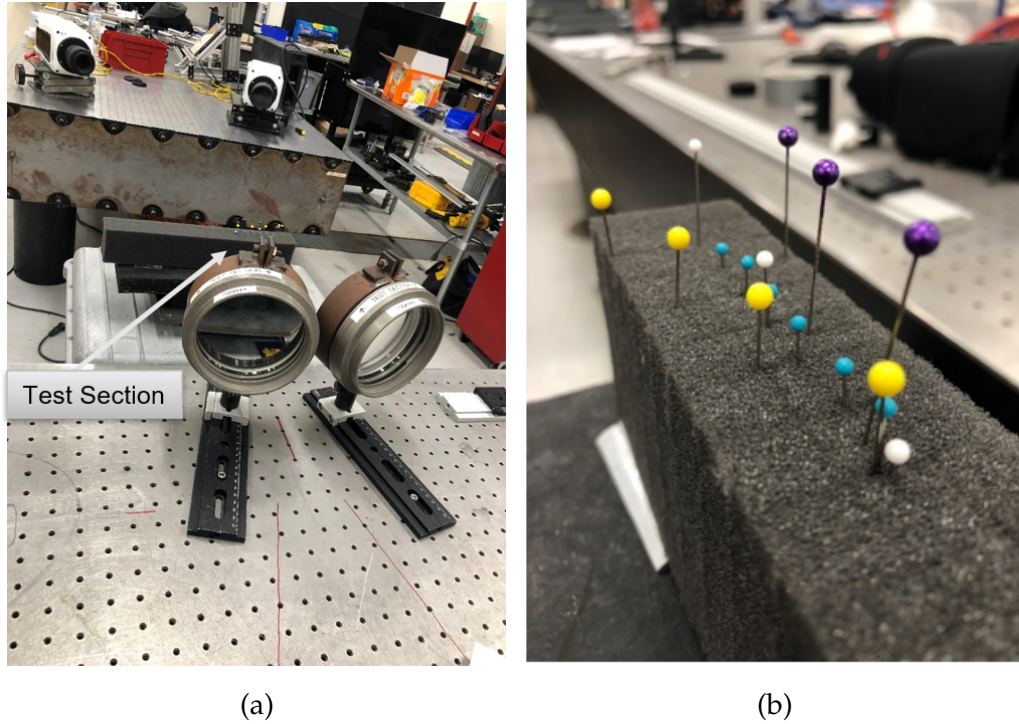


Figure 2.21: Test section with pinhead setup.

A combination of two sizes of ball end pins and varying distances from each camera are placed in the test section to demonstrate that the methodology presented in this work is appropriate. The varying distances of the objects from the camera are visualized using MATLAB's *viscircles* in Figure 2.22. The pixel areas of the ball end pins are extracted followed by a calculation of the equivalent spherical diameter, a spatial calibration conversion from pixels to millimeters, and an account for the object size from the magnification due to the lens and distance of the objects from the camera as well as the distance of the calibration plane from the camera, as done for all ballistic tests. The distance measurements were performed optically by determining the normalized distance of the 3D position for each ball end pin from the camera sensor. This position was triangulated using the centroid of each circle observed in each stereo camera.

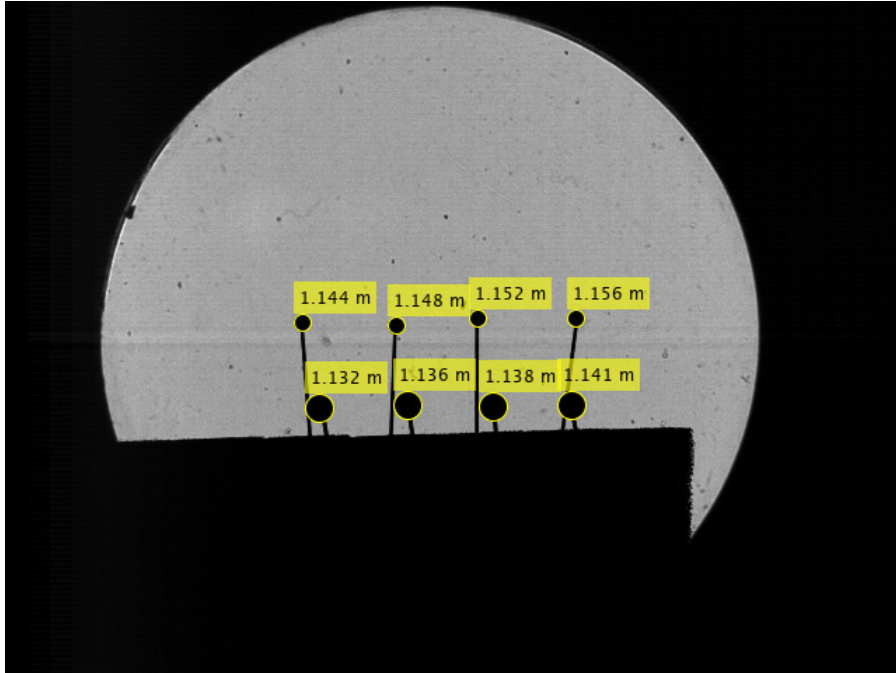


Figure 2.22: Ball end pins with their diameters denoted by yellow circles and annotated with their respective distances from one of the cameras.

A tabulated summary of the diameter of the pin heads is shown in Table 2.4 such that the associated uncertainties of the measurements optically are determined from the fragment size uncertainty propagation equations discussed in Section 2.8.

Table 2.4: Comparison of diameters dimensions of Ball End pins measured via caliper and optically.

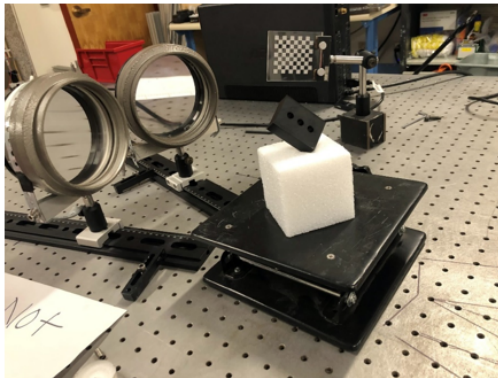
	Caliper	Optically Measured Size
blue pin 1	$2.49 \pm 0.01$ mm	$2.5 \pm 0.2$ mm
blue pin 2	$2.45 \pm 0.01$ mm	$2.6 \pm 0.2$ mm
blue pin 3	$2.48 \pm 0.01$ mm	$2.5 \pm 0.2$ mm
blue pin 4	$2.45 \pm 0.01$ mm	$2.5 \pm 0.2$ mm
purple pin 1	$4.06 \pm 0.01$ mm	$4.2 \pm 0.2$ mm
purple pin 2	$4.10 \pm 0.01$ mm	$4.1 \pm 0.2$ mm
purple pin 3	$4.04 \pm 0.01$ mm	$4.1 \pm 0.2$ mm
purple pin 4	$4.06 \pm 0.01$ mm	$4.2 \pm 0.2$ mm

Table 2.4 shows that with consideration of the uncertainties of the measurements, the methods produce equivalent diameter measurements. Following the validation of ball end pin sizes to mimic the fragment size estimation process, a validation of reconstruction of triangulated points using objects with known dimensions in 3D space is performed using a block and a stereo shadowgraph system. Table 2.5 show that the methods, via measuring tape and optically, produce

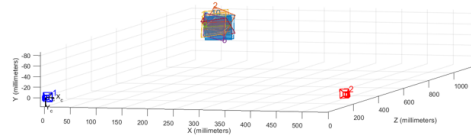
Table 2.5: Comparison of triangulated distances of Ball End pins and distances measured via caliper of the same block.

	Measuring Tape	Optically Measured Distance
blue pin 1	$1.143 \pm 0.003$ m	$1.144 \pm 0.002$ m
blue pin 2	$1.147 \pm 0.003$ m	$1.148 \pm 0.002$ m
blue pin 3	$1.151 \pm 0.003$ m	$1.152 \pm 0.002$ m
blue pin 4	$1.156 \pm 0.003$ m	$1.156 \pm 0.002$ m
purple pin 1	$1.132 \pm 0.004$ m	$1.132 \pm 0.002$ m
purple pin 2	$1.135 \pm 0.004$ m	$1.136 \pm 0.002$ m
purple pin 3	$1.139 \pm 0.004$ m	$1.138 \pm 0.002$ m
purple pin 4	$1.142 \pm 0.004$ m	$1.141 \pm 0.002$ m

equivalent distance measurements of the ball end pins from the camera. The uncertainty in the measurement of the distance via measuring tape was larger than the optical method in this case since the measurement required placement of the measuring tape atop the ball end pins. The physical size of the ball end pins was on the order of tenths of a centimeter, with the conservative uncertainty being approximately the diameter of the ball end pins.



(a)



(b)

Figure 2.23: A block placed in a stereo-calibrated shadowgraph system serves as a validation object for 3D reconstruction.

A separate test to quantitatively validate the position reconstruction and distance measurements optically by placing a block in the test section of a stereo shadowgraph system, shown in Figure 2.23a. A stereo calibration is applied to construct the extrinsic properties of the stereo shadowgraph setup associated with the block dimension reconstruction validation test is observed in Figure 2.23b. The goal of this validation test is to measurement of the dimensions of a block with known length, width, and height optically and compare with the dimensions measured via caliper. The block has shadowgraph projections in each

camera view and it is oriented such that the 3 unique dimensions of the block are visible. The unique vertices are identified to be the same corner of the block, as shown in Figure 2.24a and 2.24b, and is triangulated in 3D space with respect to one of the cameras.

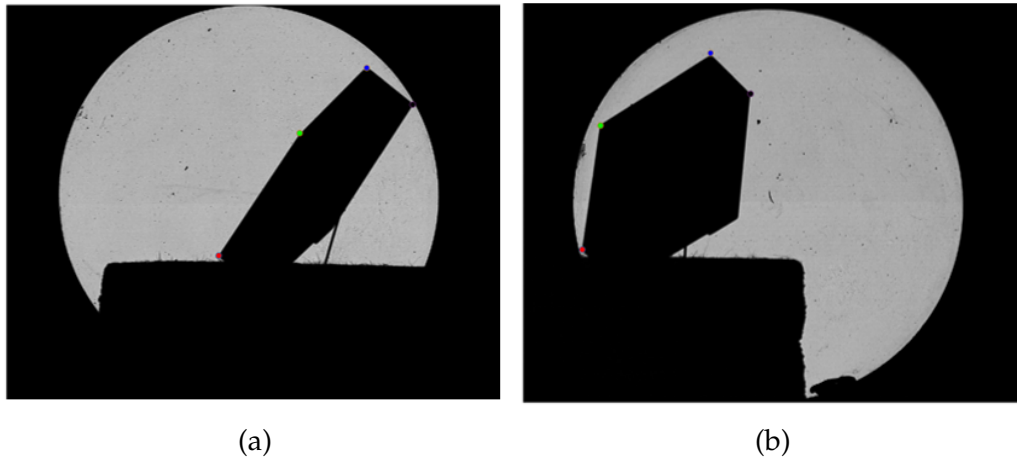


Figure 2.24: Unique vertices of block are identified and denoted with corresponding colored points to indicate the same vertex seen in each camera view.

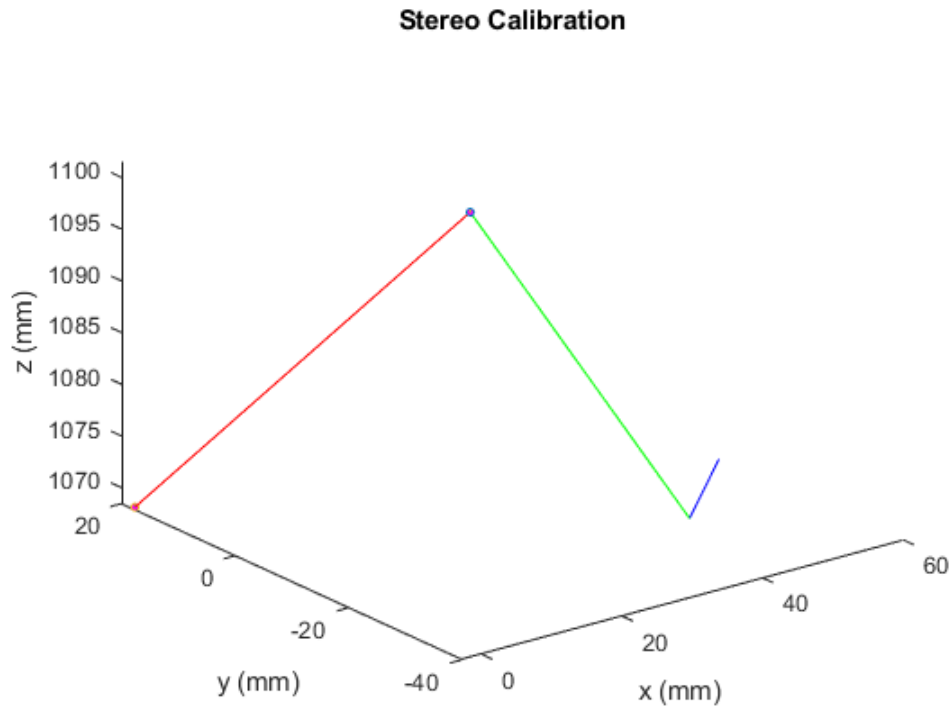


Figure 2.25: The reconstruction of the block dimensions with red, green, and blue lines corresponding to the length, width, and thickness dimensions of the block.

Connecting the points in 3D space allow for the reconstruction of the dimensions of the block optically as shown in Figure 2.25. A tabulated summary of the dimensions of the block is shown in Table 2.6 such that the associated uncertainties of the measurements optically are determined from the triangulation sensitivity for each reconstructed point. The table shows that with consideration of the uncertainties of the measurements, the methods produce equivalent measurements of lengths. Generally, the uncertainty in the out of plane direction ( $z$  coordinates) was larger than the uncertainties in  $x$  and  $y$  coordinates. The measured dimensions for the block all show similar uncertainties because the block was oriented at an arbitrary angle accounting for all of these differences.

Table 2.6: Comparison of triangulated dimensions of block and dimensions measured via caliper of the same block.

Dimension	Caliper	Triangulated
length	$50.84 \pm 0.01$ mm	$50.7 \pm 0.3$ mm
width	$38.03 \pm 0.01$ mm	$37.5 \pm 0.4$ mm
thickness	$15.69 \pm 0.01$ mm	$15.8 \pm 0.2$ mm

## CHAPTER 3

### EXPERIMENTAL RESULTS

#### 3.1 3D trajectory reconstruction and velocity estimation

For several ballistic impact-fragmentation tests, numerous large fragments were observed rebounding off the steel anvil through fine particle clouds. From the automated tracking procedure, fragments were tracked for each stereo camera, as shown in Figure 3.1 with colored 2D trajectories for each camera. Figure 3.1 shows that for some example frames 200 microseconds apart, the velocities assigned to the fragment 2D trajectories correspond to the 3D velocities obtained from the 3D Kalman filters. Generally, the majority of observed fragments travelled slowly at velocities below 50 m/s, with only a few fragments travelling faster with yellow or green color tracks, as noted by the color bar in Figure 3.1.

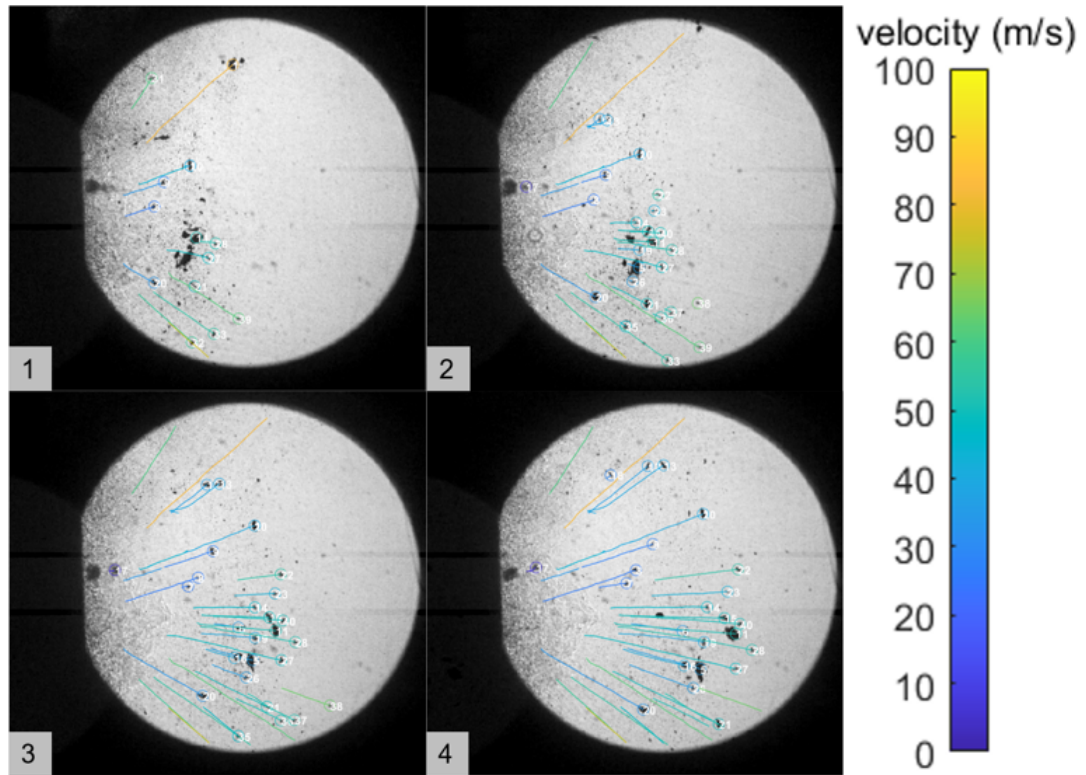


Figure 3.1: 4 non-sequential frames of tracked fragments in the high-fidelity camera 200 microseconds apart.

From the automated tracking algorithm, the 3D Kalman filtering process was applied to each reconstructed trajectory, as shown in Figure 3.2 for a single particle track. The smooth Kalman filtered trajectory is denoted in blue whereas the positions from the stereo reconstruction is denoted in green. The percent errors between the Kalman filtered trajectory and reconstructed trajectory in the position for this trajectory was approximately within 2%.

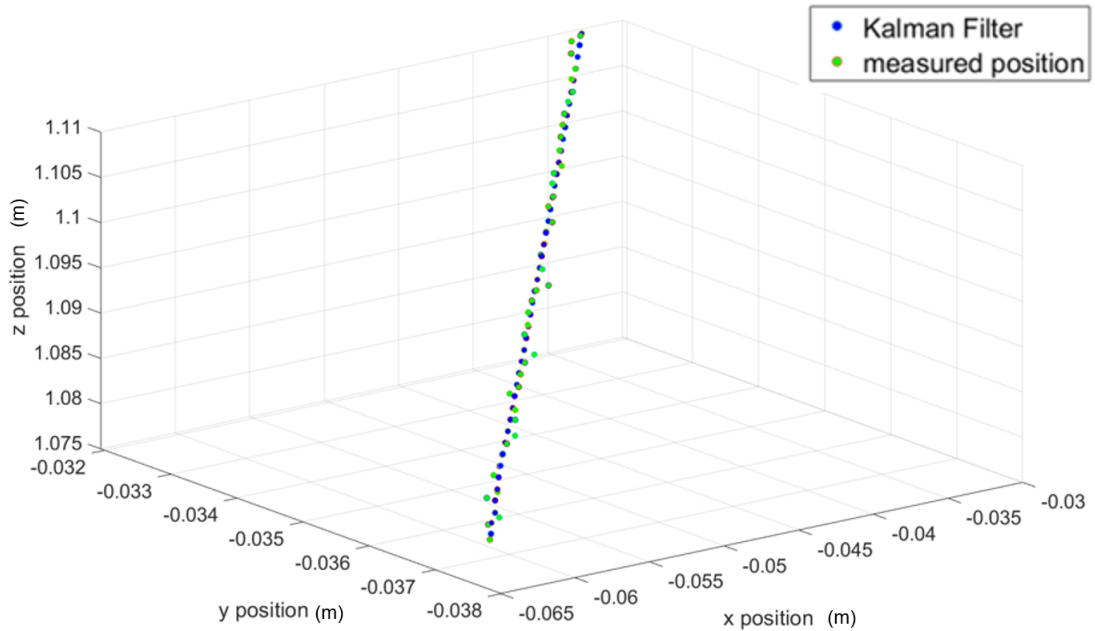


Figure 3.2: Comparison of measured and estimated 3D trajectory for a representative fragment.

For a series of impact tests, Figure 3.3 shows 3D reconstructions for many fragments travelling away from the point of contact and the 3D path of the incident projectile denoted with black data points. The fragment trajectories are colored corresponding to the color bar mapping their individual velocities. The number of reconstructed fragment trajectories varied such that each test shown in Figure 3.3 (a-e) had 43, 43, 37, 32, and 20 reconstructions, respectively. The range in velocities of the same fragments was from 17 to 334 m/s. Generally, the fragments with large angles from the x-axis had a tendency to travel at larger velocities. The back-projected trajectories of the fragments approximately showed an origin near the impact point of the plate coinciding with the trajectory of the incident projectile.



