

**New Mexico Institute of Mining and Technology  
Audit Monitoring Form**

**Instructions:** This form is to be used by Sponsored Projects Administration (SPA) for Subrecipients with a qualified or adverse Single or Program-Specific audit with material noncompliance reported.

<b>Subrecipient Name</b>	
<b>Active Award Numbers</b>	

Summary of Auditor's Results of Subrecipient	
Material weaknesses in internal control reported?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Reportable conditions identified that are not considered to be material weaknesses?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Type of report issued on compliance for major programs?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Subrecipient qualifies as "low risk"?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Finding directly related to an award from NMIMT?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If Yes: <b>PI Name:</b>	
<b>Project Sponsor:</b>	
<b>Project Period:</b>	
<b>Total Award Amount:</b>	

Award Findings & Review			
Auditor Ref. #	Summary of Finding		
	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="background-color: #e0e0e0;">Management Decision</th> </tr> </thead> <tbody> <tr> <td style="padding: 10px;"> <ol style="list-style-type: none"> <li>1. Audit findings sustained? <input type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>2. Expected action of Subrecipient:                             <ul style="list-style-type: none"> <li><input type="checkbox"/> Repay disallowed cost</li> <li><input type="checkbox"/> Make financial adjustments</li> <li><input type="checkbox"/> Other</li> </ul> </li> <li>3. Additional Monitoring? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, describe:</li> </ol> </td> </tr> </tbody> </table>	Management Decision	<ol style="list-style-type: none"> <li>1. Audit findings sustained? <input type="checkbox"/> Yes <input type="checkbox"/> No</li> <li>2. Expected action of Subrecipient:                             <ul style="list-style-type: none"> <li><input type="checkbox"/> Repay disallowed cost</li> <li><input type="checkbox"/> Make financial adjustments</li> <li><input type="checkbox"/> Other</li> </ul> </li> <li>3. Additional Monitoring? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, describe:</li> </ol>
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*Add additional pages as needed*