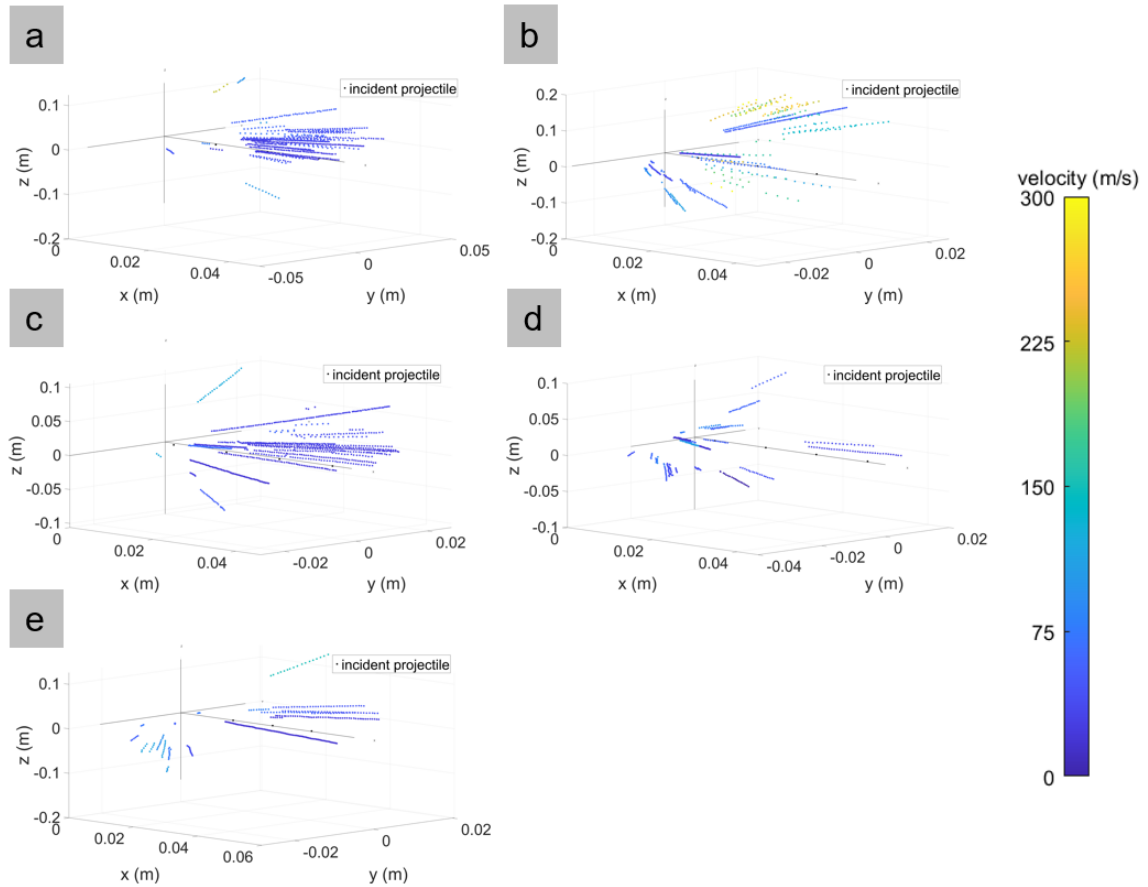


Figure 3.3: Reconstructions for multiple impact tests and their associated velocities.

The impact-fragmentation tests performed had a wide variation of observed fragmentation behavior due to the variations in powder loads for launching the projectiles, unintended induced angles of attack, as well as the occasional pre-impact fragmentation from inside the barrel or after exiting the sabot stripper. The impact fragmentation resulted in large fragments as well as fine particle clouds. The overlap of fragment projections, especially in the early time of tracking fragments also mitigated the number of successful reconstructions. The successful reconstructions were observed to have been the largest fragments in the shared optical test section region. The mismatched image resolutions for the cameras effectively reduced the amount of successful reconstructions. From individual cameras, the smallest fragment size successfully 3D reconstructed had a pixel area of 2-5 pixels. The reliability of this small size tracking was significantly limited by the different camera resolutions which were also effectively reduced due to the Bayer filter and monochromatic illumination.

Generally, incident projectiles with apparent unintended induced angles of attack resulted in fragments with a tendency to have large velocity  $z$  components. Fragments with significant angles from the  $x$ -axis travelled within the field of

view for a significantly shorter time than fragments with smaller angles, limiting the ability to reconstruct their trajectories due to limited visibility.

Uncertainty propagation for the reported 3D velocities was performed by accounting for the spatial uncertainties in the trajectory reconstruction process. The propagation of the reprojection error in either camera had a mean value of  $\delta r_{px} = 0.34$  px which is applied to each coordinate pair combination between the two cameras to determine the error associated with the triangulation process for each triangulated point, as described by equations 2.7 and 2.8 in Section 2.7. The largest determined fractional uncertainties for  $\frac{\delta x_{3D}}{x_{3D}}$ ,  $\frac{\delta y_{3D}}{y_{3D}}$ , and  $\frac{\delta z_{3D}}{z_{3D}}$  were 1%, 1%, and 2%, respectively. The jitter time of the SI-LUX 640 nm spoiled coherence laser was reported to be less than 5 ns. The uncertainty of the velocity obtained from the 3D Kalman filter process and the uncertainty propagation of the spatial coordinates and time was thus approximately 1-2% for the velocity field shown in Figure 3.3, as discussed in Section 2.8.

### 3.2 Fragment Size Estimation and Bivariate Histograms

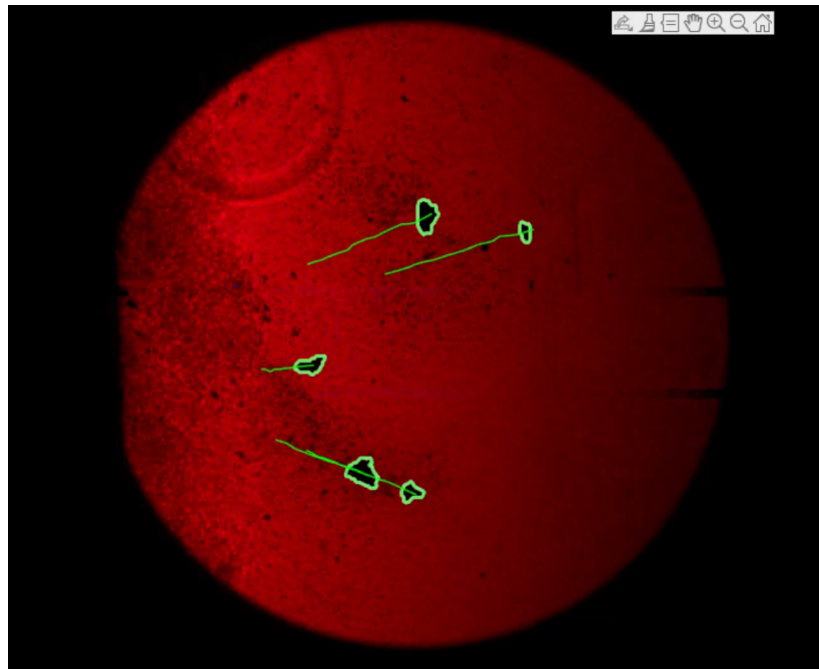


Figure 3.4: Boundaries highlighting the measured areas of the 5 largest fragments indicated in green.

The non-parallel light of the shadowgraph systems affects the projected size of the objects as they pass through the test section due to the magnification of the

objects. The fragment projection sizes are determined by finding the magnified size with respect to the calibration plane. A similar triangle geometry is assumed using the distance from the camera to the objects and the distance of the camera to the calibration plane to account for magnification. The depth of the objects, or distance from the primary camera, are visualized in Figure 3.5.

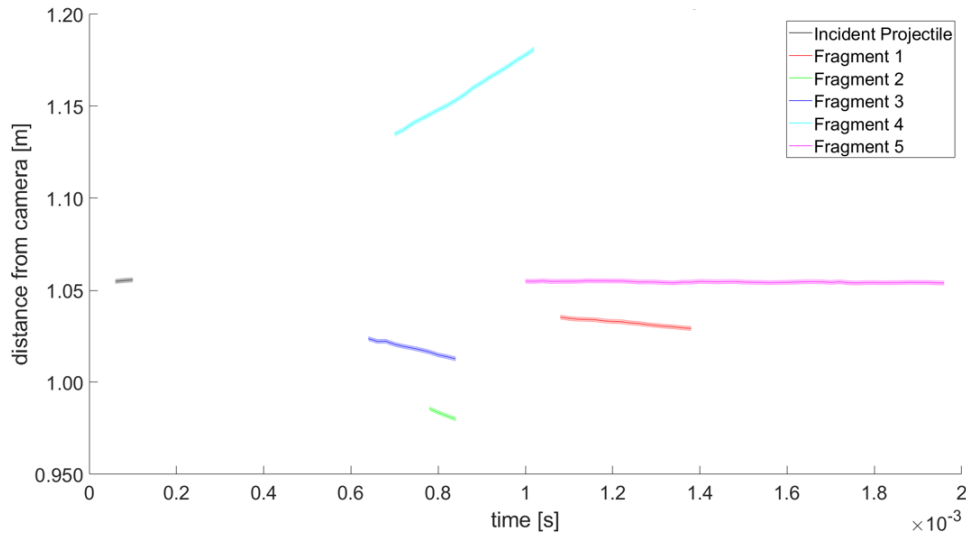


Figure 3.5: Distance of the incident projectile and the fragments shown in figure 3.4 from the camera as a function of time.

For each fragment, the uncertainty in the pixel area is determined by finding the range of maximum between-class variance values associated with the range of threshold values in Otsu’s method. This range of thresholds is used to observe the range in pixel area possible using Otsu’s method of binarization to obtain the pixel area error  $\delta A_{px}$ .

The area for each fragment is determined over the sequence of frames they are tracked through. Measured fragment pixel areas are presented in Figure 3.6 where the uncertainty is shown as the light colored region around solid line measurements. When plotted as a function of frame number, it is observed that particles have an oscillating pixel area. This is attributed to the particles having a non-spherical shape and rotating about multiple axes. For a sequence of images, the equivalent spherical assumption is utilized then averaged over the time that a representative fragment is tracked simultaneously in each camera. The conversion from pixel area to equivalent spherical diameter for a representative fragment, and the associated time-averaged equivalent spherical diameter, is shown in Figure 3.7. Motion blur did not affect particle sizes since for the largest fragment velocities observed, the distance travelled would have been approximately on the order of microns. This distance is significantly smaller the resolution of the cameras while using the 20 ns pulse width of laser illumination.

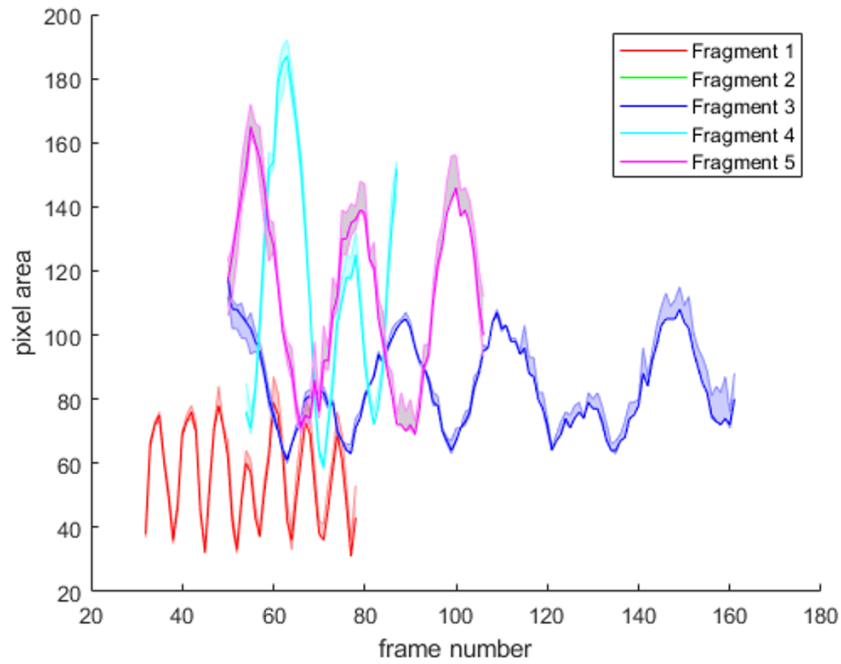


Figure 3.6: The pixel area and associated uncertainty with time for 2D projections of 5 representative tracked fragments.

Examples of multiple fragment equivalent spherical diameters with respect to time are visualized for 5 fragments tumbling at different rates in Figure 3.8. The difference in the size of peaks and valleys of the observed oscillatory behavior reflect the rate of tumbling on different axes.

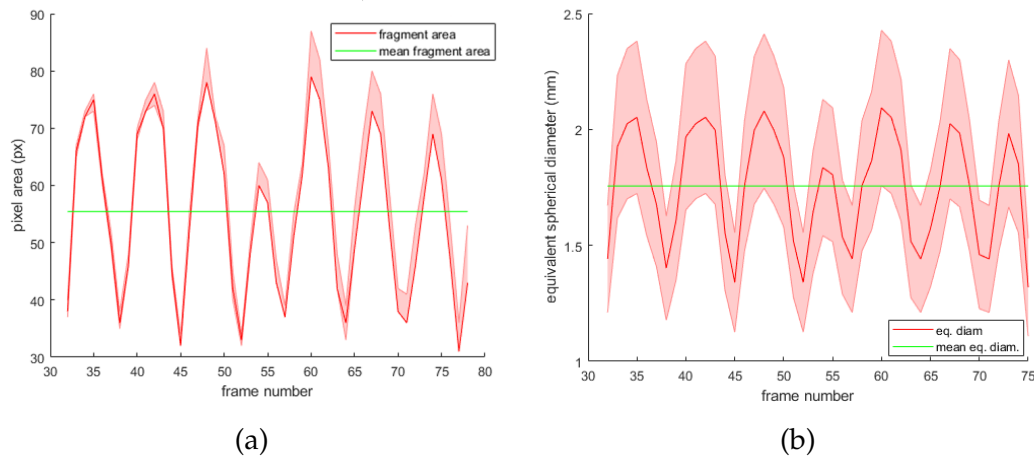
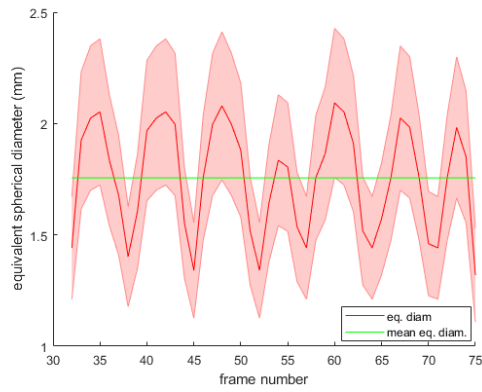
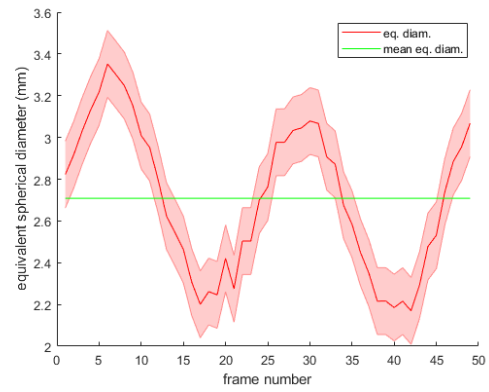


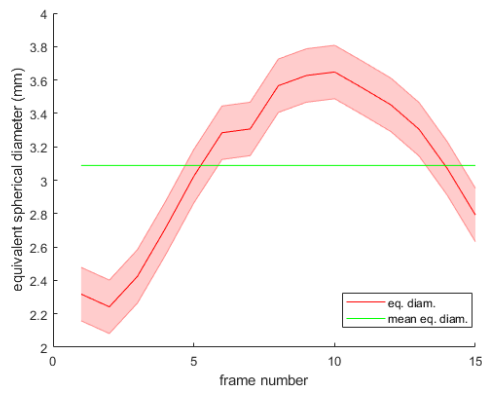
Figure 3.7: (a) Pixel area for a fragment and (b) equivalent diameter for a representative fragment with respect to frame number.



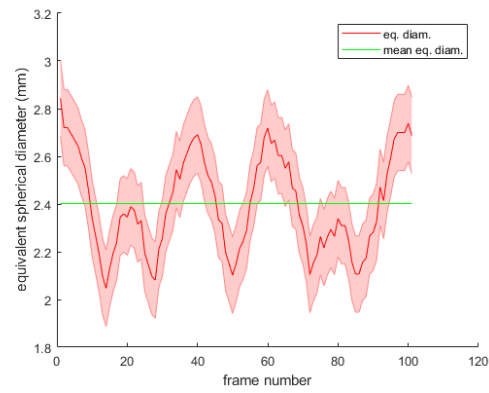
(a)



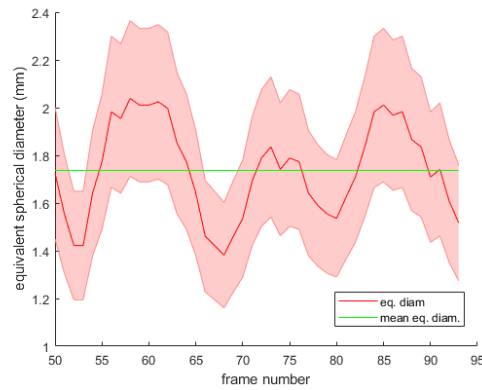
(b)



(c)



(d)



(e)

Figure 3.8: The equivalent spherical diameters of the same fragments in Figure 3.4 tracked simultaneously in each camera

For the same impact fragmentation tests shown in Figure 3.3, bivariate histograms describe the frequency of reconstructed fragment trajectories with re-

spect to the fragment velocities and the mean equivalent spherical diameter. Simultaneously tracked fragments and their respective sizes and velocities are visualized in bivariate histograms in Figure 3.9. For the data in Figure 3.9, the mean estimated equivalent spherical diameter sizes ranged from approximately 0.5 to 3.1 mm in size. The majority of fragments across multiple impact-fragmentation tests had a size between 0.5 and 1.5 mm. The majority of fragments travelled at velocities between 17 to 100 m/s. While the fragments larger than 1.5 mm tended to travel at speeds between 17 to 50 m/s and the smaller fragments between 0.5 to 1.5 mm in size travelled between the full range of observed velocities (17 to 340 m/s).

Table 3.1 summarizes the mass and velocities of the ballistically launched cylindrical aluminum projectiles, and their respective momentum and kinetic energy. For comparison, after impact the images were analyzed to measure the resulting mass, momentum, and kinetic energy of the fragments. The mass for each fragment

$$m = \rho \frac{\pi}{6} d_e^3 \quad (3.1)$$

is comprised of the uniform density  $\rho$  assumption and the spherical volume calculation in terms of the equivalent spherical diameter  $d_e$ . Momentum for each fragment is calculated using

$$p = mv_{3D} = \left(\rho \frac{\pi}{6} d_e^3\right) v_{3D} \quad (3.2)$$

in terms of mass and the 3D velocity of the fragment,  $v_{3D}$ . The kinetic energy for each fragment is calculated by

$$T = \frac{1}{2} m v_{3D}^2 = \frac{1}{2} \left(\rho \frac{\pi}{6} d_e^3\right) v_{3D}^2 \quad (3.3)$$

with the same assumptions. The 2D fragment projections are used to calculate a total mass of the three-dimensionally tracked fragments after impact, which is calculated by summing the masses of each fragment. Similarly, the total momentum of the three-dimensionally tracked fragments is calculated by summing the momentum of each fragment and likewise for total kinetic energy.

A mass ratio is calculated from the division of the total mass of the tracked fragments by the known mass of the projectile. Similarly, the momentum ratio is calculated from the division of the total momentum of the tracked fragments by the momentum of the incident projectile. The kinetic energy ratio is calculated from the total kinetic energy of the tracked fragments by the kinetic energy of the incident projectile. Table 3.2 summarizes the optically recovered mass, momentum, and kinetic energy ratios for fragments for each impact test compared to the incident projectile's properties in Table 3.1. The total mass of the fragments with successfully reconstructed trajectories was compared to the mass of the incident projectile in reported mass ratios, ranging from 21% to 45%. After comparing the estimated mass from 2D projections of all detected fragments in one camera,

the mass ratio was approximately 95% of the incident projectile’s mass. The mismatched resolutions of the cameras significantly affected the number of successful reconstructions and the ability to resolve smaller matching fragments in both cameras simultaneously. The ratio of the total momentum of the fragments with successfully reconstructed trajectories to the momentum of the incident projectile ranged from 1.3% to 8.2%. The ratio of the total kinetic energy of the fragments with successfully reconstructed trajectories to the kinetic energy of the incident projectile ranged from 0.08% to 2.1%.

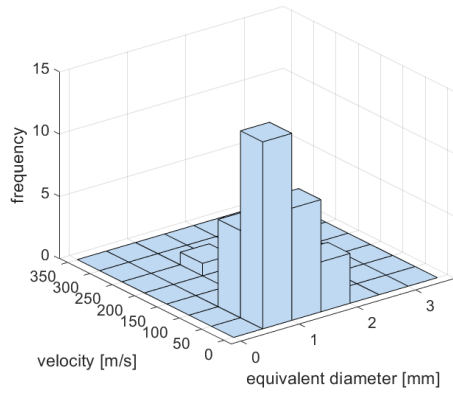
The resolution played a contributing factor in the ability to resolve the same fragments in each camera, which significantly impact the number of reconstructed fragment trajectories and the associated mass ratios, which were much smaller than the mass ratio of 95% of fragments tracked in a single camera. Similarly, the reported momentum and kinetic energy ratios are dependent on the masses of fragments with successfully reconstructed fragment trajectories and therefore the ratios reported in Table 3.2 are smaller than expected since the mass ratios reflects the reduced number of successful trajectories.

Table 3.1: A summary of the properties for each incident projectile.

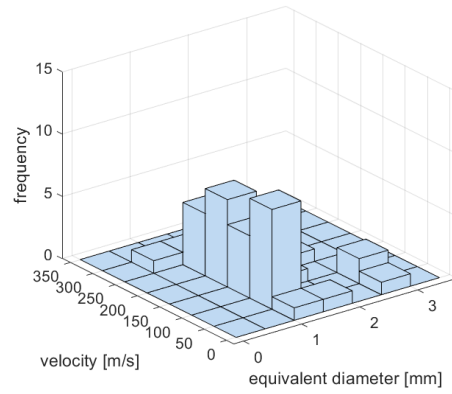
Test #	Mass (g)	Density (g/cm <sup>3</sup> )	Velocity (m/s)	Momentum (kg m /s)	Kinetic Energy (J)
1	0.488	2.604	640	0.31	98.7
2	0.502	2.590	690	0.35	119
3	0.472	2.545	630	0.30	93.7
4	0.485	2.598	630	0.30	96.0
5	0.476	2.607	603	0.29	86.5

Table 3.2: A summary of the ratios of the fragment mass, momentum, and kinetic energy for fragments with successfully reconstructed 3D trajectories to the incident projectile.

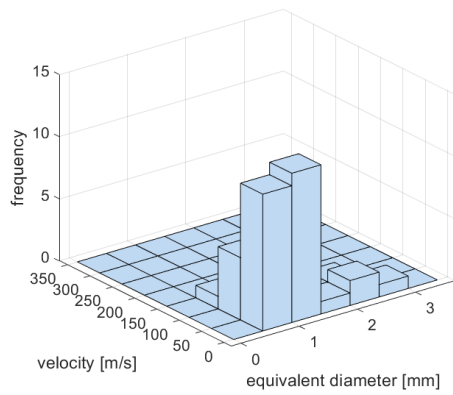
Test #	Mass Ratio	Momentum Ratio	Kinetic Energy Ratio
1	21%	1.4%	0.12%
2	45%	8.2%	2.1%
3	25%	1.3%	0.08%
4	41%	3.3%	0.36%
5	29%	2.4%	0.3%



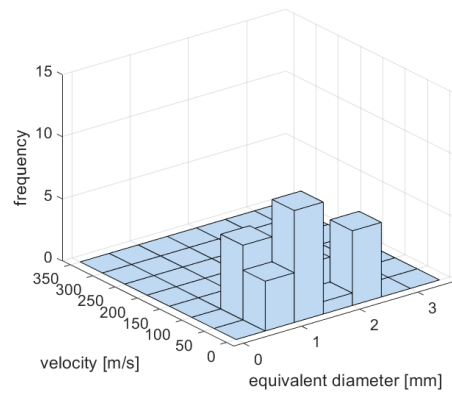
(a)



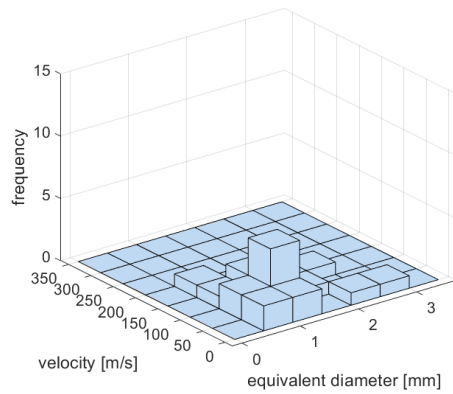
(b)



(c)



(d)



(e)

Figure 3.9: Bivariate histograms of the series of impact fragmentation tests.



## CHAPTER 4

### CONCLUSION

#### 4.1 Conclusion

The methodology for simultaneous in-situ trajectory, velocity, and size estimates have been successfully developed for fragments resulting from ballistically-launched impacts of reactive material specimens over multiple tests. This work utilized stereo shadowgraph imaging to obtain 3D information of in-flight fragments after steel plate impacts. Digital image processing techniques, including image segmentation, were applied to high-speed shadowgraph images to obtain the location and projected area of fragments. Kalman filter-based tracking was implemented for each camera view and 3D trajectories were reconstructed from the triangulation of fragments, uniquely identified using the epipolar geometry of the stereo shadowgraph setup. Velocities of fragments were determined using 3D Kalman filters applied to the reconstructions. Successfully tracked fragments allowed for the measurement of the fragment sizes at each time step and visualization of the fragments rotating on multiple axes. After comparing the uncertainty of the velocity measurements via the 3D Kalman filters with the uncertainty propagation calculations, the former approached the latter fractional uncertainties, serving as a comparison tool that suggested the 3D velocities could be obtained solely from the trajectory reconstruction. For the simplicity in performing the uncertainty propagation calculations, estimation of the velocities directly from the reconstructed trajectory is preferred.

Some limitations of the optical diagnostics methodology explored in this work are significant differences in resolution between the two mismatched cameras used in the stereo calibration process as well as due to the color camera Bayer filter on resolution; however, this body of work suggests that mismatched cameras can still perform in-situ optical diagnostics despite limiting the minimum size of fragments tracked simultaneously in each camera. The difference in resolutions between the two cameras also contributed to the reduced number of successful 3D trajectory reconstructions.

The stereo shadowgraph system implemented in this work was successful in the goal of obtaining fragment area projections as well as depth information of fragments. The benefits of this intersecting configuration of single-lens focused shadowgraph systems includes the simplicity of the experimental setup and adjustments. The challenges of this system is the limitation of the field of view and

the size of overall optical test section comprised from the intersecting converging light cones, both restricted by the size of the lenses utilized. Additionally, the occlusion of fragments was a source of impediment for tracking despite using two single-lens focused-shadowgraph orientations.

The unique measurements performed in this work includes the area measurement and the resolution of fragments rotating on multiple axes. This was achieved using the combination of the tracking algorithms and the precise area extraction via image segmentation techniques. Mean equivalent spherical diameters of fragments were determined with the consideration of the depth of objects in the test section, the magnification, and the tumbling of area projections.

Simultaneous velocities and fragment sizes for fragments are visualized in bivariate histograms to represent the result of the fragmentation behavior of RM samples undergoing high-velocity impacts. They provided insight into the most frequent size and velocities represented by fragments observed for an individual high velocity impact. The bivariate histograms were also useful for comparing the range of observed fragment sizes and velocities, from test to test, with varying incident projectile velocities.

## 4.2 Future Work

In future work, the optical diagnostic techniques can be applied to other studies of fragmentation behavior including explosive casings, warheads, ballistics of other compositions, etc. Additionally, future work includes the application of the PTV techniques discussed in this work using other shadowgraph techniques, including projective shadowgraphy in stereo, for larger scale field tests of fragmentation behavior. When applying tracking techniques to larger fields of view, future work could also apply an acceleration model of motion and quantify the force of drag on fragments, or use non-linear Kalman Filtering techniques. Comparisons of Kalman filter-based tracking to DIC techniques should also be explored.

Future work should consider applying different geometric assumptions when performing size analysis of fragments such as other polygonal geometries. The continuous measurement of fragment projection pixel area akin to a computerized particle size analyzer approach allows for observed oscillations in area that could be used in conjunction with other shape factors and geometric assumptions for size estimation of fragments. Improvements to the tracked size estimation approach could include the reconstruction of the 3D volume via a convex hull or a true shape from the rotation for fragments. The next steps for obtaining bivariate histograms from the size and velocity measurements of fragments as a result of high velocity impacts could include the true shape of fragments from the observed rotations.

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# APPENDIX A

## MATLAB CODE

### A.1 Automated Fragment Detection

```
1 %% Sean Palmer, New Mexico Tech, SGDL 2022
2 % AutoFragDetection.m
3 % A code for detecting and extracting fragment positions to ...
   input into
4 % Kalman filter-based tracking codes.
5
6
7 %% clear everything
8 clc
9 clear all
10 close all
11
12 %% load preset variables from Fragment Detection Preview Codes
13 % filePath = 'D:\Sean\Documents\20210903_THOR_Testing\shot 4\';
14 % cd(filePath)
15 % load('savedVariablesShot4_2000to50v2.mat');
16
17 %% load camera images
18 currentFolder = pwd;
19 % pathname = 'D:\Sean\Documents\20210903_THOR_Testing\shot ...
   4\Test1\20210903_THOR_Shot4_Phantom v711';
20 pathname = strcat(currentFolder,...
21   '\input files\stereo sample files\test 11 25736\actual');
22 cd(pathname);
23 Cam = dir('*tiff'); % list of all tif images
24
25 %% initialize or override variables to begin automated detection ...
   of frag
26 startFrame = 45; % user defined input of when to start process
27 finalFrame = 100; % user defined input of when to end process
28 Nfiles = finalFrame - startFrame + 1; % number of files
29 maximumSize = 200; % the maximum pixel area to observe
30 minimumSize = 5; % the minimum pixel area to observe
31 X = cell(1,Nfiles); %detection X coordinate indices
32 Y = cell(1,Nfiles); %detection Y coordinate indices
```



```

33 xoffset = 0; % controls the window of where to accept detections ...
    of frag
34
35 %% detect fragments in images
36 for i = startFrame: finalFrame
37     %% read in images
38     I1 = imread(Cam(i).name); % first image in processing sequence
39     %     background = imread(Cam(1).name); % background image
40     %     subtracted = (background - I1); % perform image ...
    subtraction if desired
41 %
42     %% if desired, can use Otsu's method (threshold based image ...
    segment.)
43 %     level = graythresh(I1); %
44 %     bw = ~imbinarize(I1, level);
45 %     figure, imshow(bw, [])
46     %% image segmentation/binarization
47     bw = segmentImage2(I1); % perform image segmentation
48     labeled = bwlabel(bw); % labels regions
49
50
51     % preview images if desired
52 %     figure, imshow(bw, [])
53 %     title('cam 1 bw')
54 %     figure, imshow(labeled, [])
55 %     title('cam 1 label')
56
57     %% look at all detected regions and extract properties
58     % all detected regions, or particles
59     properties = regionprops(labeled, 'all');
60     fragmentAreas = [properties.Area]; % save pixel areas of regions
61     desiredSizes = (fragmentAreas ≤ maximumSize) & ...
        (fragmentAreas ≥ minimumSize); % desired sizes of fragments
62     desired_indices = find(desiredSizes); % labels of desired ...
        fragments
63
64     %% isolate desired detected regions
65     if ~isempty(desired_indices)
66         % isolate desired labels on labeled image
67         desiredFragmentImage = ismember(labeled, desired_indices);
68         % Label each regions for future measurements
69         labeledDesiredImage = bwlabel(desiredFragmentImage);
70         % figure, imshow(labeledDesiredImage)
71         % title('labeledDesiredImage')
72
73         %% obtain properties of isolated regions
74         properties2 = regionprops(labeledDesiredImage, 'all');
75
76         % sort set number of particles by area, largest to ...
            smallest if

```

```

77     % desired
78     get_particles = [properties2.Area];
79     % [get_particles, j] = sort(get_particles, 'descend');
80     % largeToSmall = sort(properties2, 'descend');
81
82     % save centroids and other properties in variables
83     centroids2 = [properties2.Centroid];
84     equivDiam2 = [properties2.EquivDiameter];
85     boundBox = [properties2.BoundingBox];
86     numberOfBoxes = length(equivDiam2);
87     boxes = reshape(boundBox, [], numberOfBoxes);
88     x_cent = centroids2(1:2:end-1);
89     y_cent = centroids2(2:2:end);
90     imshow(I1, [])
91     if i == startFrame
92         h = drawcircle('Color', 'g', 'FaceAlpha', 0.0);
93         x0 = h.Center(1);
94         y0 = h.Center(2);
95         r = h.Radius;
96     else
97         h = ...
98             drawcircle('Center', [x0, y0], 'Radius', r, 'Color', 'g', ...
99                 'FaceAlpha', 0.0);
100
101     end
102     hold on
103
104     for n = 1:length(x_cent)
105         if (x_cent(n)-x0)^2 + (y_cent(n)-y0)^2 ≤ r^2
106             xCent(n) = x_cent(n);
107             yCent(n) = y_cent(n);
108         end
109     end
110
111     %save centroids into cells
112     x_centroid{i - startFrame + 1} = xCent;
113     y_centroid{i - startFrame + 1} = yCent;
114     equivalentDiameter{i - startFrame + 1} = equivDiam2;
115
116     % plot centroids
117     for k = 1 : length(xCent)
118         if x_cent(k) > xoffset % show only centroids of ...
119             past offset
120                 plot(xCent(k), yCent(k), 'r+');
121             end
122         end
123     end
124     drawnow();
125 end

```

```

124
125 %% save detections into a directory of user's choice
126 detectionsDirectory = strcat(currentFolder,...
127     '\saved files');
128 mkdir(detectionsDirectory)
129 cd(detectionsDirectory)
130 save('detections_stereo_test11_size5to100.mat', 'x_centroid', ...
131     'y_centroid')
132
133
134 %% image segmentation functions
135
136 % image segmentation of color images: flood fill-based
137 function [BW,maskedImage] = segmentImage (RGB)
138 %segmentImage Segment image using auto-generated code from ...
139     imageSegmenter app
140 % [BW,MASKEDIMAGE] = segmentImage(RGB) segments image RGB using
141 % auto-generated code from the imageSegmenter app. The final ...
142     segmentation
143 % is returned in BW, and a masked image is returned in MASKEDIMAGE.
144
145 % Auto-generated by imageSegmenter app on 21-Mar-2022
146 %-----
147
148 % Convert RGB image into L*a*b* color space.
149 X = RGB;
150
151 % Auto clustering
152 s = rng;
153 rng('default');
154 L = imsegkmeans(single(X),2,'NumAttempts',2);
155 rng(s);
156 BW = L == 2;
157
158 % Flood fill
159 row = 170;
160 column = 542;
161 tolerance = 5.000000e-03;
162 normX = sum((X - X(row,column,:)).^2,3);
163 normX = mat2gray(normX);
164 addedRegion = grayconnected(normX, row, column, tolerance);
165 BW = BW | addedRegion;
166
167 % Create masked image.
168 maskedImage = RGB;
169 maskedImage(repmat(~BW,[1 1 3])) = 0;
170 end

```

```

171 % image segmentation of grayscale images: adaptive thresholding
172 function [BW,maskedImage] = segmentImage2(X)
173 %segmentImage Segment image using auto-generated code from ...
    imageSegmenter app
174 % [BW,MASKEDIMAGE] = segmentImage(X) segments image X using ...
    auto-generated
175 % code from the imageSegmenter app. The final segmentation is ...
    returned in
176 % BW, and a masked image is returned in MASKEDIMAGE.
177
178 % Auto-generated by imageSegmenter app on 26-Mar-2022
179 %-----
180
181
182 % Adjust data to span data range.
183 X = imadjust(X);
184
185 % Threshold image - adaptive threshold
186 BW = imbinarize(X, 'adaptive', 'Sensitivity', 1.0, ...
    'ForegroundPolarity', 'bright');
187
188 % Invert mask
189 BW = imcomplement(BW);
190
191 % Create masked image.
192 maskedImage = X;
193 maskedImage(~BW) = 0;
194 end

```

## A.2 Multi Fragment Kalman Filter (constant velocity)

```

1 %% Sean Palmer, New Mexico Tech, SGDL 2022
2 % KalmanTracking.m
3 % A code for detecting and extracting fragment positions to ...
    input into
4 % Kalman filter-based tracking codes.
5 %% clear everything
6 clc
7 clear all
8 close all
9 %%
10 %
    References
11 % Buehren, Markus (2020). Functions for the rectangular assignment
12 % problem version 1.5.0.0. assignmentoptimal source code
13 % ...
    https://www.mathworks.com/matlabcentral/fileexchange/6543-functions-for-the-

```

```

14 % MATLAB Central File Exchange. Retrieved October 28, 2020.
15
16 %% user defined initial conditions
17 % startFrame = 40; % THOR
18 % finalFrame = 60; % THOR
19
20 % IndianHead data
21 startFrame = 45; % user defined input of when to start process
22 finalFrame = 100; % user defined input of when to end process
23 range1 = finalFrame - startFrame + 1; %restrict number of frames ...
    of interest
24 num_particles = 100; %number of particles
25 updateInitialize = range1; %startFrame - 37 + 1;
26
27 t = 1; % time increment in frames
28 %THOR: 1
29 max_dist = 4; % THOR: 25
30 min_dist = 0; % THOR: 0
31 initial_vel = 3;
32 std_dev_x = 1; % error in x position measurement 100
33 std_dev_y = 1; % error in y position measurement 100
34 accel = 0; % initialize acceleration in pixels/frame^2
35 std_dev_accel = 1; % error in acceleration 100
36
37 poi = 1; %particle of interest
38
39 enablePreview = 1; % Y = 1, N = 2
40 %% load detection mat file and image files
41 currentFolder = pwd;
42 filePath = strcat(currentFolder,...
43     '\saved files');
44 cd(filePath);
45 load('detections_stereo_test11_size5to100.mat')
46
47 pathname = strcat(currentFolder,...
48     '\input files\stereo sample files\test 11 25736\actual');
49 cd(pathname);
50 images = dir('*tiff'); % list of all tif images
51
52 %% add the contents of subfolders with necessary files to run
53 filePath = strcat(currentFolder,...
54     '\Important Non-SGDL Codes');
55 addpath filePath
56
57 %% initialize the coefficient matrices
58 A = [1 t; 0 1];
59 B = [t^2/2; t];
60 C = [1 0];
61 %% assumed initial conditions (user defined)
62 % initialize x and y positions and measurements

```

```

63 num_meas = length(x_centroid{updateInitialize}); %initize number ...
    of measurements
64 state_xpos = x_centroid{updateInitialize}; % first x estimate = ...
    x position measurement
65 state_ypos = y_centroid{updateInitialize}; % first y estimate = ...
    y position measurement
66 state_xvel = zeros(1, num_meas);
67 state_yvel = zeros(1, num_meas);
68
69
70
71 for n = 1:num_meas
72     state_xvel(n) = initial_vel;
73     state_yvel(n) = 0;
74 end
75
76 state_x = [state_xpos; state_xvel]; % estimate of x direction states
77 state_y = [state_ypos; state_yvel]; % estimate of y direction states
78 size(state_xpos)
79 size(state_xvel)
80
81 preset = 9000; %pick large enough size to prevent tracks from ...
    starting at same origin
82 est_posX = nan(preset);
83 est_posY = nan(preset);
84 est_velX = nan(preset);
85 est_velY = nan(preset);
86
87
88 % initialize error in estimates of x and y positions
89 var_a = std_dev_accel ^ 2; % variance of acceleration
90 dep1 = (t^2/2)^2; % dependencies in time
91 dep2 = t*(t^2/2);
92 dep3 = t^2;
93 E_predX = [dep1 dep2; dep2 dep3] * var_a;
94 E_predY = [dep1 dep2; dep2 dep3] * var_a;
95
96 %intialize error measurement covariance matrices
97 E_measX = std_dev_x ^ 2; % error in x position measurement
98 E_measY = std_dev_y ^ 2; % error in y position measurement
99 strk_trks = zeros(1,2000); %counter of how many strikes a track ...
    has gotten
100
101 %% Kalman filter-based tracking
102 disp('tracking...')
103 tic
104 for image = 1:range1
105     %Update state estimation prediction (Kalman step 1)
106     %testA = (A .* state_x(1,:))
107     %testB = (accel * B)

```

```

108     for n = 1:length(state_x)
109         state_x(:, n) = (A * state_x(:,n)) + (accel * B);
110         state_y(:, n) = (A * state_y(:,n)) + (accel * B);
111     end
112
113     % generate the cost matrix
114     measured_position = [x_centroid{image}; y_centroid{image}]';
115     estimated_position = [state_x(1,1:num_meas); ...
116         state_y(1,1:num_meas)]';
117     pair_wise = [estimated_position; measured_position];
118     vec_sum = sum(power(pair_wise.', 2),1);
119     term1 = vec_sum.' + vec_sum;
120     term2 = 2 * pair_wise * pair_wise.';
121     eulerian_distance = sqrt(term1 - term2);
122     cost_matrix = eulerian_distance(1:num_meas,num_meas+1:end);
123
124     %predict next covariance (step 2)
125     E_predX = A * E_predX * A' + E_predX;
126     E_predY = A * E_predY * A' + E_predY;
127
128     % Calculate the Kalman Gain factor (step 3)
129     Kx = E_predX * C' * inv(C * E_predX * C' + E_measX);
130     Ky = E_predY * C' * inv(C * E_predY * C' + E_measY);
131
132     % Buehren, Markus (2020). Functions for the rectangular ...
133     assignment
134     % problem version 1.5.0.0.
135     % ...
136     https://www.mathworks.com/matlabcentral/fileexchange/6543-functions-for-
137     % MATLAB Central File Exchange. Retrieved October 28, 2020.
138     munkres = assignmentoptimal(cost_matrix);
139
140     % check whether assignment is reasonable given user defined ...
141     distance
142     check = zeros(1,num_meas);
143     cost = zeros(1, num_meas);
144     for n = 1:num_meas
145         selection = munkres(n);
146         if selection ≠ 0
147             cost(n) = cost_matrix(n, selection);
148             check(n) = cost(n) < max_dist && cost(n) > min_dist;
149         end
150     end
151
152     %apply the assignment to the step of updating the state ...
153     estimates
154     munkres = times(munkres', check);
155     for n = 1:length(munkres)
156         if munkres(n) > 0 && munkres(n) ≤ num_particles
157             selection = munkres(n);

```

```

153         % Kalman step 4
154         Z_x = x_centroid{image}(selection);
155         Z_y = y_centroid{image}(selection);
156         updateX = (Z_x - C * state_x(:,n));
157         updateY = (Z_y - C * state_y(:,n));
158
159         test = updateX * Kx;
160         state_x(:, n) = state_x(:, n) + updateX * Kx;
161         state_y(:, n) = state_y(:, n) + updateY * Ky;
162         updateX = (Z_x - C * state_x(:,n));
163         updateY = (Z_y - C * state_y(:,n));
164     end
165 end
166
167 %save the estimated positions and velocities
168 est_posX(image,1:num_meas) = state_x(1,1:num_meas);
169 est_posY(image,1:num_meas) = state_y(1,1:num_meas);
170 est_velX(image,1:num_meas) = state_x(2,1:num_meas);
171 est_velY(image,1:num_meas) = state_y(2,1:num_meas);
172
173 % kalman step 5: update error covariance estimation for x ...
174     and y
175     I = [1 0; 0 1]; %identity matrix
176     E_predX = (I - Kx * C) * E_predX; %updated predicted error ...
177         covariance for x direction
178     E_predY = (I - Ky * C) * E_predY; %updated predicted error ...
179         covariance for y direction
180
181 % update new particle paths
182 label_particles = 1:size(measured_position, 1); %label ...
183     existing particles
184 non_members = ~ismember(label_particles,munkres); %check for ...
185     nonmembers
186 non_members_meas = measured_position(non_members,:)' ; %find ...
187     non-existing members
188
189 if ~isempty(non_members_meas) % if non-existing members ...
190     exist, add them
191     L = length(non_members_meas);
192     state_x(1, num_meas + 1: num_meas + L) = ...
193         non_members_meas(1,:);
194     state_y(1, num_meas + 1: num_meas + L) = ...
195         non_members_meas(2,:);
196     num_meas = num_meas + L;
197 end
198 end
199 toc
200 disp('tracking ended...')
201 %% save to mat file
202 %store kalman filtered estimates into a .mat file

```



```

194 disp('saving data...')
195 tic
196 filePath = strcat(currentFolder,...
197     '\saved files');
198 cd(filePath);
199 save('kalman_test11_v1.mat', 'images', 'est_posX', 'est_posY', ...
     'x-centroid', 'y-centroid', 'num_meas', 'range1', 'est_velX', ...
     'est_velY')
200 toc
201 disp('data saved!')
202
203 %% preview particle paths
204 if enablePreview == 1
205     disp('plotting preview of tracks...')
206     tic
207     plot_particle_paths(images, est_posX, est_posY, x-centroid, ...
        y-centroid, num_meas, range1, currentFolder, startFrame);
208     toc
209 end
210 %% functions
211 %plot path of particles: a preview
212 function plot_particle_paths(files, pos1, pos2, meas1, meas2, ...
    num, time_range, currentFolder, startFrame)
213     pathname = strcat(currentFolder,...
214         '\input files\stereo sample files\test 11 25736\actual');
215     cd(pathname);
216     files = dir('*tiff'); % list of all tif images
217
218     %file_path = ['C:\Users\Sean\Desktop\RM master\RM Data\RM ...
        Open Material W-AL ...
        THOR\Test_1_cyl_W87.5_A112.5_Process\OG Images\'];
219     %pic_name_prefix = 'Test_1_cyl_W87.5_A112.5_RP2';
220     poi = 1;
221     %save video of frames
222     video = VideoWriter('test11_25736.avi');
223     video.FrameRate = 1;
224     open(video);
225
226     for image = 1: time_range
227
228         input = imread(files(image + startFrame - 1).name);
229         imshow(input, []);
230         hold on;
231         for trail = 1:num
232             trail_pos_x = pos1(1:image, trail);
233             trail_pos_y = pos2(1:image, trail);
234             if trail == poi
235                 %plot(trail_pos_x, ...
                    trail_pos_y, '-', 'color', 'b', 'linewidth', 1) ...
                    %show estimated path

```

```

236         else
237             plot(trail_pos_x, ...
                 trail_pos_y, '-', 'color', 'r', 'linewidth', 1) ...
                 %show estimated path
238         end
239     end
240     drawnow;
241     hold off
242 end
243
244 end

```

### A.3 Display Tracking

```

1 %% Sean Palmer, New Mexico Tech, SGDL 2022
2 % DisplayFilteredTracks.m
3 % A code for isolating and displaying non-erroneous filtered tracks
4 % determined from the 2D Kalman filter-based tracking code.
5 %% clear everything
6 clc
7 close all
8 clear all
9 %% user defined variables
10 startFrame = 45; % user defined input of when to start process
11 finalFrame = 100; % user defined input of when to end process
12 updateInitialize = 1; % offset from startFrame if needed
13 Nfiles = finalFrame - startFrame + 1; % number of files
14 final = Nfiles;
15 enableColor = 2; % Y = 1, N = 2
16 %% load in saved tracks from user defined directories
17 currentFolder = pwd;
18 filePath = strcat(currentFolder, ...
19     '\saved files');
20 cd(filePath);
21 load('kalman_test11_v1.mat')
22 %% read in images from user defined directories
23 pathname = strcat(currentFolder, ...
24     '\input files\stereo sample files\test 11 25736\actual');
25 cd(pathname);
26 files = dir('*tiff'); % list of all tif images
27 %files = dir('*tif');
28 input = (imread(files(1).name));
29
30
31 %% assumed size of circles to encapsulate tracked fragments
32 fragment_area = 5;

```

```

33 equiv_diam = sqrt(4*fragment_area/pi); %m
34 [row, col] = size(input);
35 [xgrid, ygrid] = meshgrid(1:size(input ,2), 1:size(input ,1));
36 th = 0:pi/50:2*pi;
37 r = 2 * equiv_diam;
38
39
40 %% rewrite variables
41 files = images;
42 pos1 = est_posX;
43 pos2 = est_posY;
44 meas1 = x_centroid;
45 meas2 = y_centroid;
46 num = num_meas;
47 time_range = rangel;
48 velx = est_velX;
49 vely = est_velY;
50
51
52 %% setup font, label, color map properties
53 labelShiftX = 0;
54 textFontSize = 7;
55 c = parula;
56 colormap(c);
57 A = colormap(c);
58
59
60 %% filter erroneous vectors
61 for image = 1 + updateInitialize:final
62     % filter the trails and get the desired velocities
63     filteredtrails = [];
64     desired_velocities = [];
65     n = 1;
66     for trail = 1:num
67         trail_pos_x = pos1(1:image, trail);
68         trail_pos_y = pos2(1:image, trail);
69
70         if image > 1 && ~isnan(vely(image, trail)) && ~...
            isnan(vely(image-1, trail))
71             Δ_vely = vely(image, trail) - vely(image-1, trail);
72         end
73         vel2D = sqrt(velx(image, trail) ^ 2 + vely(image, trail) ...
            ^ 2);
74         % this line below filters bad tracks
75         if velx(image, trail) > 0 && abs(vely(image, trail)) < ...
            4 && abs(velx(image, trail)) ≠ 5 && velx(image, ...
            trail) < 3%
76             increment = (pos1(1, trail)) - (pos1(image, trail));
77

```

```

78         if (image == final) %& (abs(increment) < 2 || ...
79             isnan(increment)) % && (pos1(image, trail) > 160) ...
80             && pos2(image, trail) > 100%
81             filtered_trails(n) = trail;
82             n = n + 1;
83         end
84     end
85     for k = 1:n-1
86         desired_velocities(k) = sqrt(abs(velx(rangel, ...
87             filtered_trails(k)))^2 + abs(vely(rangel, ...
88             filtered_trails(k))) ^ 2);
89     end
90 end
91 %% filter some tracks by
92 % filtered_trails(17:19) = [];
93 % d1 = [36,38,10,41,11];
94 % filtered_trails(d1) = [];
95
96 %% check velocities
97 % find and sort non-zero velocities
98 des_vel_index = find(desired_velocities);
99 des_vel = desired_velocities(des_vel_index);
100 sort_vel = sort(des_vel);
101
102 %% define color range
103 minVel = sort_vel(1);
104 maxVel = sort_vel(end);
105 % endOffset = 1;
106 % while isnan(maxVel)
107 %     maxVel = sort_vel(end - endOffset);
108 %     endOffset = endOffset + 1;
109 % end
110 %% apply calibration and determine min and max values for color var
111 % the following is an example of a THOR test calibration
112 diameterSphere = 58.32/1000; % mm to m
113 calibration = diameterSphere/178; % mm/px
114
115 maxValue = maxVel * (calibration)/ 2.5e-5; % m/px); %px;
116 minValue = minVel * (calibration)/ 2.5e-5;
117
118 a = minValue:(maxValue - minValue)/255:maxValue;
119 a_px = minVel:(maxVel - minVel)/255:maxVel;
120
121 %% generate colors for tracks and color bars
122 RGB = [];
123 for trail = 1:length(des_vel)
124     for j = 1:length(a_px)

```

```

124         absolute(j, trail) = abs(a_px(j) - abs(des_vel(trail)));
125     end
126     [val, idx] = min(absolute(:, trail));
127     if idx > 256
128         idx = 256;
129     end
130     RGB(trail, :, :, :) = A(idx, :);
131 end
132
133 fig = figure;
134 ax1 = axes(fig);
135 %% display tracking of particles with colors
136 for image = 1:final
137
138     [input, map] = imread(files(image + startFrame-1).name);
139     input = mat2gray(input);
140     imshow(input, []);
141     n = 1;
142     hold on;
143     for trail = 1:length(des_vel)
144         trail_pos_x = pos1(1:image, filtered_trails(trail));
145         trail_pos_y = pos2(1:image, filtered_trails(trail));
146         tail_x = 1.0 * pos1(image, filtered_trails(trail));
147         tail_y = 1.0 * pos2(image, filtered_trails(trail));
148         xunit = r * cos(th) + tail_x;
149         yunit = r * sin(th) + tail_y;
150         if enableColor == 1
151             plot(trail_pos_x, ...
152                  trail_pos_y, '-', 'color', RGB(trail, :, :, :), 'linewidth', 2) ...
153                  %show estimated path
154             plot(xunit, yunit, RGB(trail, :, :, :));
155         else
156             plot(trail_pos_x, ...
157                  trail_pos_y, '-', 'color', 'g', 'linewidth', 2) %show ...
158             estimated path
159             plot(xunit, yunit, 'g');
160         end
161
162         text(trail_pos_x, trail_pos_y, num2str(trail), ...
163              'FontSize', textFontSize, 'FontWeight', 'Bold', 'color', ...
164              'white');
165         value = (pos1(1, trail)) - (pos1(image, trail));
166
167         if (image == final) % && (pos1(image, trail) < 370) && ...
168             (abs(value) > 0 || isnan(value)) && (trail < 500)
169             %text(pos1(image:image, trail) + labelShiftX, ...
170                  pos2(image:image, trail), num2str(trail), ...
171                  'FontSize', textFontSize, 'FontWeight', 'Bold', ...
172                  'color', 'r');; %show measured centroid
173         filtered_trails(n) = trail;

```

```

164         trail_pos_xref = pos1(1:image,filteredtrails(trail));
165         trail_pos_yref = pos2(1:image,filteredtrails(trail));
166         n = n + 1;
167     end
168
169 end
170 drawnow;
171 pause(0.5)
172
173 %write frames into directory
174 str_i = num2str(image,'%03.f');
175 frame = strcat('frame_burning_centerCam2',str_i, '.tif');
176 iptsetpref('ImshowBorder','tight');
177 imwrite(getframe(gcf).cdata, frame, 'Compression','none')
178
179 end
180
181 %% setup color bar
182 if enableColor == 1
183     ax2=axes(fig,...
184         'Position',[ax1.Position(1)+ax1.Position(3) + ...
185             .5,ax1.Position(2),0.0,0.4]);
186     axis off
187     set(ax2,'color','none');
188
189     % hcb = colorbar(ax2,'Position',...
190     % [ax1.Position(1)+ax1.Position(3)+0.025,0.15,0.05,0.7],...
191     % 'AxisLocation','in');
192     ax2=axes(fig,...
193         'Position',[ax1.Position(1)+ax1.Position(3) + ...
194             .5,ax1.Position(2),0.0,0.4]);
195     axis off
196     set(ax2,'color','none');
197
198     cmap = parula;
199     cmap1 = colormap(cmap);
200     hcb = colorbar(ax2, 'Position', [.9 0.1 0.03 .8]);
201
202     ax2.CLim = [minValue,maxValue];
203     colorTitleHandle = get(hcb,'Title');
204     titleString = '\color{white} velocity (m/s)';
205     set(colorTitleHandle , 'String',titleString)
206     colormap(ax1, 'gray');
207
208     % hcb.Layout.Tile = 'east';
209     hcb.Visible = 'on';
210     hcb.TickLabelInterpreter = 'tex';
211     hcb.FontSize = 12;
212     hcb.Ticks = linspace(minValue, maxValue, 5);
213     tickRange = linspace(minValue, maxValue, 5);

```

```

212     white = [1,1,1];
213     tickLabelsCheck = hcb.TickLabels;
214     % ticksRound = [];
215     for ii = 1:numel(hcb.TickLabels)
216         ticksRound(ii) = tickRange(ii);
217         ticksRound(ii) = round(ticksRound(ii),3,'significant');
218         hcb.TickLabels{ii} = [sprintf('\color{rgb}{%f,%f,%f} ', ...
                white), num2str(ticksRound(ii))];
219     end
220
221     %% save/write out color bar
222     str_i = num2str(image, '%03.f');
223     frame = strcat('frame_burning_centerCam2',str_i, '.tif');
224     iptsetpref('ImshowBorder','tight');
225     imwrite(getframe(gcf).cdata, frame, 'Compression','none')
226 end
227
228 %% save files
229 save('filtered_IHshot11.25736V2.mat','des_vel', ...
        'filtered_trails', 'images', 'est_posX', 'est_posY', ...
        'x_centroid', 'y_centroid', 'num_meas', 'range1','est_velX', ...
        'est_velY','RGB')

```

## A.4 Fragment Matching

```

1  % FINALIZED CODE
2
3
4  % Fragment Matching with Playback
5  % Check by plotting the epipolar lines in one camera view by ...
    multiplying
6  % the fundamental matrix by a position in another camera view. ...
    Playback the
7  % tracks from Kalman Filter. Uses the principle that only one ...
    fragment
8  % 'centroid' in theory should interesect with both epipolar ...
    lines (one
9  % early and one late)
10
11 clc
12 close all
13 clear all
14
15 %% Constants
16 fragment_area = 10;
17 equiv_diam = sqrt(4*fragment_area/pi); %m

```

```

18 th = 0:pi/50:2*pi;
19 r = 2 * equiv_diam;
20 %% Desired time frame
21 % start_frame = 143;
22 % final_frame = 164;
23 start_frame = 161;
24 final_frame = 181; %169
25
26
27 start_frame = 159;
28 final_frame = 179; %169
29
30 Nfiles = final_frame - start_frame + 2; % number of files
31 final = Nfiles;
32
33 start_frame2 = start_frame + 1;
34 final_frame2 = start_frame + 1;
35
36 initial = 159;
37
38 %% read in images and variables
39 pathname1 = 'D:\Sean\Documents\IndianHeadAnalysis\Test ...
           10\25736_test10\Test1\test10_25736\Cam1';
40 pathname1 = 'C:\Users\Sean\Desktop\Work In ...
           Progress\IndianHead\25736_RGB_test8_RGB_v2';
41 pathname1 = 'C:\Users\Sean\Desktop\Work In ...
           Progress\IndianHead\test14\2\Test1\test14_25736_RGB';
42 cd(pathname1);
43 Cam1 = dir('*tif'); % list of all tif images
44
45 for j = 1:length(Cam1)
46     fileName1{j} = strcat(Cam1(j).folder, '\', Cam1(j).name);
47 end
48 % testImageFileName = imageFileNames1{1};
49 % imshow(testImageFileName);
50 % gca
51
52 pathname2 = 'D:\Sean\Documents\IndianHeadAnalysis\Test ...
           10\22905_test10\Test1\test10_22905\Cam2';
53 pathname2 = 'C:\Users\Sean\Desktop\Work In ...
           Progress\IndianHead\22905_RGB_test8_RGB_v2';
54 pathname2 = 'C:\Users\Sean\Desktop\Work In ...
           Progress\IndianHead\test14\Test1\test14_22905_RGB';
55 cd(pathname2);
56 Cam2 = dir('*tif'); % list of all tif images
57
58 for j = 1:length(Cam2)
59     fileName2{j} = strcat(Cam2(j).folder, '\', Cam2(j).name);
60 end
61 %% Get tracks (Kalman Filtered Positions)

```



```

62
63 % filePath = ...
        'C:\Users\Sean\Desktop\IndianHead\22905_RGB_test8_RGB_v2';
64 % cd(filePath)
65
66
67 % first camera
68 filePath = 'D:\Sean\Documents\IndianHeadAnalysis\Test ...
        10\25736_test10\Test1\test10_25736\Cam1';
69 filePath = 'C:\Users\Sean\Desktop\Work In ...
        Progress\IndianHead\25736_RGB_test8_RGB_v2';
70 filePath = 'C:\Users\Sean\Desktop\Work In ...
        Progress\IndianHead\test14\Test1\test14_25736_RGB\trackedCam2Frames';
71 cd(filePath)
72 %Structure = load('filtered_test10_25736.mat', 'est_posX', ...
        'est_posY', 'filtered_trailsV2', 'des_vel', 'RGB2');
73 %Structure = ...
        load('valid_pathsV3_test8_cam1_burning_center_cam2_backup.mat', ...
        'est_posX', 'est_posY', 'filtered_trailsV2', 'des_vel', 'RGB2');
74 Structure = load('valid_pathsV3_test14_cam2.mat', 'est_posX', ...
        'est_posY', 'filtered_trailsV2');
75
76 est_posX_frag = Structure.est_posX;
77 est_posY_frag = Structure.est_posY;
78 trails = Structure.filtered_trailsV2;
79 % Svel = Structure.des_vel;
80 % rgb = Structure.RGB2;
81 pos1 = est_posX_frag;
82 pos2 = est_posY_frag;
83
84 %second camera
85 filePath = 'D:\Sean\Documents\IndianHeadAnalysis\Test ...
        10\22905_test10\Test1\test10_22905\Cam2';
86 filePath = 'C:\Users\Sean\Desktop\Work In ...
        Progress\IndianHead\22905_RGB_test8_RGB_v2';
87 filePath = 'C:\Users\Sean\Desktop\Work In ...
        Progress\IndianHead\test14\Test1\test14_22905_RGB\trackedCam2Frames';
88 filePath = 'C:\Users\Sean\Desktop\Work In ...
        Progress\IndianHead\test14\Test1\test14_22905_RGB';
89 cd(filePath)
90 %Structure2 = load('filtered_test10_22905.mat', 'est_posX', ...
        'est_posY', 'filtered_trailsV2');
91 %Structure2 = ...
        load('valid_pathsV3_test8_cam1_burning_center_cam1_v12.mat', ...
        'est_posX', 'est_posY', 'filtered_trailsV2', 'des_vel');
92 Structure2 = load('valid_pathsV3_test14_cam1.mat', 'est_posX', ...
        'est_posY', 'filtered_trailsV2', 'des_vel');
93
94 est_posX_frag2 = Structure2.est_posX;
95 est_posY_frag2 = Structure2.est_posY;

```

```

96 trails2 = Structure2.filtered_trailsV2;
97 % vel2 = Structure2.des_vel;
98 % rgb2 = Structure2.RGB2;
99 %% load stereo parameters
100
101 % save_path = 'D:\Sean\Documents\IndianHeadAnalysis\Test 10\';
102 % cd(save_path)
103 % load('params_cal2.mat')
104 pathname = 'C:\Users\Sean\Desktop\Work In ...
           Progress\IndianHead\25736 Call';
105 cd(pathname)
106
107 saveFolder = 'D:\Sean\Documents\IndianHeadAnalysis';
108 cd(saveFolder)
109
110 load('params_cal4.mat')
111
112 % Get fundamental matrix
113 F = stereoParams.FundamentalMatrix;
114
115 %%
116
117
118 %% User Control
119 user_cont = input('Start tracking fragment? 1=Y, 2=N: ');
120 if user_cont == 1
121     while user_cont == 1
122
123         % TODO: plot images
124         %     plot tracks of interest (1 in 1 camera, all in ...
           another?)
125         %     Add number associated with track (check video code)
126         %     Enter specific values of image number?
127         % Get early and late positions for each track
128
129         %% Plot all fragment numbers
130         textFontSize = 8;
131         color_label = 1;
132         cd(pathname1);
133         figure
134         movegui('center');
135         for image = 1:final
136             mainImage = imread(Cam1(image + initial - 1).name);
137             mainImage = mat2gray(mainImage);
138
139             imshow(mainImage);
140             hold on
141             n = 1;
142             for trail = 1:length(trails)
143                 trail_pos_x = pos1(1:image, trails(trail));

```

```

144         trail_pos_y = pos2(1:image,trails(trail));
145         plot(trail_pos_x, ...
              trail_pos_y, '-', 'color','g','linewidth',1); ...
              %show estimated path
146         tail_x = 1.0 * pos1(image,trails(trail));
147         tail_y = 1.0 * pos2(image,trails(trail));
148         xunit = r * cos(th) + tail_x;
149         yunit = r * sin(th) + tail_y;
150         plot(xunit, yunit, 'g');
151         tailString = num2str(trail);
152         %
153         plot(trail_pos_x, ...
              trail_pos_y, '-', 'color','r','linewidth',1) %show ...
              estimated path
154         %plot(pos1(image,filtered_trailsV2(trail)), ...
              pos2(image,filtered_trailsV2(trail)), 'or', ...
              'MarkerSize', eq_diameter{image + 46}(trail))
155         if (image == final) % && (pos1(image,trail) < ...
              370) && (abs(value) > 0 || isnan(value)) && ...
              (trail < 500)
156             text(tail_x, tail_y, tailString, 'FontSize', ...
                  textFontSize, 'FontWeight', 'Bold', ...
                  'Color', 'white'); %show measured centroid
157         end
158     end
159     drawnow;
160 end
161
162 %% Preview
163 disp('Camera A Preview')
164 fprintf(1,'Track #           First Image Index           Last ...
165         Image Index\n');
166 for trail = 1:length(trails)
167     savedTracks = pos1(:,trails(trail));
168     A = savedTracks;
169     B = ~isnan(savedTracks);
170
171     % indices
172     IndicesLast = arrayfun(@(x) find(B(:, x), 1, ...
173         'last'), 1:size(A, 2));
174     IndicesFirst = arrayfun(@(x) find(B(:, x), 1, ...
175         'first'), 1:size(A, 2));
176     fprintf(1, '#%2d %17.1f %17.1f \n', trail, ...
177         IndicesFirst, IndicesLast);
178 end
179
180 disp('Camera B Preview')
181 fprintf(1,'Track #           First Image Index           Last ...
182         Image Index\n');

```

```

179     for trail = 1:length(trails)
180         savedTracks = pos1(:,trails(trail));
181         A = savedTracks;
182         B = ~isnan(savedTracks);
183
184         % indices
185         IndicesLast = arrayfun(@(x) find(B(:, x), 1, ...
186             'last'), 1:size(A, 2));
187         IndicesFirst = arrayfun(@(x) find(B(:, x), 1, ...
188             'first'), 1:size(A, 2));
189         fprintf(1, '#%2d %20.1f %25.1f \n', trail, ...
190             IndicesFirst, IndicesLast);
191     end
192
193     %% Select and show desired fragment at early frame (SHOW ...
194     PREVIEW)
195     knownFragment = input('Known fragment number? 1=Y, 2=N: ');
196     if knownFragment == 1
197         desiredFragment = input('Select fragment number: ');
198         trail = desiredFragment;
199     end
200
201     start_frame = input('Select start frame: ');
202
203     %% Plot an image of camera view with query point
204     figure
205     movegui('northwest');
206     firstImageCameraA = fileName1{initial + start_frame};
207     imshow(firstImageCameraA);
208     hold on
209     if knownFragment == 1
210         plot(pos1(start_frame, trails(trail)), ...
211             pos2(start_frame, trails(trail)), 'go')
212         text(pos1(start_frame, trails(trail)), ...
213             pos2(start_frame, trails(trail)), num2str(trail), ...
214             'FontSize', textFontSize, 'Color', 'white');
215         queryPoints = [pos1(start_frame, trails(trail)); ...
216             pos2(start_frame, trails(trail))];
217     else
218         [getQueryX, getQueryY] = ginput;
219         plot(getQueryX, getQueryY, 'go');
220         queryPoints = [getQueryX; getQueryY];
221     end
222
223     XL = xlim(gca); % define x axis limits
224     X = linspace(0, XL(2)); % define x axis values
225     hold off

```

```

221
222     %% plot epipolar lines on other camera view
223     cd(pathname2);
224     figure
225     movegui('northeast');
226     start_frame2 = start_frame + 1;
227
228     firstImageCameraB = fileNames2{initial + start_frame2};
229     imshow(firstImageCameraB)
230     drawnow;
231     hold on
232
233
234     %% Get epipolar lines in other camera
235
236     %       for i = 1:length(queryPoints)
237             lines = F' * [queryPoints;1];
238             a = lines(1);
239             b = lines(2);
240             c = lines(3);
241             pp = [-a,-c]/b;
242             pv = polyval(pp,X);
243             plot(X,pv,'Color','g')
244     %     end
245     drawnow;
246
247     %% Select and show desired fragment at early frame (SHOW ...
        PREVIEW)
248     %       knownFragment = input('Known fragment number? 1=Y, ...
2=N: ');
249     %       if knownFragment == 1
250             desiredFragment = input('Select fragment number: ');
251             trail = desiredFragment;
252     %     end
253
254     update_frame = input('Select next frame to check: ');
255
256
257     %% Plot an image of camera view with query point
258     figure
259     movegui('southwest');
260     firstImageCameraA = fileNames1{initial + start_frame + ...
        update_frame};
261     imshow(firstImageCameraA);
262     hold on
263     if knownFragment == 1
264         plot(pos1(start_frame + update_frame,trails(trail)), ...
            pos2(start_frame + update_frame,trails(trail)),'go')
265         text(pos1(start_frame + update_frame,trails(trail)), ...
            pos2(start_frame + update_frame,trails(trail)), ...

```

```

        num2str(trail), 'FontSize', textFontSize, ...
        'Color', 'white');
266     queryPoints = [pos1(start_frame + ...
        update_frame, trails(trail)); pos2(start_frame + ...
        update_frame, trails(trail))];
267 else
268     [getQueryX, getQueryY] = ginput;
269     plot(getQueryX, getQueryY, 'go');
270     queryPoints = [getQueryX; getQueryY];
271 end
272
273 XL = xlim(gca); % define x axis limits
274 X = linspace(0, XL(2)); % define x axis values
275 hold off
276
277 %% plot epipolar lines on other camera view
278 cd(pathname2);
279 figure
280 movegui('southeast');
281 %     start_frame2 = start_frame + 1;
282
283 firstImageCameraB = fileNames2{initial + start_frame2 + ...
        update_frame};
284 imshow(firstImageCameraB)
285 drawnow;
286 hold on
287
288
289 %% Get epipolar lines in other camera
290
291 %     for i = 1:length(queryPoints)
292         lines = F' * [queryPoints; 1];
293         a = lines(1);
294         b = lines(2);
295         c = lines(3);
296         pp = [-a, -c]/b;
297         pv = polyval(pp, X);
298         plot(X, pv, 'Color', 'g')
299     %     end
300 drawnow;
301
302 %
303 %         % check with back projection
304 %         line = F' * p;
305 %         % match track number?
306 %
307 user_cont = input('Retry or continue? 1=Y, 2=N: ');
308 end
309 end
310

```

```
311 disp('Done!')
```

## A.5 3D reconstruction

```
1 %% Sean Palmer, New Mexico Tech, SGDL 2022
2 % ReconstructionManual.m
3 % A code for manually assigning the tracked positions of ...
   fragments from two
4 % stereo camera views and reconstructing the 3D trajectory.
5 %% clear everything
6 clc
7 close all
8 clear all
9 currentFolder = pwd;
10 currentFolder = 'E:\Fragment Training SGDL';
11 %% user preset
12
13 % add path for mat files (Automatically Tracked)
14 % addpath 'D:\Sean\Documents\IH Analysis October\Test 11\Detctions'
15 % currentFolder = pwd;
16 %%
17 % pathname1 = 'V:\IndianHeadMarch2022\Test 11\saveTracksAreduced';
18   pathname1 = strcat(currentFolder,...
19   '\input files\sample manual tracking\saveTracksAreduced');
20
21 % pathname2 = 'V:\IndianHeadMarch2022\Test 11\saveTracksBreduced';
22   pathname2 = strcat(currentFolder,...
23   '\input files\sample manual tracking\saveTracksBreduced');
24
25 isAutomated = 2; % Y = 1, N = 2
26 %% load stereo parameters
27 stereoPath = strcat(currentFolder,...
28 '\saved files');
29 cd(stereoPath)
30 load('stereo_cal3_debayer.mat')
31 params = stereoParams;
32 F = stereoParams.FundamentalMatrix;
33 %% read in auto tracked data
34 if isAutomated == 1
35   fragmentStructure1 = load('filtered_test11_25736.mat', ...
36   'est_posX', 'est_posY', 'filtered_trailsV2', ...
37   'des_vel', 'RGB2');
38   est_posX_frag1 = fragmentStructure1.est_posX;
39   est_posY_frag1 = fragmentStructure1.est_posY;
40   trails1 = fragmentStructure1.filtered_trailsV2;
41   vell1 = fragmentStructure1.des_vel;
```

```

40
41     fragmentStructure2 = load('filtered_test11_22905.mat', ...
        'est_posX', 'est_posY', 'filtered_trailsV2', ...
        'des_vel', 'RGB2');
42     est_posX_frag2 = fragmentStructure2.est_posX;
43     est_posY_frag2 = fragmentStructure2.est_posY;
44     trails2 = fragmentStructure2.filtered_trailsV2;
45     vel2 = fragmentStructure2.des_vel;
46 else
47     %% read in manual data
48     cd(pathname1);
49     cd('E:\Fragment Training SGDL\input files\sample manual ...
        tracking\saveTracksA_reduced')
50     filenames1 = dir('*mat');
51     hold on
52     for kk = 1:numel(filenames1)
53         S1 = load(filenames1(kk).name); % Best to load into an ...
            output variable.
54         trail_pos_x = S1.x_centroid;
55         trail_pos_y = S1.y_centroid;
56         est_posX_frag1{kk} = trail_pos_x;
57         est_posY_frag1{kk} = trail_pos_y;
58     end
59     cd(pathname2);
60     cd('E:\Fragment Training SGDL\input files\sample manual ...
        tracking\saveTracksB_reduced')
61     filenames2 = dir('*mat');
62     for kk = 1:numel(filenames2)
63         S2 = load(filenames2(kk).name);
64         trail_pos_x = S2.x_centroid;
65         trail_pos_y = S2.y_centroid;
66         est_posX_frag2{kk} = trail_pos_x;
67         est_posY_frag2{kk} = trail_pos_y;
68     end
69 end
70 %% combine into coordinates for each camera (with matched ...
    fragments, manual process)
71
72 posXA = est_posX_frag1; % cells of x positions of each fragment
73 posYA = est_posY_frag1; % cells of y positions of each fragment
74
75 posXB = est_posX_frag2; % cells of x positions of each fragment
76 posYB = est_posY_frag2; % cells of y positions of each fragment
77
78 posXA = trimTracks1D(posXA);
79 posYA = trimTracks1D(posYA);
80 [IndicesLastA, IndicesFirstA] = indicesExtraction(posXA, ...
    filenames1);
81
82 posXB = trimTracks1D(posXB);

```



```

83 posYB = trimTracks1D(posYB);
84 [IndicesLastB, IndicesFirstB] = indicesExtraction(posXB, ...
    filenames2);
85
86 %% Fragment Matching
87 [first, last] = matchFrames(1, 1, IndicesLastA, IndicesFirstA, ...
    IndicesLastB, IndicesFirstB);
88 camA{1} = [est_posX_frag1{1}(first:last); ...
    est_posY_frag1{1}(first:last)];
89 camB{1} = [est_posX_frag2{1}(first:last); ...
    est_posY_frag2{1}(first:last)];
90
91 for i = 1:length(camA{1}) % first:last
92     p1(i,:) = cell2mat(camA{1}(:,i))';
93     p2(i,:) = cell2mat(camB{1}(:,i))';
94 end
95
96 for i = 1:length(p1)
97     p3D_1{i} = triangulate(p1(i,:) , p2(i,:), stereoParams)/1000;
98 end
99
100 %%
101 % 2
102 % A B
103 [first, last] = matchFrames(12, 53, IndicesLastA, ...
    IndicesFirstA, IndicesLastB, IndicesFirstB);
104 camA{2} = [est_posX_frag1{12}(first:last); ...
    est_posY_frag1{12}(first:last)];
105 camB{2} = [est_posX_frag2{53}(first:last); ...
    est_posY_frag2{53}(first:last)];
106
107 for i = 1:length(camA{2}) % first:last
108     p1_2(i,:) = cell2mat(camA{2}(:,i))';
109     p2_2(i,:) = cell2mat(camB{2}(:,i))';
110 end
111 for i = 1:length(p1_2)
112     p3D_2{i} = triangulate(p1_2(i,:) , p2_2(i,:), ...
    stereoParams)/1000;
113 end
114 %%
115 % 3
116 % A B
117 [first, last] = matchFrames(17, 20, IndicesLastA, ...
    IndicesFirstA, IndicesLastB, IndicesFirstB);
118 camA{3} = [est_posX_frag1{17}(first:last); ...
    est_posY_frag1{17}(first:last)];
119 camB{3} = [est_posX_frag2{20}(first:last); ...
    est_posY_frag2{20}(first:last)];
120
121 for i = 1:length(camA{3}) % first:last
122     p1_3(i,:) = cell2mat(camA{3}(:,i))';
123     p2_3(i,:) = cell2mat(camB{3}(:,i))';

```

```

122 end
123 for i = 1:length(p1_3)
124     p3D_3{i} = triangulate(p1_3(i,:) , p2_3(i,:) , ...
        stereoParams)/1000;
125 end
126 %%
127 % 4                A B
128 [first, last] = matchFrames(15, 28, IndicesLastA, ...
        IndicesFirstA, IndicesLastB, IndicesFirstB);
129 camA{4} = [est_posX_frag1{15}(first:last); ...
        est_posY_frag1{15}(first:last)];
130 camB{4} = [est_posX_frag2{28}(first:last); ...
        est_posY_frag2{28}(first:last)];
131
132 for i = 1:length(camA{4}) % first:last
133     p1_4(i,:) = cell2mat(camA{4}(:,i))';
134     p2_4(i,:) = cell2mat(camB{4}(:,i))';
135 end
136 for i = 1:length(p1_4)
137     p3D_4{i} = triangulate(p1_4(i,:) , p2_4(i,:) , ...
        stereoParams)/1000;
138 end
139 %%
140 % 5                A B
141 [first, last] = matchFrames(16, 27, IndicesLastA, ...
        IndicesFirstA, IndicesLastB, IndicesFirstB);
142 camA{5} = [est_posX_frag1{16}(first:last); ...
        est_posY_frag1{16}(first:last)];
143 camB{5} = [est_posX_frag2{27}(first:last); ...
        est_posY_frag2{27}(first:last)];
144
145 for i = 1:length(camA{5}) % first:last
146     p1_5(i,:) = cell2mat(camA{5}(:,i))';
147     p2_5(i,:) = cell2mat(camB{5}(:,i))';
148 end
149 for i = 1:length(p1_5)
150     p3D_5{i} = triangulate(p1_5(i,:) , p2_5(i,:) , ...
        stereoParams)/1000;
151 end
152
153 %%
154 % 9
155 fragNumber = 9;
156 [first, last] = matchFrames(5, 40, IndicesLastA, IndicesFirstA, ...
        IndicesLastB, IndicesFirstB);
157 camA{fragNumber} = [est_posX_frag1{5}(first:last); ...
        est_posY_frag1{5}(first:last)];
158 camB{fragNumber} = [est_posX_frag2{40}(first:last); ...
        est_posY_frag2{40}(first:last)];
159

```

```

160 for i = 1:length(camA{fragNumber}) % first:last
161     p1_9(i,:) = cell2mat(camA{fragNumber}(:,i))';
162     p2_9(i,:) = cell2mat(camB{fragNumber}(:,i))';
163 end
164
165 for i = 1:length(p1_9)
166     p3D_9{i} = triangulate(p1_9(i,:) , p2_9(i,:), ...
        stereoParams)/1000;
167 end
168 %%
169 % 10
170 fragNumber = 10;
171 [first, last] = matchFrames(27, 27, IndicesLastA, ...
        IndicesFirstA, IndicesLastB, IndicesFirstB);
172 camA{fragNumber} = [est_posX_frag1{27}(first:last); ...
        est_posY_frag1{27}(first:last)];
173 camB{fragNumber} = [est_posX_frag2{27}(first:last); ...
        est_posY_frag2{27}(first:last)];
174
175 for i = 1:length(camA{fragNumber}) % first:last
176     p1_10(i,:) = cell2mat(camA{fragNumber}(:,i))';
177     p2_10(i,:) = cell2mat(camB{fragNumber}(:,i))';
178 end
179
180 for i = 1:length(p1_10)
181     p3D_10{i} = triangulate(p1_10(i,:) , p2_10(i,:), ...
        stereoParams)/1000;
182 end
183 %%
184
185 %% save 3D points
186 cd('E:\Fragment Training SGDL\saved files')
187 save('test11_points3D.mat', 'p3D-1', 'p3D-2', 'p3D-3', ...
        'p3D-4', 'p3D-5', 'p3D-9', 'p3D-10')
188 %% rewrite 3D points into new variables specific to spatial ...
        directions
189 for i = 1:length(camA{1}) % first:last
190     point3d_frag1{i} = triangulate(cell2mat(camA{1}(:,i))', ...
        cell2mat(camB{1}(:,i))', params);
191     point3d_frag1{i} = point3d_frag1{i} / 1000; % convert from ...
        mm to m
192     X1(i) = point3d_frag1{i}(1,1);
193     Y1(i) = point3d_frag1{i}(1,2);
194     Z1(i) = point3d_frag1{i}(1,3);
195 end
196
197 for i = 1:length(camA{2}) % first:last
198     point3d_frag2{i} = triangulate(cell2mat(camA{2}(:,i))', ...
        cell2mat(camB{2}(:,i))', params);

```

```

199     point3d_frag2{i} = point3d_frag2{i} / 1000; % convert from ...
        mm to m
200     X2(i) = point3d_frag2{i}(1,1);
201     Y2(i) = point3d_frag2{i}(1,2);
202     Z2(i) = point3d_frag2{i}(1,3);
203 end
204
205 for i = 1:length(camA{3}) % first:last
206     point3d_frag3{i} = triangulate(cell2mat(camA{3}(:,i))', ...
        cell2mat(camB{3}(:,i))', params);
207     point3d_frag3{i} = point3d_frag3{i} / 1000; % convert from ...
        mm to m
208     X3(i) = point3d_frag3{i}(1,1);
209     Y3(i) = point3d_frag3{i}(1,2);
210     Z3(i) = point3d_frag3{i}(1,3);
211 end
212
213 for i = 1:length(camA{4}) % first:last
214     point3d_frag4{i} = triangulate(cell2mat(camA{4}(:,i))', ...
        cell2mat(camB{4}(:,i))', params);
215     point3d_frag4{i} = point3d_frag4{i} / 1000; % convert from ...
        mm to m
216     X4(i) = point3d_frag4{i}(1,1);
217     Y4(i) = point3d_frag4{i}(1,2);
218     Z4(i) = point3d_frag4{i}(1,3);
219 end
220
221 for i = 1:length(camA{5}) % first:last
222     point3d_frag5{i} = triangulate(cell2mat(camA{5}(:,i))', ...
        cell2mat(camB{5}(:,i))', params);
223     point3d_frag5{i} = point3d_frag5{i} / 1000; % convert from ...
        mm to m
224     X5(i) = point3d_frag5{i}(1,1);
225     Y5(i) = point3d_frag5{i}(1,2);
226     Z5(i) = point3d_frag5{i}(1,3);
227 end
228
229 for i = 1:length(camA{6}) % first:last
230     point3d_frag6{i} = triangulate(cell2mat(camA{6}(:,i))', ...
        cell2mat(camB{6}(:,i))', params);
231     point3d_frag6{i} = point3d_frag6{i} / 1000; % convert from ...
        mm to m
232     X6(i) = point3d_frag6{i}(1,1);
233     Y6(i) = point3d_frag6{i}(1,2);
234     Z6(i) = point3d_frag6{i}(1,3);
235 end
236
237 for i = 1:length(camA{7}) % first:last
238     point3d_frag7{i} = triangulate(cell2mat(camA{7}(:,i))', ...
        cell2mat(camB{7}(:,i))', params);

```

```

239     point3d_frag7{i} = point3d_frag7{i} / 1000; % convert from ...
        mm to m
240     X7(i) = point3d_frag7{i}(1,1);
241     Y7(i) = point3d_frag7{i}(1,2);
242     Z7(i) = point3d_frag7{i}(1,3);
243 end
244
245 for i = 1:length(camA{8}) % first:last
246     point3d_frag8{i} = triangulate(cell2mat(camA{8}(:,i))', ...
        cell2mat(camB{8}(:,i))', params);
247     point3d_frag8{i} = point3d_frag8{i} / 1000; % convert from ...
        mm to m
248     X8(i) = point3d_frag8{i}(1,1);
249     Y8(i) = point3d_frag8{i}(1,2);
250     Z8(i) = point3d_frag8{i}(1,3);
251 end
252
253 for i = 1:length(camA{9}) % first:last
254     point3d_frag9{i} = triangulate(cell2mat(camA{9}(:,i))', ...
        cell2mat(camB{9}(:,i))', params);
255     point3d_frag9{i} = point3d_frag9{i} / 1000; % convert from ...
        mm to m
256     X9(i) = point3d_frag9{i}(1,1);
257     Y9(i) = point3d_frag9{i}(1,2);
258     Z9(i) = point3d_frag9{i}(1,3);
259 end
260
261 for i = 1:length(camA{10}) % first:last
262     point3d_frag10{i} = triangulate(cell2mat(camA{10}(:,i))', ...
        cell2mat(camB{10}(:,i))', params);
263     point3d_frag10{i} = point3d_frag10{i} / 1000; % convert from ...
        mm to m
264     X10(i) = point3d_frag10{i}(1,1);
265     Y10(i) = point3d_frag10{i}(1,2);
266     Z10(i) = point3d_frag10{i}(1,3);
267 end
268
269
270 %% offset to reorient impact point to origin
271 plateX = -0.075;
272 plateY = -0.03757 - 0.0035;
273 plateZ = 1.053 - 0.005;
274
275 %% load and prepare velocity variable
276 velocities = ...
        [629.389816635431,121.635484788761,30.4024211995876,56.6372606021560,52.0247
277 save('saved_velocities.m', 'velocities')
278 velocities = velocities(2:end);
279 % velocities = velocities([1:4, 9:end]);
280

```

```

281
282 %% setup colors and color bar according to velocity range
283 minValue = 0; % min(velocities);
284 maxValue = max(velocities);
285 a = minValue:(maxValue - minValue)/255:maxValue;
286 fig = figure;
287 ax1 = axes(fig);
288 c = parula;
289 colormap(c);
290 A = colormap(c);
291 % generate colors for tracks
292 RGB = [];
293 vel3D = velocities;
294 for trail = 1:length(vel3D)
295     for j = 1:length(a)
296         absolute(j, trail) = abs(a(j) - abs(vel3D(trail)));
297     end
298     [val, idx] = min(absolute(:, trail));
299
300     if idx > 256
301         idx = 256;
302     end
303     RGB(trail, :, :, :) = A(idx, :);
304 end
305 %% plot 3D reconstructed paths
306 size_mark = 200; % size of markers in 3D scatter plots
307 nonzeroX = find(X1);
308 nonzeroY = find(Y1);
309 nonzeroZ = find(Z1);
310 for i = 1:length(nonzeroX)
311     scatter3(X1(nonzeroX(i))- plateX, Y1(nonzeroY(i))- plateY, ...
312             Z1(nonzeroZ(i))- plateZ, size_mark, 'filled', ...
313             'MarkerEdgeColor', ...
314             'black', 'MarkerFaceColor', 'black')
315     hold on
316     xlabel('x (m)')
317     ylabel('y (m)')
318     zlabel('z (m)')
319 end
320
321 size_mark = 100;
322 hold on
323 nonzeroX = find(X2);
324 nonzeroY = find(Y2);
325 nonzeroZ = find(Z2);
326 for i = 1:length(nonzeroX)
327     scatter3(X2(nonzeroX(i))- plateX, Y2(nonzeroY(i))- ...
328             plateY, Z2(nonzeroZ(i))- plateZ, size_mark, 'filled', ...
329             'MarkerEdgeColor', RGB(1, :, :, :), 'MarkerFaceColor', ...

```

```

        RGB(1, :, :, :))
328     hold on
329     xlabel('x (m)')
330     ylabel('y (m)')
331     zlabel('z (m)')
332 end
333
334 hold on
335 nonzeroX = find(X3);
336 nonzeroY = find(Y3);
337 nonzeroZ = find(Z3);
338 for i = 1:length(nonzeroX)
339     scatter3(X3(nonzeroX(i))- plateX, Y3(nonzeroY(i))- ...
              plateY, Z3(nonzeroZ(i))- plateZ, size_mark, 'filled', ...
              'MarkerEdgeColor', RGB(2, :, :, :), 'MarkerFaceColor', ...
              RGB(2, :, :, :))
340     hold on
341     xlabel('x (m)')
342     ylabel('y (m)')
343     zlabel('z (m)')
344 end
345 hold on
346 nonzeroX = find(X4);
347 nonzeroY = find(Y4);
348 nonzeroZ = find(Z4);
349 for i = 1:length(nonzeroX)
350     scatter3(X4(nonzeroX(i))- plateX, Y4(nonzeroY(i))- ...
              plateY, Z4(nonzeroZ(i))- plateZ, size_mark, 'filled', ...
              'MarkerEdgeColor', RGB(3, :, :, :), 'MarkerFaceColor', ...
              RGB(3, :, :, :))
351     hold on
352     xlabel('x (m)')
353     ylabel('y (m)')
354     zlabel('z (m)')
355 end
356
357 hold on
358 nonzeroX = find(X9);
359 nonzeroY = find(Y9);
360 nonzeroZ = find(Z9);
361 for i = 1:length(nonzeroX)
362     scatter3(X9(nonzeroX(i)) - plateX, Y9(nonzeroY(i)) - ...
              plateY, Z9(nonzeroZ(i)) - plateZ, size_mark, 'filled', ...
              'MarkerEdgeColor', RGB(8-4, :, :, :), ...
              'MarkerFaceColor', RGB(8 -4, :, :, :))
363     hold on
364     xlabel('x (m)')
365     ylabel('y (m)')
366     zlabel('z (m)')
367 end

```

```

368
369 hold on
370 nonzeroX = find(X10);
371 nonzeroY = find(Y10);
372 nonzeroZ = find(Z10);
373 for i = 1:length(nonzeroX)
374     scatter3(X10(nonzeroX(i)) - plateX, Y10(nonzeroY(i)) - ...
              plateY, Z10(nonzeroZ(i)) - plateZ, size_mark, 'filled', ...
              'MarkerEdgeColor', RGB(9-4, :, :, :), 'MarkerFaceColor', ...
              RGB(9-4, :, :, :))
375     hold on
376     xlabel('x (m)')
377     ylabel('y (m)')
378     zlabel('z (m)')
379 end
380
381 %% define axes stemming from origin
382 hold on
383 hold all
384 q = quiver3(0,0,-max(zlim),0,0,2*max(zlim), 'k', 'LineWidth', 3);
385 q.ShowArrowHead = 'off';
386 q = quiver3(0,-max(ylim),0,0,2*max(ylim),0, 'k', 'LineWidth', 3);
387 q.ShowArrowHead = 'off';
388 q = quiver3(0,0,0,max(xlim),0,0, 'k', 'LineWidth', 3);
389 q.ShowArrowHead = 'off';
390 text(0,0,max(zlim), 'Z', 'Color', 'k', 'FontSize', 25)
391 text(0,max(ylim),0, 'Y', 'Color', 'k', 'FontSize', 25)
392 text(max(xlim),0,0, 'X', 'Color', 'k', 'FontSize', 25)
393
394 hold on
395 xlabel('x (m)')
396 ylabel('y (m)')
397 zlabel('z (m)')
398
399 ax = gca;
400 ax.FontSize = 60;
401 legend('incident projectile')
402 view(45,15)
403
404 %% color bar
405 fig2 = figure
406 ax2=axes(fig2,...
407 'Position',[ax1.Position(1)+ax1.Position(3) + ...
              .5,ax1.Position(2),0.0,0.4]);
408 axis off
409 set(ax2, 'color', 'none');
410
411 cmap = parula;
412 cmap1 = colormap(cmap);
413 hcb = colorbar(ax2, 'Position', [.9 0.1 0.03 .8]);

```



```

414
415 ax2.CLim = [minValue,maxValue];
416 colorTitleHandle = get(hcb,'Title');
417 titleString = '\color{black} velocity (m/s)';
418 set(colorTitleHandle , 'String',titleString)
419 colormap(ax1, 'gray');
420
421 % hcb.Layout.Tile = 'east';
422 hcb.Visible = 'on';
423 hcb.TickLabelInterpreter = 'tex';
424 hcb.FontSize = 12;
425 hcb.Ticks = linspace(minValue, maxValue, 5);
426 tickRange = linspace(minValue, maxValue, 5);
427 black = [0,0,0];
428 tickLabelsCheck = hcb.TickLabels;
429 % ticksRound = [];
430 for ii = 1:numel(hcb.TickLabels)
431     ticksRound(ii) = tickRange(ii);
432     ticksRound(ii) = round(ticksRound(ii),3,'significant');
433     hcb.TickLabels{ii} = [sprintf('\color[rgb]{%f,%f,%f} ', ...
        black), num2str(ticksRound(ii))];
434 end
435
436
437 %% check plot with error bounds
438 figure
439 dif = 1;
440 for i = 1:length(p1)
441     [Xdist, Ydist1, Zdist ] = sensitivity2(p1(i,:) , p2(i,:), ...
        stereoParams, dif);
442     hold on
443 end
444 ax = gca;
445 ax.FontSize = 16;
446
447 %% FUNCTIONS
448 % distance 3D
449 function dist3d = dist(x1,y1,z1,x2,y2,z2)
450     term1 = x1 - x2;
451     term2 = y1 - y2;
452     term3 = z1 - z2;
453     dist3d = sqrt(term1^2 + term2^2 + term3^2);
454 end
455
456 % triangulation sensitivity
457 function [p1_xdist, p1_ydist, p2_xdist, p2_ydist ] = ...
        sensitivity(p1, p2, stereoParams, dif)
458
459
460     p1_xplus = p1 + [dif 0];

```

```

461 p1_xminus = p1 + [-dif 0];
462 p1_yplus = p1 + [0 dif];
463 p1_yminus = p1 + [0 -dif];
464
465 p2_xplus = p2 + [dif 0];
466 p2_xminus = p2 + [-dif 0];
467 p2_yplus = p2 + [0 dif];
468 p2_yminus = p2 + [0 -dif];
469
470
471 p3D = triangulate(p1, p2, stereoParams)/1000;
472 p3D_1 = triangulate(p1_xplus, p2, stereoParams)/1000;
473 p3D_2 = triangulate(p1_xminus, p2, stereoParams)/1000;
474 p3D_3 = triangulate(p1_yplus, p2, stereoParams)/1000;
475 p3D_4 = triangulate(p1_yminus, p2, stereoParams)/1000;
476
477 p3D_1b = triangulate(p1, p2_xplus, stereoParams)/1000;
478 p3D_2b = triangulate(p1, p2_xminus, stereoParams)/1000;
479 p3D_3b = triangulate(p1, p2_yplus, stereoParams)/1000;
480 p3D_4b = triangulate(p1, p2_yminus, stereoParams)/1000;
481
482 disp('p1_xdist')
483 p1_xdist = pdist([p3D_1 ; p3D_2], 'euclidean')
484 disp('p1_ydist')
485 p1_ydist = pdist([p3D_3 ; p3D_2], 'euclidean')
486 disp('p2_xdist')
487 p2_xdist = pdist([p3D_1b ; p3D_2b], 'euclidean')
488 disp('p2_xdist')
489 p2_ydist = pdist([p3D_3b ; p3D_4b], 'euclidean')
490 %
491 %     p1_xdist = pdist([p3D_1 ; p3D_2], 'euclidean')
492 %     p1_ydist = pdist([p3D_1 ; p3D_2], 'euclidean')
493 %
494 %     p1_xdist = pdist([p3D_1 ; p3D_2], 'euclidean')
495 %     p1_ydist = pdist([p3D_1 ; p3D_2], 'euclidean')
496
497 %     figure
498 scatter3(p3D(1), p3D(2), p3D(3), 'MarkerFaceColor', 'k')
499 %     hold on
500 scatter3(p3D_1(1), p3D_1(2), p3D_1(3), 'MarkerFaceColor', 'r')
501 scatter3(p3D_2(1), p3D_2(2), p3D_2(3), 'MarkerFaceColor', 'r')
502
503 scatter3(p3D_3(1), p3D_3(2), p3D_3(3), 'MarkerFaceColor', 'g')
504 scatter3(p3D_4(1), p3D_4(2), p3D_4(3), 'MarkerFaceColor', 'g')
505
506 scatter3(p3D_1b(1), p3D_1b(2), p3D_1b(3), 'MarkerFaceColor', 'b')
507 scatter3(p3D_2b(1), p3D_2b(2), p3D_2b(3), 'MarkerFaceColor', 'b')
508
509 scatter3(p3D_3b(1), p3D_3b(2), p3D_3b(3), 'MarkerFaceColor', 'y')
510 scatter3(p3D_4b(1), p3D_4b(2), p3D_4b(3), 'MarkerFaceColor', 'y')

```

```

511 end
512
513 % triangulation sensitivity
514 function [Xdist, Ydist1, Zdist ] = sensitivity2(p1, p2, ...
        stereoParams, dif)
515
516     size(p1)
517     % add pixel differences to determine error/sensitivity of ...
        triangulation
518     p1_xplus = p1 + [dif 0];
519     p1_xminus = p1 + [-dif 0];
520     p1_yplus = p1 + [0 dif];
521     p1_yminus = p1 + [0 -dif];
522
523     p2_xplus = p2 + [dif 0];
524     p2_xminus = p2 + [-dif 0];
525     p2_yplus = p2 + [0 dif];
526     p2_yminus = p2 + [0 -dif];
527
528     % triangulation of original point
529     p3D = triangulate(p1, p2, stereoParams)/1000;
530     % triangulation of original point with pixel differences in ...
        each view
531     p3D_1 = triangulate(p1_xplus, p2, stereoParams)/1000;
532     p3D_2 = triangulate(p1_xminus, p2, stereoParams)/1000;
533     p3D_3 = triangulate(p1_yplus, p2, stereoParams)/1000;
534     p3D_4 = triangulate(p1_yminus, p2, stereoParams)/1000;
535
536     p3D_1b = triangulate(p1, p2_xplus, stereoParams)/1000;
537     p3D_2b = triangulate(p1, p2_xminus, stereoParams)/1000;
538     p3D_3b = triangulate(p1, p2_yplus, stereoParams)/1000;
539     p3D_4b = triangulate(p1, p2_yminus, stereoParams)/1000;
540
541     scatter3(p3D(1), p3D(2), p3D(3), 10, 'MarkerFaceColor', 'b')
542     hold on
543
544     % Y distance
545     X = [p3D_3(1) p3D_4(1)];
546     Y = [p3D_3(2) p3D_4(2)];
547     Z = [p3D_3(3) p3D_4(3)];
548     Ydist1 = dist(X(1),Y(1),Z(1),X(2),Y(2),Z(2));
549     plot3(X,Y,Z, 'Color', 'r', 'LineStyle', '-', ...
        'DisplayName', 'T0');
550
551     % Z distance
552     X = [p3D_1b(1) p3D_2b(1)];
553     Y = [p3D_1b(2) p3D_2b(2)];
554     Z = [p3D_1b(3) p3D_2b(3)];
555     Zdist = dist(X(1),Y(1),Z(1),X(2),Y(2),Z(2));

```

```

556     plot3(X,Y,Z, 'Color', 'g', 'LineStyle', '-', ...
557           'DisplayName', 'T1');
558     % Y distance
559     X = [p3D_3b(1) p3D_4b(1)];
560     Y = [p3D_3b(2) p3D_4b(2)];
561     Z = [p3D_3b(3) p3D_4b(3)];
562     Ydist2 = dist(X(1),Y(1),Z(1),X(2),Y(2),Z(2));
563     plot3(X,Y,Z, 'Color', 'b', 'LineStyle', '-', ...
564           'DisplayName', 'T2');
565     if Ydist2 > Ydist1
566         Ydist1 = Ydist2;
567     end
568     % X distance
569     X = [p3D_1(1) p3D_2(1)];
570     Y = [p3D_1(2) p3D_2(2)];
571     Z = [(p3D_1(3)+ p3D_2(3))/2 (p3D_1(3) + p3D_2(3))/2];
572     Xdist = dist(X(1),Y(1),Z(1),X(2),Y(2),Z(2));
573     plot3(X,Y,Z, 'Color', 'k', 'LineStyle', '-', ...
574           'DisplayName', 'T3');
575     hold on
576     title('Stereo Calibration')
577     xlabel('x (m)')
578     ylabel('y (m)')
579     zlabel('z (m)')
580     % legend(h, 'T0', 'T1', 'T2', 'T3');
581
582
583 end
584
585 function [pos1] = trimTracks1D(pos1)
586     % for x and y positions, for each fragment, remove empty ...
587     % cells (1 Camera)
588     for n = 1:length(pos1)
589         empties = cellfun('isempty',pos1{n});
590         pos1{n}(empties) = {NaN};
591     end
592 end
593 % indices extraction
594 function [IndicesLastA, IndicesFirstA] = indicesExtraction(pos1, ...
595     filenames1)
596     % obtain start and stop indices for each fragment
597     trails = length(filenames1);
598     for trail = 1:trails
599         savedTracks = pos1{trail};
600         savedTracks = cell2mat(savedTracks);
601         B = ~isnan(savedTracks);

```

```

601         IndicesLastA{trail} = find(B, 1, 'last');
602         IndicesFirstA{trail} = find(B, 1, 'first');
603     end
604 end
605
606 function [first, last] = matchFrames(fragA, fragB, ...
        IndicesLastA, IndicesFirstA, IndicesLastB, IndicesFirstB)
607     FirstA = IndicesFirstA{fragA};
608     FirstB = IndicesFirstB{fragB};
609     LastA = IndicesLastA{fragA};
610     LastB = IndicesLastB{fragB};
611
612     % valid points to assign values
613     if FirstA < FirstB
614         first = FirstB;
615     else
616         first = FirstA;
617     end
618
619     if LastA < LastB
620         last = LastA;
621     else
622         last = LastB;
623     end
624 end

```

## A.6 3D Kalman Filter

```

1 %% Sean Palmer, New Mexico Tech, SGDL 2022
2 % Kalman3D.m
3 % A code for performing Kalman Filtering of 3D trajectories. ...
   Generates
4 % plots of position and velocities vs time.
5 %% clear everything
6 clc
7 close all
8 clear all
9 %% user input for important parameters
10 suppress_graphs = 2; % Y = 1, N = 2
11 dt = 20e-6; % change in time step (time associated with frame rate)
12 rp = 1e6;
13 P_xyz = 10e3;
14 sigma_a = 1e3;
15
16 rp = 1e2;
17 P_xyz = 1e2;

```

```

18 sigma_a = 1e1;
19 %% Initialize Kalman Filter Matrices
20 % Measurement Matrix
21 H = [1 0 0 0 0 0; ...
22      0 1 0 0 0 0; ...
23      0 0 1 0 0 0];
24
25 % dynamic matrix
26 A = [1 0 0 dt 0 0 ; ...
27      0 1 0 0 dt 0 ; ...
28      0 0 1 0 0 dt; ...
29      0 0 0 1 0 0 ; ...
30      0 0 0 0 1 0; ...
31      0 0 0 0 0 1];
32 % Measurement Noise Covariance Matrix
33
34 R = [rp 0 0; 0 rp 0; 0 0 rp];
35 % Identity Matrix
36 I = eye(6);
37
38 % initial error covariance
39 % P = 100.0*np.eye(9)
40 P = P_xyz * I;
41 % P = [100 0 0 0 0 0; ...
42 %      0 100 0 0 0 0; ...
43 %      0 0 100 0 0 0; ...
44 %      0 0 0 10 0 0; ...
45 %      0 0 0 0 10 0; ...
46 %      0 0 0 0 0 10]
47
48 % Process Noise Covariance Matrix
49 c1 = dt^4/4; % constant 1
50 c2 = dt^3/2; % constant 2
51 c3 = dt^2; % constant 3
52
53 Q = [c1 0 0 c2 0 0 ; ...
54      0 c1 0 0 c2 0 ; ...
55      0 0 c1 0 0 c2 ; ...
56      c2 0 0 c3 0 0 ; ...
57      0 c2 0 0 c3 0 ; ...
58      0 0 c2 0 0 c3 ]* sigma_a^2;
59
60 %% initialize position measurements
61 cd('V:\IndianHeadMarch2022\Test 10\test 10 3D points')
62 load('saved_position_comp_test10.mat')
63 measurements = [];
64
65 %% Preallocation of structure/arrays
66 for j = 1:45
67     struct(j).xt = [];

```

```

68     struct(j).yt = [];
69     struct(j).zt = [];
70     struct(j).dxt = [];
71     struct(j).dyt = [];
72     struct(j).dzt = [];
73
74     struct(j).Zx = [];
75     struct(j).Zy = [];
76     struct(j).Zz = [];
77     struct(j).Px = [];
78     struct(j).Py = [];
79     struct(j).Pz = [];
80     struct(j).Pdx = [];
81     struct(j).Pdy = [];
82     struct(j).Pdz = [];
83
84     struct(j).Kx = [];
85     struct(j).Ky = [];
86     struct(j).Kz = [];
87     struct(j).Kdx = [];
88     struct(j).Kdy = [];
89     struct(j).Kdz = [];
90
91     struct(j).K = {};
92 end
93
94 %% Run Kalman Filter process
95 Ntracks = length(trackX);
96 startTrack = 1;
97 endTrack = Ntracks; % startTrack %
98 fragtrack = 20;
99 for j = startTrack:endTrack %fragtrack: fragtrack
100     % obtain estimates for assumed initial velocities
101     gradientX = gradient(trackX{j});
102     gradientY = gradient(trackY{j});
103     gradientZ = gradient(trackZ{j});
104     velX = gradientX / dt;
105     velY = gradientY / dt;
106     velZ = gradientZ / dt;
107     Nframes = length(trackX{j});
108     x = [trackX{j}(1) trackY{j}(1) trackZ{j}(1), ...
109         mean(velX(:)), mean(velY(:)), mean(velZ(:))]' ;
110
111     % rewrite measurements into a new variable
112     for n = 1:Nframes
113         measurements(n,:) = [trackX{j}(n) trackY{j}(n) ...
114             trackZ{j}(n)];
115     end
116
117     % perform Kalman filtering approach

```

```

116     for n = 1:length(trackX{j})
117         x = A * x;
118         P = A*P*A' + Q;
119         S = H*P*H' + R;
120         K = (P*H') * inv(S);
121         Nframes = length(trackX{j});
122
123         z = measurements(n, :);
124         shapeH = size(H,1);
125         z = reshape(z, [shapeH, 1]);
126
127         y = z - (H*x);
128         x = x + (K*y);
129         P = (I - (K*H)) * P;
130
131         struct(j).xt = [struct(j).xt x(1)];
132         struct(j).yt = [struct(j).yt x(2)];
133         struct(j).zt = [struct(j).zt x(3)];
134         struct(j).dxt = [struct(j).dxt x(4)];
135         struct(j).dyt = [struct(j).dyt x(5)];
136         struct(j).dzt = [struct(j).dzt x(6)];
137
138         struct(j).Zx = [struct(j).Zx z(1)];
139         struct(j).Zy = [struct(j).Zy z(2)];
140         struct(j).Zz = [struct(j).Zz z(3)];
141         struct(j).Px = [struct(j).Px P(1,1)];
142         struct(j).Py = [struct(j).Py P(2,2)];
143         struct(j).Pz = [struct(j).Pz P(3,3)];
144         struct(j).Pdx = [struct(j).Pdx P(4,4)];
145         struct(j).Pdy = [struct(j).Pdy P(5,5)];
146         struct(j).Pdz = [struct(j).Pdz P(6,6)];
147
148
149         struct(j).Kx = [struct(j).Kx K(1,1)];
150         struct(j).Ky = [struct(j).Ky K(2,2)];
151         struct(j).Kz = [struct(j).Kz K(3,3)];
152         struct(j).Kdx = [struct(j).Kdx K(4,1)];
153         struct(j).Kdy = [struct(j).Kdy K(5,2)];
154         struct(j).Kdz = [struct(j).Kdz K(6,3)];
155         struct(j).K = {struct(j).K K};
156     end
157 end
158
159 %% plots vs time
160 for j = startTrack:endTrack % fragtrack: fragtrack
161     Nframes = length(trackX{j});
162     t = 0:dt:(Nframes-1)*dt;
163     figure
164     plot(t, struct(j).dxt)
165     hold on

```



```

166     plot(t, struct(j).dyt)
167     plot(t, struct(j).dzt)
168     xlabel('time step')
169     ylabel('Velocity')
170     title('Velocity v. time step')
171     legend('x velocity', 'y velocity', 'z velocity')
172     %% x and y positions with time
173     figure
174     plot(t, struct(j).xt)
175     hold on
176     plot(t, struct(j).yt)
177     plot(t, struct(j).zt)
178     xlabel('time step')
179     ylabel('Position')
180     title('Position v. time step')
181     legend('x position', 'y position', 'z position')
182     %% uncertainty associated with x and y positions
183     figure
184     plot(t, struct(j).Px)
185     hold on
186     plot(t, struct(j).Py)
187     plot(t, struct(j).Pz)
188     xlabel('time step')
189     ylabel('Uncertainty')
190     title('Position Uncertainty v. time step')
191     legend('x position', 'y position', 'z position')
192     %% uncertainty with x and y velocities
193     figure
194     plot(t, struct(j).Pdx)
195     hold on
196     plot(t, struct(j).Pdy)
197     plot(t, struct(j).Pdz)
198     xlabel('time step')
199     ylabel('Uncertainty')
200     title('Velocity Uncertainty v. time step')
201     legend('x vel', 'y vel', 'z vel')
202     %% absolute, relative and percent error
203
204     measured = trackX{j};
205     estimated = struct(j).xt;
206     [abs_dy, relerr, pererrX, mean_err, MSE, RSME] = ...
        determineError(measured, estimated);
207
208     measured = trackY{j};
209     estimated = struct(j).yt;
210     [abs_dy, relerr, pererrY, mean_err, MSE, RSME] = ...
        determineError(measured, estimated);
211
212     measured = trackZ{j};
213     estimated = struct(j).zt;

```

```

214     [abs_dy, relerr, pererrZ, mean_err, MSE, RSME] = ...
        determineError(measured, estimated);
215
216     figure,
217     plot(t(2:end), abs_dy)
218     xlabel('time step')
219     ylabel('Absolute Error [m]')
220     title('Absolute Error v. time step')
221
222     figure,
223     plot(t(2:end), relerr)
224     xlabel('time step')
225     ylabel('Relative Error')
226     title('Relative Error v. time step')
227
228     figure,
229     plot(t(2:end), pererrX)
230     xlabel('time step')
231     ylabel('Percent Error')
232     title('Percent Error v. time step')
233
234     x = struct(j).xt;
235     y = struct(j).yt;
236     z = struct(j).zt;
237
238     if pererrX < struct(j).Px(end)
239         dx = (struct(j).Px(end)/100) * x;
240     else
241         dx = (pererrX) * x;
242     end
243
244     if pererrY < struct(j).Py(end)
245
246         dy = (struct(j).Py(end)/100) * y;
247     else
248         dy = max(pererrY) * y;
249     end
250     if pererrZ < struct(j).Pz(end)
251         dz = (struct(j).Pz(end)/100) * z;
252     else
253
254         dz = max(pererrZ) * z;
255     end
256     dx = (struct(j).Px(end)/100) * x;
257     dy = (struct(j).Py(end)/100) * y;
258     dz = (struct(j).Pz(end)/100) * z;
259
260
261     dt = 5e-9; % jitter time of SILUX laser
262     t = 1/50000; % duration of one frame in sec

```

```

263
264 vx = struct(j).xt;
265 vy = struct(j).yt;
266 vz = struct(j).zt;
267
268 for n = 1:length(measured)
269     frac_uncert_vx(n) = uncert_vcomp(x(n), dx(n), t, dt);
270     frac_uncert_vy(n) = uncert_vcomp(y(n), dy(n), t, dt);
271     frac_uncert_vz(n) = uncert_vcomp(z(n), dz(n), t, dt);
272     dvx(n) = frac_uncert_vx(n) * vx(n);
273     dvy(n) = frac_uncert_vy(n) * vy(n);
274     dvz(n) = frac_uncert_vz(n) * vz(n);
275     frac_uncert_v3D{j}(n) = uncert_v3D(vx(n), dvx(n), vy(n), ...
        dvy(n), vz(n), dvz(n));
276 end
277
278
279 % figure
280 for n = 1:length(struct(j).dxt)
281     velocity3D{j}(n) = mag3Dvel(struct(j).dxt(n), ...
        struct(j).dyt(n), struct(j).dzt(n));
282 end
283 time_n = 1:1:length(struct(j).dxt);
284 % plot(time_n, velocity3D{j})
285
286 figure
287 uncert3D{j} = frac_uncert_v3D{j} .* velocity3D{j};
288 shadedErrorBar(time_n, velocity3D{j}, [uncert3D{j} ...
        ;uncert3D{j} ], 'lineprops', '-g')
289 end
290
291 %% x and y velocities with time
292 for n = 1:length(struct(j).dxt)
293     velocity3D{j}(n) = mag3Dvel(struct(j).dxt(n), ...
        struct(j).dyt(n), struct(j).dzt(n));
294 end
295 time_n = 1:1:length(struct(j).dxt);
296 % plot(time_n, velocity3D{j})
297 for kk = 1:length(velocity3D)
298     final_vel(kk) = velocity3D{kk}(end);
299 end
300
301
302 %% x and y velocities uncertainties with time
303 for j = fragtrack: fragtrack %startTrack:endTrack %fragtrack: ...
    fragtrack % startTrack %
304     Nframes = length(trackX{j});
305     t = 0:dt:(Nframes-1)*dt;
306     for k = 1:length(struct(j).Px)
307         dx(k) = (struct(j).Px(k)/100) * x(k);

```

```

308         dy(k) = (struct(j).Py(k)/100) * y(k);
309         dz(k) = (struct(j).Pz(k)/100) * z(k);
310         vx(k) = struct(j).xt(k);
311         vy(k) = struct(j).yt(k);
312         vz(k) = struct(j).zt(k);
313     end
314
315     dt = 5e-9; % jitter time of SILUX laser
316     t = 1/50000; % duration of one frame in sec
317
318     for n = 1:length(measured)
319         frac_uncert_vx(n) = uncert_vcomp(x(n), dx(n), t, dt);
320         frac_uncert_vy(n) = uncert_vcomp(y(n), dy(n), t, dt);
321         frac_uncert_vz(n) = uncert_vcomp(z(n), dz(n), t, dt);
322         dvx(n) = frac_uncert_vx(n) * vx(n);
323         dvy(n) = frac_uncert_vy(n) * vy(n);
324         dvz(n) = frac_uncert_vz(n) * vz(n);
325         frac_uncert_v3D{j}(n) = uncert_v3D(vx(n), dvx(n), vy(n), ...
326             dvy(n), vz(n), dvz(n));
327     end
328
329     for n = 1:length(struct(j).dxt)
330         velocity3D{j}(n) = mag3Dvel(struct(j).dxt(n), ...
331             struct(j).dyt(n), struct(j).dzt(n));
332     end
333
334     time_n = 1:1:length(struct(j).dxt);
335
336     figure
337     percent3D{j} = frac_uncert_v3D{j} * 100;
338     uncert3D{j} = frac_uncert_v3D{j} .* (velocity3D{j});
339     shadedErrorBar(time_n, velocity3D{j}, [uncert3D{j} ...
340         ;uncert3D{j} ], 'lineprops', '-g')
341 end
342
343 %% Plot of each estimated coordinate and measured coordinate
344 xzypath = 'V:\IndianHeadMarch2022\Test 11\xyz';
345 figure
346 for j = fragtrack:fragtrack
347     for n = 1:length(trackX{j}) - 1
348         subplot(1,3,1)
349         % compare x estimates and measurements
350         line([n,n+1], [struct(j).xt(n), struct(j).xt(n+1)], 'Color', ...
351             'b')
352         line([n,n+1], [trackX{j}(n) trackX{j}(n+1)], 'Color', 'g')
353         xlabel('time')
354         ylabel('X [m]')
355         title('X position')
356         hold on
357         drawnow
358     end
359 end

```

```

354     subplot(1,3,2)
355     % compare y estimates and measurements
356     line([n,n+1],[struct(j).yt(n),struct(j).yt(n+1)],'Color', ...
          'b')
357     line([n,n+1],[trackY{j}(n) trackY{j}(n+1)],'Color', 'g')
358     xlabel('time')
359     ylabel('Y [m]')
360     title('Y position')
361     hold on
362
363     drawnow
364     subplot(1,3,3)
365     % compare z estimates and measurements
366     line([n,n+1],[struct(j).zt(n),struct(j).zt(n+1)],'Color', ...
          'b')
367     line([n,n+1],[trackZ{j}(n) trackZ{j}(n+1)],'Color', 'g')
368     xlabel('time')
369     ylabel('Z [m]')
370     title('Z position')
371     hold on
372     drawnow
373     end
374 end
375
376
377
378 %% functions
379 function [RGB] = returnColorMap(vel3D, minValue, maxValue)
380     a = minValue:(maxValue - minValue)/255:maxValue;
381     % a_px = minVel:(maxVel - minVel)/255:maxVel;
382
383     c = parula;
384     colormap(c);
385     A = colormap(c);
386     % generate colors for tracks
387     RGB = [];
388     for trail = 1:length(vel3D)
389         for j = 1:length(a)
390             absolute(j, trail) = abs(a(j) - abs(vel3D(trail)));
391         end
392         [val, idx] = min(absolute(:, trail));
393
394         if idx > 256
395             idx = 256;
396         end
397         RGB(trail, :, :, :) = A(idx, :);
398     end
399 end
400
401 function [trackX, trackY, trackZ] = desiredValues(X1, Y1, Z1)

```

```

402     x1_first = find(~isnan(X1), 1, 'first');
403     x1_last = find(~isnan(X1), 1, 'last');
404     dif = x1_last - x1_first;
405     for n = 1:dif
406         trackX{1}(n) = X1(x1_first + n - 1);
407         trackY{1}(n) = Y1(x1_first + n - 1);
408         trackZ{1}(n) = Z1(x1_first + n - 1);
409     end
410 end
411
412
413 function [frac_uncert_v3D, uncert3D, vel3D, time_n] = ...
    displayErrorVel(measured_x, measured_y, measured_z, x,y,z, ...
    vx, vy, vz)
414
415     [abs_dx, relerr, pererr, mean_err, MSE, RSME] = ...
        determineError(measured_x, x);
416     [abs_dy, relerr, pererr, mean_err, MSE, RSME] = ...
        determineError(measured_y, y);
417     [abs_dz, relerr, pererr, mean_err, MSE, RSME] = ...
        determineError(measured_z, z);
418
419
420     dx = (abs_dx) .* x(2:end);
421     dy = (abs_dy) .* y(2:end);
422     dz = (abs_dz) .* z(2:end);
423     dt = 5e-9; % jitter time of SILUX laser
424     t = 1/50000; % duration of one frame in sec
425
426
427     for n = 1:length(measured_x)-1
428         frac_uncert_vx(n) = uncert_vcomp(x(n), dx(n), t, dt);
429         frac_uncert_vy(n) = uncert_vcomp(y(n), dy(n), t, dt);
430         frac_uncert_vz(n) = uncert_vcomp(z(n), dz(n), t, dt);
431         dvx(n) = frac_uncert_vx(n) * vx(n);
432         dvy(n) = frac_uncert_vy(n) * vy(n);
433         dvz(n) = frac_uncert_vz(n) * vz(n);
434         frac_uncert_v3D(n) = uncert_v3D(vx(n), dvx(n), vy(n), ...
            dvy(n), vz(n), dvz(n));
435     end
436
437
438     figure
439     for n = 1:length(vx)-1
440         vel3D(n) = mag3Dvel(vx(n), vy(n), vz(n));
441     end
442     time_n = 1:1:length(x)-1;
443     disp(size(frac_uncert_v3D))
444     disp(size(vel3D))
445     plot(time_n, vel3D)

```

```

446     figure
447     uncert3D = frac_uncert_v3D .* vel3D;
448     shadedErrorBar(time_n, vel3D, [uncert3D ;uncert3D ], ...
449         'lineprops', '-g')
450 end
451 %%
452 %%
453 function [abs_dy, relerr, pererr, mean_err, MSE, RMSE] = ...
    determineError(measured, estimated)
454     for n = 1:length(measured) - 1
455         y0 = measured(n); % original value
456         y1 = estimated(n); % obtained value
457         dy = y0-y1 ; % error
458         abs_dy(n) = abs(y0-y1) ; % absolute error
459         relerr(n) = abs(y0-y1)./y0 ; % relative error
460         pererr(n) = abs(y0-y1)./y0*100 ; % percentage error
461         mean_err(n) = mean(abs(y0-y1)) ; % mean absolute error
462         MSE(n) = mean((y0-y1).^2) ; % Mean square error
463         RMSE(n) = sqrt(mean((y0-y1).^2)) ; % Root mean square error
464     end
465 end
466 %%
467 %%
468 function [vel3D] = mag3Dvel(velx, vely, velz)
469     vel3D = sqrt((velx ^ 2) + (vely ^ 2) + (velz ^ 2));
470 end
471 function [pos1] = trimTracks1D(pos1)
472     % for x and y positions, for each fragment, remove empty ...
473     % cells (1 Camera)
474     for n = 1:length(pos1)
475         empties = cellfun('isempty',pos1{n});
476         pos1{n}(empties) = {NaN};
477     end
478 end
479 %%
480 % indices extraction
481 function [IndicesLastA, IndicesFirstA] = indicesExtraction(pos1, ...
    filenames1)
482     % obtain start and stop indices for each fragment
483     trails = length(filenames1);
484     for trail = 1:trails
485         savedTracks = pos1{trail};
486         savedTracks = cell2mat(savedTracks);
487         B = ~isnan(savedTracks);
488         IndicesLastA{trail} = find(B, 1, 'last');
489         IndicesFirstA{trail} = find(B, 1, 'first');
490     end
491 end

```

```

492
493 function [first, last] = matchFrames(fragA, fragB, ...
      IndicesLastA, IndicesFirstA, IndicesLastB, IndicesFirstB)
494     FirstA = IndicesFirstA{fragA};
495     FirstB = IndicesFirstB{fragB};
496     LastA = IndicesLastA{fragA};
497     LastB = IndicesLastB{fragB};
498
499     % valid points to assign values
500     if FirstA < FirstB
501         first = FirstB;
502     else
503         first = FirstA;
504     end
505
506     if LastA < LastB
507         last = LastA;
508     else
509         last = LastB;
510     end
511 end
512
513 % triangulation sensitivity
514 function [Xdist, Ydist1, Zdist, max_norm_dist, min_norm_dist] = ...
      sensitivity2(p1, p2, stereoParams, dif)
515
516     size(p1)
517     % add pixel differences to determine error/sensitivity of ...
      triangulation
518     p1.xplus = p1 + [dif 0];
519     p1.xminus = p1 + [-dif 0];
520     p1.yplus = p1 + [0 dif];
521     p1.yminus = p1 + [0 -dif];
522
523     p2.xplus = p2 + [dif 0];
524     p2.xminus = p2 + [-dif 0];
525     p2.yplus = p2 + [0 dif];
526     p2.yminus = p2 + [0 -dif];
527
528     % triangulation of original point
529     p3D = triangulate(p1, p2, stereoParams)/1000;
530     % triangulation of original point with pixel differences in ...
      each view
531     p3D_1 = triangulate(p1.xplus, p2, stereoParams)/1000;
532     p3D_2 = triangulate(p1.xminus, p2, stereoParams)/1000;
533     p3D_3 = triangulate(p1.yplus, p2, stereoParams)/1000;
534     p3D_4 = triangulate(p1.yminus, p2, stereoParams)/1000;
535
536     p3D_1b = triangulate(p1, p2.xplus, stereoParams)/1000;
537     p3D_2b = triangulate(p1, p2.xminus, stereoParams)/1000;

```



```

538 p3D_3b = triangulate(p1, p2_yplus, stereoParams)/1000;
539 p3D_4b = triangulate(p1, p2_yminus, stereoParams)/1000;
540
541 % scatter3(p3D(1), p3D(2), p3D(3), 10, 'MarkerFaceColor', 'b')
542 % hold on
543
544 % Y distance
545 X = [p3D_3(1) p3D_4(1)];
546 Y = [p3D_3(2) p3D_4(2)];
547 Z = [p3D_3(3) p3D_4(3)];
548 Ydist1 = dist(X(1),Y(1),Z(1),X(2),Y(2),Z(2));
549 % plot3(X,Y,Z, 'Color', 'r', 'LineStyle', '-', ...
'DisplayName', 'T0');
550
551 % Z distance
552 X = [p3D_1b(1) p3D_2b(1)];
553 Y = [p3D_1b(2) p3D_2b(2)];
554 Z = [p3D_1b(3) p3D_2b(3)];
555 Zdist = dist(X(1),Y(1),Z(1),X(2),Y(2),Z(2));
556 % plot3(X,Y,Z, 'Color', 'g', 'LineStyle', '-', ...
'DisplayName', 'T1');
557
558 % Y distance
559 X = [p3D_3b(1) p3D_4b(1)];
560 Y = [p3D_3b(2) p3D_4b(2)];
561 Z = [p3D_3b(3) p3D_4b(3)];
562 Ydist2 = dist(X(1),Y(1),Z(1),X(2),Y(2),Z(2));
563 % plot3(X,Y,Z, 'Color', 'b', 'LineStyle', '-', ...
'DisplayName', 'T2');
564 if Ydist2 > Ydist1
565     Ydist1 = Ydist2;
566 end
567
568 % X distance
569 X = [p3D_1(1) p3D_2(1)];
570 Y = [p3D_1(2) p3D_2(2)];
571 Z = [(p3D_1(3)+ p3D_2(3))/2 (p3D_1(3) + p3D_2(3))/2];
572 Xdist = dist(X(1),Y(1),Z(1),X(2),Y(2),Z(2));
573 % plot3(X,Y,Z, 'Color', 'k', 'LineStyle', '-', ...
'DisplayName', 'T3');
574 % hold on
575 % title('Stereo Calibration')
576 % xlabel('x (m)')
577 % ylabel('y (m)')
578 % zlabel('z (m)')
579 %
580 % legend(h, 'T0', 'T1', 'T2', 'T3');
581 norm_dist(1) = norm(p3D_1); % m
582 norm_dist(2) = norm(p3D_2); % m
583 norm_dist(3) = norm(p3D_3); % m

```

```

584     norm_dist(4) = norm(p3D_4); % m
585     norm_dist(5) = norm(p3D_1b); % m
586     norm_dist(6) = norm(p3D_2b); % m
587     norm_dist(7) = norm(p3D_3b); % m
588     norm_dist(8) = norm(p3D_4b); % m
589     max_norm_dist = max( norm_dist);
590     min_norm_dist = min( norm_dist);
591
592     end
593
594     % distance 3D
595     function dist3d = dist(x1,y1,z1,x2,y2,z2)
596         term1 = x1 - x2;
597         term2 = y1 - y2;
598         term3 = z1 - z2;
599         dist3d = sqrt(term1^2 + term2^2 + term3^2);
600     end
601
602
603     function frac_uncert_vcomp = uncert_vcomp(x,dx,t,dt)
604         frac_uncert_vcomp = sqrt((dx/x)^2 + (dt/t)^2);
605     end
606
607     function frac_uncert_v3D = uncert_v3D(vx,vdx,vy,dvy,vz,dvz)
608         frac_uncert_v3D = sqrt((vdx/vx)^2 + (dvy/vy)^2 + (dvz/vz)^2);
609     end

```

## A.7 Dynamic Image Analysis

```

1 %% Sean Palmer, New Mexico Tech, SGDL 2022
2 % DynamicImageAnalysis.m
3 % A code for performing dynamic image analysis by extracting and
4 % observing the size of fragments as they are tracked with time.
5 %% clear everything
6 clc
7 close all
8 clear all
9
10
11 %% user input
12 pathname1 = 'V:\IndianHeadMarch2022\Test 10\test 10 25736\actual';
13 pathname2 = 'V:\IndianHeadMarch2022\Test 10\saveTracksBduced';
14 cd(pathname1);
15 Cam1 = dir('*tiff'); % list of all tif images
16
17 startFrame = 13;

```

```

18 endFrame = 20;
19 minimumSize = 1;
20 maximumSize = 2000;
21
22 getSample = 9; % Y = 1, N = 2
23 desiredFrame = 196;
24 %% run through desired mat files
25 fragNumber = 70;
26 %%
27 for kk = fragNumber:fragNumber*numel(filenamees)
28     cd(pathname2);
29     filenames = dir('*mat');
30     S = load(filenamees(kk).name); % Best to load into an output ...
        variable.
31     startFrame = S.startFrame;
32     endFrame = length(S.x.centroid);
33     for i = startFrame:endFrame
34         % show image
35         cd(pathname1);
36         Cam1 = dir('*tiff'); % list of all tif images
37
38         mainImage = imread(Cam1(i).name);
39         [rows,cols,dims] = size(mainImage);
40         if dims == 3
41             I = rgb2gray(mainImage);
42         else
43             I = mainImage;
44         end
45         level = graythresh(I);
46         BW = ~imbinarize(I, level);
47         [BW,maskedImage] = segmentImage2(I);
48
49         %         figure, imshow(BW);
50         %         hold on
51         movegui('north');
52         [L, num_Obj] = bwlabel(BW, 8);
53         %% load and plot centroid
54         cd(pathname2);
55         filenames = dir('*mat');
56         S = load(filenamees(kk).name); % Best to load into an ...
            output variable.
57         trail_pos_x = S.x.centroid{i};
58         trail_pos_y = S.y.centroid{i};
59         %         plot(trail_pos_x, ...
60         trail_pos_y, '-', 'color', RGB(trail, :, :, :), 'linewidth', 1) ...
        %show estimated path
61         %         plot(trail_pos_x, trail_pos_y, 'r+') %show estimated path
62         xCenter = round(trail_pos_x);
63         yCenter = round(trail_pos_y);

```

```

64     labelNumber = L(yCenter, xCenter);
65     extractedObject = ismember(L, labelNumber);
66     testObject = ismember(L, labelNumber);
67
68     %     figure, imshow(extractedObject, []);
69     %% determine properties
70     properties = regionprops(extractedObject, 'all');
71     fragmentAreas = [properties.Area];
72     desiredSizes = (fragmentAreas < maximumSize) & ...
73         (fragmentAreas > minimumSize); % desired sizes of ...
74         fragments
75     desired_indices = find(desiredSizes);
76     if ~isempty(desired_indices)
77         desiredFragmentImage = ismember(extractedObject, ...
78             desired_indices);
79         labeledDesiredImage = bwlabel(desiredFragmentImage); ...
80             % Label each blob so we can make ...
81             measurements of it
82     properties2 = regionprops(labeledDesiredImage, 'all');
83
84     % sort set number of particles by area, largest to ...
85     smallest
86     get_particles = [properties2.Area];
87     centroids2 = [properties2.Centroid];
88     equivDiam2 = [properties2.EquivDiameter];
89     boundingBox = [properties2.BoundingBox];
90
91     numberOfBoxes = length(equivDiam2);
92     boxes = reshape(boundingBox, [], numberOfBoxes);
93     x_cent = centroids2(1:2:end-1);
94     y_cent = centroids2(2:2:end);
95
96     for n = 1:length(x_cent)
97         xCent(n) = x_cent(n);
98         yCent(n) = y_cent(n);
99     end
100     %% show image boxes
101     figure, imshow(mainImage);
102     for k = 1 : length(properties2)
103         thisBB = properties2(k).BoundingBox;
104         rectangle('Position', ...
105             [thisBB(1), thisBB(2), thisBB(3), thisBB(4)], ...
106             'EdgeColor', 'g', 'LineWidth', 1 )
107     end
108
109     cellPoint1 = {};
110     cellPoint2 = {};
111     cellDp = {};
112
113     comp = imcomplement(I);

```

```

107         for jj = 1:length(equivDiam2)
108             temp{jj} = generateTemp(comp, ...
109                 properties2(jj).BoundingBox);
110             % [cellPoint1{i}, cellPoint2{i}, cellDp{i}] = ...
111                 corr(temp{i}, I1, I2);
112         end
113         %% otsu and area
114         figure, imshow(comp, [])
115         figure, imshow(temp{1}, [])
116         level = graythresh(temp{1});
117         bin_temp = imbinarize(temp{1}, level);
118         figure, imshow(temp{1})
119
120         [threshold, maxval, idx, area] = otsu_return(temp{1});
121         [save_black, lowerArea, upperArea] = ...
122             area_grad(temp{1}, idx);
123
124         if getSample == 1 && i == desiredFrame
125             [dummy1, dummy2, dummy3, dummy4, dummy5] = ...
126                 area_grad_display(temp{1}, idx);
127             % otsu_full(temp{1})
128             save_temp = temp{1};
129         end
130         frame(i) = i;
131         save_area(i) = area;
132         save_upper(i) = upperArea;
133         save_lower(i) = lowerArea;
134     end
135 end
136 %% display area with time
137 x = frame(startFrame:end);
138 y = save_area(startFrame:end);
139 errA = abs(y - save_upper(startFrame:end));
140 errB = abs(y - save_lower(startFrame:end));
141
142 figure,
143 h(1) = plot(x, y, 'r')
144 hold on
145 shadedErrorBar(x, y, [errA;errB], 'lineprops', '-r')
146 xlabel('frame number')
147 ylabel('pixel area')
148
149 title('Pixel area vs frame number')
150 mean_y = mean(y) * ones(size(y));
151
152 hold on
153 h(2) = plot(x, mean_y, 'b')
154 lgd = legend(h, 'Pixel Area', 'Mean Pixel Area');
155 lgd.Location = 'northwest';

```

```

153 cd('V:\IndianHeadMarch2022\Test 10\saved sizes')
154 s1 = 'DIA_test10_Bfrag00';
155 s2 = num2str(fragNumber);
156 s3 = '.mat';
157 dir_save = strcat(s1,s2,s3)
158 save(dir_save, 'x', 'y', 'errA', 'errB', 'startFrame', ...
        'save_area', 'save_upper', 'save_lower', 'frame')
159 %% Get Sample Otsu/Area/Area Gradient Images
160 lvl = graythresh(save_temp);
161 bwtemp = imbinarize(save_temp, lvl);
162 figure, imshow(save_temp, [])
163 figure, imshow(bwtemp)
164
165 %% display area, area gradient, threshold range, etc.
166 otsu_full(save_temp)
167 [threshold, maxval, idx, area] = otsu_return(temp{1});
168 [dummy1, dummy2, dummy3, dummy4, dummy5] = ...
        area_grad_display(temp{1}, idx);
169 [dummyA, dummyB, dummyC] = area_grad(temp{1}, idx);
170
171 %% show saved bounding box of desired fragment
172 figure, imshow(save_temp, []);
173 figure, imhist(im2uint8(save_temp));
174
175 %% functions
176 function dist3d = dist(x1,y1,z1,x2,y2,z2)
177 term1 = x1 - x2;
178 term2 = y1 - y2;
179 term3 = z1 - z2;
180 dist3d = sqrt(term1^2 + term2^2 + term3^2);
181 end
182
183 function temp = generateTemp(image, boundingBox)
184     coord = boundingBox;
185     temp = imcrop(image, [coord(1) coord(2) coord(3) coord(4)]);
186 end
187
188 % save area for single region
189 function [save_black,less, more] = area_grad(region, index)
190     [rowTemp, colTemp] = size(region);
191     for thr = 1:255
192         thr2 = 256 - thr;
193         Binar = (imbinarize(region,thr2/255));
194         label = bwlabel(Binar,8);
195         tempRegion = regionprops(label, 'all');
196         tempArea = [tempRegion.Area];
197         % save_thr(thr) = thr;
198         nBlack = sum(Binar(:));
199         nWhite = numel(Binar) - nBlack;
200         save_black(thr) = nBlack;

```

```

201         save_white(thr) = nWhite;
202         save_thr2(thr) = thr2;
203     end
204     if length(index) > 1
205
206         less = save_black(save_thr2(index(1)));
207         more = save_black(save_thr2(index(2)));
208     else
209         asdf1 = index - 1;
210         asdf2 = index + 1;
211
212         less = save_black(save_thr2(asdf1)); % save_thr(idx)
213         more = save_black(save_thr2(asdf2));
214     end
215 end
216
217
218 function [less, more, save_black, save_white, save_thr2] = ...
    area_grad_display(region, index)
219     [rowTemp, colTemp] = size(region);
220     for thr = 1:256
221         thr2 = 256 - thr;
222         Binar = (imbinarize(region,thr2/256));
223         label = bwlabel(Binar,8);
224         tempRegion = regionprops(label, 'all');
225         tempArea = [tempRegion.Area];
226         %     save_thr(thr) = thr;
227         nBlack = sum(Binar(:));
228         nWhite = numel(Binar) - nBlack;
229         save_black(thr) = nBlack;
230         save_white(thr) = nWhite;
231         save_thr2(thr) = thr2;
232     end
233     figure,
234     h(1) = plot(save_thr2,save_black)
235     yyaxis left
236     areaGradient = gradient(save_black);
237     hold on
238     yyaxis right
239     ylim([0 max(areaGradient)]);
240     h(2) = plot(save_thr2, areaGradient)
241     yyaxis left
242
243     % title('Plots with Different y-Scales')
244     xlabel('threshold')
245     ylabel('Area (px)')
246     legend('Area', 'Gradient of Area')
247     yyaxis right
248     ylabel('Gradient of Area')
249     title('Area and Gradient of Area vs Threshold')

```

```

250 hold on,
251 if length(index) > 1
252     h(3) = line([index(1) index(2)], [0 ...
                max(save_black)], 'Color', [1 0 0]);
253     [fillhandle,msg]=jbfill([index(1) ...
                index(2)], save_black(index(1):index(2)), [0 0], 'r', 'r');
254     less = save_black(save_thr2(index(1)));
255     more = save_black(save_thr2(index(2)));
256 else
257     asdf1 = index - 1;
258     asdf2 = index + 1;
259     h(3) = line([index index], [0 max(save_black)], 'Color', [1 ...
                0 0]);
260     hold on,
261     h(4) = line([asdf1 asdf1], [0 max(save_black)], 'Color', [1 ...
                0 0]);
262     hold on,
263     h(5) = line([asdf2 asdf2], [0 max(save_black)], 'Color', [1 ...
                0 0]);
264     [fillhandle,msg]=jbfill([asdf1 index ...
                asdf2], save_black(asdf1:asdf2), [0 0 0], 'r', 'r');
265
266     less = save_black(save_thr2(asdf1)); % save_thr(idx)
267     more = save_black(save_thr2(asdf2));
268 end
269 legend(h, 'area', 'area ...
        gradient', 'threshold', 'leftBound', 'rightBound');
270 lgd = legend(h, 'area', 'area gradient', 'threshold range');
271 lgd.Location = 'southwest'
272 end
273
274 % return otsu parameters
275 function [threshold, maxval, idx, area] = otsu_return(I)
276     sig_save = [];
277     BetClassvariance = [];
278
279 %     I = temp{1};
280     [rows,cols,dims] = size(I);
281     mg = mean(I(:));
282
283     BetClassvariance = zeros(1,256);
284
285     n=imhist(I);
286     N=sum(n);
287     maxS=0;
288     for i=1:256
289         P(i)=n(i)/N;
290     end
291     for T=2:255
292         w0=sum(P(1:T));

```



```

293         w1=sum(P(T+1:256));
294         u0=dot([0:T-1],P(1:T))/w0;
295         u1=dot([T:255],P(T+1:256))/w1;
296         sigma=w0*w1*((u1-u0)^2);
297         sig_save(T) = sigma;
298         BetClassvariance(T) = sigma^2;
299         if sigma>maxS
300             maxS=sigma;
301             threshold=T-1;
302         end
303     end
304     bw = imbinarize(I,threshold/255);
305     maxval = max(BetClassvariance);
306     idx = find(BetClassvariance == maxval);
307
308     props = regionprops(bw, 'all');
309     % sort set number of particles by area, largest to smallest
310     area = max([props.Area]);
311
312 end
313
314 % show graphs of otsu parameters
315 function otsu_full(I)
316
317     sig_save = [];
318     BetClassvariance = [];
319
320 %     I = temp{1};
321     [rows,cols,dims] = size(I);
322
323     % Plot its histogram;
324     [Frequency,bins] = imhist(I);
325     figure,stem(bins,Frequency);title('Frequency ...
326         Plot');xlabel('Intensities'),ylabel('Freq');
327
328     % Compute Global mean
329     mg = mean(I(:));
330     hold on, line([mg mg],[0 max(Frequency)],'Color',[1 0 0]);
331
332     % Let the threshold value varies from k = 0 to 255
333     BetClassvariance = zeros(1,256);
334     Goodness = BetClassvariance;
335     NormalizedFreq = Frequency / (rows * cols);
336     figure,stem(bins,NormalizedFreq);title('Normalized ...
337         Frequency');xlabel('Intensities'),ylabel('Freq');
338     hold on, line([mg mg],[0 max(NormalizedFreq)],'Color',[1 0 0]);
339     SigmaGlobal = var(double(I(:)));
340
341     figure(1),imshow(I);

```

```

341     figure(2), imhist(I);
342     n=imhist(I);
343     N=sum(n);
344     maxS=0;
345     for i=1:256
346         P(i)=n(i)/N;
347     end
348     for T=2:255
349         w0=sum(P(1:T));
350         w1=sum(P(T+1:256));
351         u0=dot([0:T-1],P(1:T))/w0;
352         u1=dot([T:255],P(T+1:256))/w1;
353         sigma=w0*w1*((u1-u0)^2);
354         sig_save(T) = sigma;
355         BetClassvariance(T) = sigma^2;
356         if sigma>maxS
357             maxS=sigma;
358             threshold=T-1;
359         end
360     end
361     bw=im2bw(I,threshold/256);
362     figure(3), imshow(bw);
363     figure, plot(BetClassvariance);
364     xlabel('Thresold Values'), ylabel('Between Class Variance');
365
366     title('BetweenClass Variance')
367     [~,index]= max(BetClassvariance);
368     hold on, line([index index],[0 ...
369         max(BetClassvariance)], 'Color',[1 0 0]);
370     figure(2), hold on, line([index index],[0 ...
371         max(Frequency)], 'Color',[1 1 0]);
372
373     figure, plot(sig_save); xlabel('Threshold ...
374         Values'), ylabel('Between Class Variance');
375
376     maxval = max(BetClassvariance);
377     idx = find(BetClassvariance == maxval);
378 end
379 function [fillhandle,msg]=jbfll(xpoints,upper,lower,color,edge,add,transparency)
380 %USAGE: ...
381     [fillhandle,msg]=jbfll(xpoints,upper,lower,color,edge,add,transparency)
382 %This function will fill a region with a color between the ...
383     two vectors provided
384 %using the Matlab fill command.
385 %
386 %fillhandle is the returned handle to the filled region in ...
387     the plot.
388 %xpoints= The horizontal data points (ie frequencies). Note ...
389     length(Upper)

```

```

384     %           must equal Length(lower)and must equal ...
           length(xpoints)!
385     %upper = the upper curve values (data can be less than lower)
386     %lower = the lower curve values (data can be more than upper)
387     %color = the color of the filled area
388     %edge = the color around the edge of the filled area
389     %add = a flag to add to the current plot or make a new one.
390     %transparency is a value ranging from 1 for opaque to 0 for ...
           invisible for
391     %the filled color only.
392     %
393     %John A. Bockstege November 2006;
394     %Example:
395     %     a=rand(1,20);%Vector of random data
396     %     b=a+2*rand(1,20);%2nd vector of data points;
397     %     x=1:20;%horizontal vector
398     %     [ph,msg]=jbfill(x,a,b,rand(1,3),rand(1,3),0,rand(1,1))
399     %     grid on
400     %     legend('Datr')
401     if nargin<7;transparency=.5;end %default is to have a ...
           transparency of .5
402     if nargin<6;add=1;end %default is to add to current plot
403     if nargin<5;edge='k';end %dfault edge color is black
404     if nargin<4;color='b';end %default color is blue
405     if length(upper)==length(lower) && ...
           length(lower)==length(xpoints)
406         msg='';
407         filled=[upper,fliplr(lower)];
408         xpoints=[xpoints,fliplr(xpoints)];
409         if add
410             hold on
411         end
412         fillhandle=fill(xpoints,filled,color);%plot the data
413         set(fillhandle,'EdgeColor',edge,'FaceAlpha',transparency,'EdgeAlpha',tra
           edge color
414         if add
415             hold off
416         end
417     else
418         msg='Error: Must use the same number of points in each ...
           vector';
419     end
420 end
421
422 function [BW,maskedImage] = segmentImage (RGB)
423 %segmentImage Segment image using auto-generated code from ...
           imageSegmenter app
424 % [BW,MASKEDIMAGE] = segmentImage (RGB) segments image RGB using
425 % auto-generated code from the imageSegmenter app. The final ...
           segmentation

```

```

426 % is returned in BW, and a masked image is returned in MASKEDIMAGE.
427
428 % Auto-generated by imageSegmenter app on 17-Mar-2022
429 %-----
430
431
432 % Convert RGB image into L*a*b* color space.
433 X = rgb2lab( RGB );
434
435 % Create empty mask.
436 BW = false( size( X, 1 ), size( X, 2 ) );
437
438 % Flood fill
439 row = 211;
440 column = 403;
441 tolerance = 1.500000e-01;
442 normX = sum( ( X - X( row, column, : ) ).^2, 3 );
443 normX = mat2gray( normX );
444 addedRegion = grayconnected( normX, row, column, tolerance );
445 BW = BW | addedRegion;
446
447 % Invert mask
448 BW = imcomplement( BW );
449
450 % Create masked image.
451 maskedImage = RGB;
452 maskedImage( repmat( ~BW, [ 1 1 3 ] ) ) = 0;
453 end
454
455
456 function [ BW, maskedImage ] = segmentImage2( X )
457 %segmentImage Segment image using auto-generated code from ...
458     imageSegmenter app
459 % [ BW, MASKEDIMAGE ] = segmentImage( X ) segments image X using ...
460     auto-generated
461     code from the imageSegmenter app. The final segmentation is ...
462     returned in
463     BW, and a masked image is returned in MASKEDIMAGE.
464
465 % Auto-generated by imageSegmenter app on 26-Mar-2022
466 %-----
467
468
469 % Adjust data to span data range.
470 X = imadjust( X );
471
472 % Threshold image - adaptive threshold
473 BW = imbinarize( X, 'adaptive', 'Sensitivity', 1.000000, ...
474     'ForegroundPolarity', 'bright' );
475
476
477

```

```
472 % Invert mask
473 BW = imcomplement(BW);
474
475 % Create masked image.
476 maskedImage = X;
477 maskedImage(~BW) = 0;
478 end
```

## APPENDIX B

### DERIVATION OF UNCERTAINTY IN EQUIVALENT DIAMETER

Define the equivalent diameter  $d_e$  in terms of pixel area  $A_{px}$  and the spatial calibration scale  $C_s$ :

(B.1)

Apply the generalized uncertainty equation to the equivalent diameter  $d_e$ :

$$\partial d_e = \sqrt{\left(\frac{\partial d_e}{\partial C_s} \delta C_s\right)^2 + \left(\frac{\partial d_e}{\partial A_{px}} \delta A_{px}\right)^2} \quad (\text{B.2})$$

Apply partial derivatives to obtain terms fractional uncertainties:

$$\frac{\partial d_e}{\partial C_s} \delta C_s = \sqrt{\frac{4A_{px}}{\pi}} \delta C_s = d_e \frac{\delta C_s}{C_s} \quad (\text{B.3})$$

$$\frac{\partial d_e}{\partial A_{px}} \delta A_{px} = \frac{\partial}{\partial A} \left( \sqrt{\frac{4A}{\pi}} C_s \right) \delta A_{px} = \frac{C_s}{\sqrt{\pi A_{px}}} \delta A_{px}$$

Simplify to obtain fractional uncertainty of  $A_{px}$ :

$$\frac{d_e}{\left(\frac{C_s}{\sqrt{\pi A_{px}}}\right)} = 2A_{px} \quad (\text{B.4})$$

$$\frac{\partial d_e}{\partial A_{px}} \delta A_{px} = \frac{d_e}{2A_{px}} \delta A_{px} = \frac{d_e}{2} \frac{\delta A_{px}}{A_{px}} \quad (\text{B.5})$$

Substitute terms into generalized uncertainty equation:

$$\delta d_e = \sqrt{\left(d_e \frac{\delta C_s}{C_s}\right)^2 + \left(\frac{d_e}{2} \frac{\delta A_{px}}{A_{px}}\right)^2} \quad (\text{B.6})$$

Simplify the generalized uncertainty equation to obtain the fractional uncertainty of the diameter:

$$\frac{\delta d_e}{d_e} = \sqrt{\left(\frac{\delta C_s}{C_s}\right)^2 + \left(\frac{\delta A_{px}}{2A_{px}}\right)^2} \quad (\text{B.7})$$

This agrees with the general rule of uncertainty in a power described by Taylor [40].

THREE-DIMENSIONAL FRAGMENT TRACKING AND SIZE ESTIMATION  
USING STEREO FOCUSED SHADOWGRAPHY

by

Sean Palmer

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